

UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSPARENCY STAKEHOLDER LISTENING SESSION

Alexandria, Virginia

Monday, August 5, 2024

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Opening Remarks

KATHI VIDAL
Under Secretary of Commerce for IP and
Director of the USPTO

Introduction

ANN CHAITOVITZ
USPTO Copyright Attorney

In-Person Roundtable

Motion Picture Association (MPA)

- o BENJAMIN SHEFFNER
Senior Vice President & Associate General
Counsel, Law & Policy

Recording Academy

- o MICHAEL LEWAN
Managing Director, State and Federal Advocacy,
Recording Academy

HAND

- o WILL KRETH
CEO

Digital Media Association (DIMA)

- o CHARLES COLIN RUSHING
General Counsel and EVP

National Association of Voice Actors

- o TIM FRIEDLANDER
President/Co-Founder

1 Sheppard Mullin

2 ○ JAMES GATTO
AI Team leader

3 Child Safety Advocate/CEO IGGY Ventures LLC

4 ○ PATRICK LANE
5 Chamber of Progress

6 ○ ADEN HIZKIAS
7 Policy Analyst

8 Adobe, Inc.

9 ○ J. SCOTT EVANS

10 MLB Players, Inc.

11 ○ SHAWN MCDONALD
SVP Business and Legal Affairs

12 Endeavor

13 ○ JONATHAN SEIDEN
14 SVP, Associate General Counsel

15 Entertainment Software Association

16 ○ BIJOU MGBOJIKWE, Senior Policy Counsel

17 INTA

18 ○ ANDREW J. AVSEC, Chair
Right of Publicity Committee

19 Authors Guild

20 ○ UMAIR KAZI
21 Director of Policy & Advocacy

22

1 Computer and Communications Industry Association

2 o JOSHUA LANDAU
3 Senior Counsel, Innovation Policy

4 Software and Information Industry Association

5 o CHRISTOPHER A. MOHR
6 President

7 Closing Remarks

8 ANN CHAITOVITZ
9 USPTO Copyright Attorney

10 Virtual Roundtable

11 Opening Remarks

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14 Director of the USPTO

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16 JEFFREY MARTIN
17 USPTO Trademark Attorney

18 Virtual Roundtable

19 Closing Remarks

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21 USPTO Trademark Attorney

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1 P R O C E E D I N G S

2 (9:28 a.m.)

3 MS. CHAITOVITZ: Welcome everybody.

4 Those of you who know me know I'm like obsessively
5 on time. So we're starting. Thank you for
6 participating in today's roundtable session to
7 explore issues at the intersection of AI and
8 protections for an individual's reputation, name,
9 image, voice, likeness, or other indicia of
10 identity. Now, that's a long thing until the rest
11 of the time. Today, I'm just going to call it
12 NIL, but it captures, I think, the broader
13 concept, not just the narrow concept of many, what
14 we call, you know, right of publicity laws. We
15 look forward to hearing your views on these
16 issues. I'm Ann Chaitovitz, a copyright attorney
17 at the USPTO, and I'm going to serve as the
18 moderator for this session of today's roundtable.
19 Although frankly, there's not going to be a whole
20 lot of moderation, because it's mostly just going
21 to be you all talking. Before we begin, I'd like
22 to recognize, recognize Kathy Vidal, Under

1 Secretary of Commerce for intellectual property
2 and the director of the US Patent and Trademark
3 Office, to provide some welcoming remarks. And
4 those of you long time D.C. people, we can do a
5 Warner Wolf, let's go to the videotape. Does
6 anybody remember that?

7 MS. VIDAL: I'm Kathy Vidal, Director of
8 the USPTO and Under Secretary of Commerce for
9 Intellectual Property. I am pleased to welcome
10 you today for what promises to be a fascinating
11 roundtable on AI technology and its impact on the
12 legal protections of personal reputations and
13 name, image and likeness rights, or NIL. The
14 potential for AI technology to provide tremendous
15 societal and economic benefits and foster new
16 forms of innovation is undeniable. However, we
17 also need to evaluate the potential challenges AI
18 presents, including IP related challenges. The
19 USPTO is proactively examining AI technology and
20 advancing policy at the intersection of AI and IP.
21 The USPTO has already issued guidance in this
22 area, including regarding the patentability and

1 inventorship of AI assisted inventions and in the
2 AI stack, and on the use of AI in preparing
3 applications and filings before the agency, and
4 we're working more both in terms of patents and in
5 the copyright spaces. We also continue to solicit
6 stakeholder opinions on these issues, including to
7 the USPTO's recent requests for comments seeking
8 input on AI implications for other evaluation make
9 during the patent examination process.

10 In addition, President Biden's executive
11 order on safe, secure and trustworthy AI tasks the
12 USPTO with providing guidance on the impacts of AI
13 and AI related IP policy issues. President
14 Biden's executive order also tasked the USPTO with
15 providing recommendations for executive action
16 related to AI and IP matters. We are currently
17 analyzing and engaging with stakeholders on all of
18 these issues, including AI's implications for
19 copyright laws and in the creative space more
20 generally. Today's roundtable focuses on AI
21 implications for NIL laws and felicities,
22 including whether current legal protections are

1 sufficient to protect an individual's NIL.

2 Currently, NIL is protected primarily by state
3 laws. However, many stakeholders have cited the
4 patchwork nature of the remedies, and scope of
5 protection provided under state laws as a concern.
6 In addition, some federal statutes protect aspects
7 of NIL instances.

8 One example is section 43A of the Lanham
9 act, which provides a remedy to stop the
10 unauthorized use of an individual's NIL certain
11 commercial circumstances. However, this Lanham
12 Act remedy has limitations, including the fact
13 that many federal circuits require plaintiffs to
14 have some level of fame or notoriety in order to
15 succeed on a section 43A claim involving NIL.
16 Today's round gives an opportunity for
17 stakeholders to provide views on all these issues.
18 The input we receive today will help the USPTO
19 will fully understand the current and help inform
20 our recommendations to President Biden for
21 potential executive actions. It will also inform
22 our work with Congress in the courts. So thank

1 you again for participating in today's roundtable.
2 I look forward to hearing your views on these
3 important issues.

4 MS. CHAITOVITZ: Thank you, Director
5 Vidal. And I now like to set some basic ground
6 rules for today's roundtable session. Today's
7 speakers should feel free to discuss whatever
8 issues you think are relevant to NIL and AI. Some
9 issues we are particularly interested in hearing
10 about are whether existing legal protections for
11 individuals, NIL and reputations are sufficient,
12 how these legal protections intersect with other
13 IP laws, and how AI technology impacts existing
14 legal protections for NIL and reputation. We also
15 welcome your input regarding recommendations the
16 USPTO should present to the President pursuant to
17 President Biden's executive order on AI, namely,
18 what, if any, executive action can or should be
19 taken related to NIL protections in the context of
20 AI. So we're especially interested in if you have
21 any recommendations for executive actions.

22 Now, turning to the format of today's

1 roundtable session, we ask that speakers address
2 your comments to the moderator. But really,
3 that's me there. But you're going to be talking
4 to everybody facing here. You're going to come up
5 to the podium, each speaker will have ten minutes
6 to deliver remarks, and I'm going to strictly
7 enforce this time limit. You're going to be
8 standing here. There's a clock there that will be
9 counting down your time. So, as I said, I'm a
10 stickler for things like starting on time, and I'm
11 going to hold you to your time limit. That's
12 important so that everybody has an opportunity to
13 provide remarks. And we're going to go in the
14 order that you are sitting. You'll come up here
15 to make your remarks. We'll start with Ben.
16 We'll go down this road to James, and then we're
17 going to go back behind James, to Rick, and then
18 down that road to Andrew, and back behind him, and
19 all the way back until we finish the speakers.

20 After each speaker concludes their
21 remarks, I may ask some follow up questions of the
22 speaker, although chances are I might save the

1 follow ups to the end just to make sure we don't
2 run out of time. And if time permits, after all
3 the speakers have provided remarks, there may be
4 an opportunity for each speaker to make additional
5 remarks, but that's all going to be depending on
6 the amount of time. Now, as a reminder, this
7 roundtable is being live streamed and will be
8 recorded and posted on the USPTO's website, along
9 with a transcript of the roundtable. Remarks made
10 today may be used by the PTO in its report and
11 potential recommendations to the president. So
12 with that, we should begin. Ben. Oh, when you
13 come up here, just for the transcript and
14 everything, please identify yourself and your
15 organization and then start with the remarks.

16 MR. SHEFFNER: Good morning. I'm Ben
17 Sheffner with the Motion Picture Association. I
18 want to thank USPTO for hosting this roundtable
19 and for allowing me to participate on behalf of
20 the MPA and our member studios. MPA represents
21 the leading producers and distributors of movies
22 and television programs here in the US and around

1 the world. Sony Pictures, Netflix, Disney, Warner
2 Brothers, Discovery, and NBC Universal. Since our
3 founding in 1922, we have fought to protect the
4 intellectual property rights and creative freedoms
5 of our members, which give us a uniquely balanced
6 perspective on the issues we're facing today. For
7 over a century, the MPA's members have employed
8 innovative new technologies to tell compelling
9 stories to audiences worldwide. From the
10 introduction of recorded sound in the 1920s, color
11 in the 1930s, to the dazzling special effects in
12 movies like this year's Dune Part two, Kingdom of
13 the Planet of the Apes, and Twisters. The MPA's
14 members have long used technology to allow
15 filmmakers to bring their vision to the screen and
16 tell their stories in the most compelling way
17 possible.

18 Artificial intelligence is the latest
19 such innovation impacting our industry. MPA sees
20 great promise in AI as a way to enhance the
21 filmmaking process and provide an even more
22 compelling experience for audiences. But we also

1 share the concerns of actors and recording artists
2 about how AI can facilitate the unauthorized
3 replication of their likenesses and voices to
4 supplant performances by them, which could
5 potentially undermine their ability to earn a
6 living practicing their craft. And while this
7 isn't directly about our industry, we're also
8 concerned about other abuses of this technology,
9 such as to generate nonconsensual intimate images
10 or to deceive voters in the context of election
11 campaigns. In addressing these issues, before
12 rushing to enact legislation or regulations around
13 depictions of individuals, NPA urges policymakers
14 and stakeholders to first pause and ask whether
15 the harms they seek to address are already covered
16 by existing law, such as the Lanham Act, state
17 right of publicity law, defamation, fraud,
18 intentional infliction of emotional distress, or
19 other torts. Often the answer will be yes,
20 indicating that a new law is not necessary. And
21 if policymakers identify a gap in the law, for
22 example, regarding protections for professional

1 performers or related to pornographic or election
2 related deepfakes, the best solution is narrow,
3 specific legislation targeting that specific
4 problem.

5 Another thing we must all keep foremost
6 in mind is that imposing rules about how we are
7 all allowed to depict individuals necessarily
8 involves doing something that the First Amendment
9 sharply limits. Regulating the content of speech.
10 The Supreme Court has made clear that laws
11 regulating the content of speech are subject to
12 the demanding strict scrutiny standardization.
13 That means they are, quote, presumptively
14 unconstitutional and can survive the strict
15 scrutiny hurdle only if they, one, serve a
16 compelling government interest and two, are
17 narrowly tailored to serve that interest.
18 Legislating in this area requires very careful
19 drafting to address real harms without
20 inadvertently chilling or even prohibiting
21 legitimate, constitutionally protected uses of
22 technologies to enhance storytelling. I want to

1 emphasize, digital replica technology has entirely
2 legitimate uses, uses that are fully protected by
3 the First Amendment and do not require the consent
4 of those being depicted. Take the classic 1994
5 film Forrester Gump, which depicted the fictional
6 Forrest character played by Tom Hanks navigating
7 American life from the fifties through the 1980s,
8 including by interacting with real people from
9 that era. Famously, the filmmakers, using digital
10 replica technology available at the time, had
11 Forrest interact and even converse with presidents
12 Kennedy, Johnson, and Nixon. Or take a more
13 recent example involving the show, produced by our
14 member Sony Pictures, streamed on Apple TV, called
15 For All Mankind. It's an alternative history
16 version of the USSR space race. The producers of
17 that series use digitally manipulated videos to
18 present a fictional version of history that
19 incorporates real people, including John Lennon
20 and President Reagan.

21 To be clear, those depictions did not
22 require the consent of their heirs, and requiring

1 such consent would effectively grant heirs or
2 their corporate successors the ability to censor
3 portrayals they don't like, which would violate
4 the First Amendment. As I suspect just about
5 everyone in this room is aware, just last week,
6 senators Coons, Blackburn, Tillis, and Klobuchar
7 introduced a No Fakes Act, a bill that would
8 establish a new federal intellectual property
9 right in one's voice and likeness. MPA has
10 endorsed that bill as a thoughtful effort to
11 address abusive uses of digital replicas of
12 likenesses and voices. But for today's
13 discussion, I'd like to step back a little bit
14 from the specifics of any particular bill and
15 focus on what we believe are the most important
16 provisions of any bill regulating digital
17 replicas.

18 First, the definition of digital replica
19 must be limited to highly realistic replications
20 of individuals, likenesses or voices. It should
21 not encompass, for example, cartoon versions of
22 people you might see on shows like the Simpsons or

1 South Park. Second, any bill regulating digital
2 replicas must include a robust set of statutory
3 exemptions, specifically delineating uses that are
4 outside the scope of the statute and thus do not
5 require permission. These exemptions must cover
6 uses where the digital replica is used to depict
7 an individual as himself or herself, for example,
8 in a docudrama or a biopic. They must also cover
9 uses for purposes such as scholarship, commentary,
10 criticism, and parody and satire. These types of
11 exemptions give filmmakers the clarity and
12 certainty they need to determine whether to move
13 forward with a particular movie or TV series
14 before spending tens or even hundreds of millions
15 of dollars to produce the project. If the
16 statutory exemptions are not adequate, some
17 producers will simply not proceed with their
18 projects, a classic chilling effect that the First
19 Amendment does not allow.

20 And exemptions such as these are not
21 just good policy, they're a constitutional
22 imperative. Indeed, in *United States v. Stevens*,

1 the Supreme Court struck down on First Amendment
2 grounds a federal statute regulating animal
3 torture videos as overbroad, precisely because the
4 exceptions in that statute for constitutionally
5 protected speech were inadequate. Third, any
6 federal digital replica bill should preempt state
7 laws that regulate the use of digital replicas in
8 expressive works. Simply adding a federal layer
9 on top of the existing patchwork of state laws
10 would only exacerbate the problems associated with
11 inconsistent laws in this area.

12 To be clear, MPA is not advocating for a
13 new federal law that would preempt all of what we
14 call traditional right of publicity law, which
15 only applies to uses in advertisements or on
16 merchandise. Right of publicity law is generally
17 technology neutral, so it already covers the uses
18 of digital replicas to sell products. Traditional
19 right of publicity generally functions well, and
20 we see no need to supplant it. However,
21 regulation of digital replicas in expressive works
22 such as movies, television programs, or songs is a

1 novel area, and having a single federal statute in
2 this novel area is appropriate. And fourth, the
3 scope of the right should focus on the replacement
4 of performances by living performers. Going
5 beyond that risk, sweeping in wide swaths of First
6 Amendment protected speech for which there is no
7 compelling government interest in regulating,
8 which would make the statute vulnerable to
9 constitutional challenge. Thank you again for the
10 opportunity to participate in this roundtable, and
11 I look forward to the discussion.

12 MS. CHAITOVITZ: Thank you so much. I
13 did have a question for you, but you answered it
14 later in the -- Michael?

15 MR. LEWAN: Good morning, I'm Michael
16 Lewan, managing director of state and federal
17 advocacy for the Recording Academy. Best known
18 for the annual Grammy Awards, the Recording
19 Academy is a leading trade association
20 representing individual music makers. Our members
21 include thousands of creators across all genres,
22 regions, levels of fame and craft, including

1 artists, songwriters, and studio professionals.
2 For decades, the academy has been at the forefront
3 of policy initiatives, impacting the music
4 community and ensuring that the rights of creators
5 are protected. We've enjoyed working with the
6 Patent and Trade Office on a number of occasions,
7 room makings, roundtables, and other initiatives,
8 and I'm grateful to Director Vidal and this office
9 for including us today in this important
10 discussion.

11 In the music ecosystem alone, there are
12 a number of policy considerations that could and
13 should be explored when it comes to artificial
14 intelligence. In due time, the Recording Academy
15 will certainly be heavily involved in those
16 discussions. But for the better part of the last
17 two years, we have focused first and foremost on
18 protections for name, image, likeness, and I'll
19 add and emphasize and voice to establish
20 guardrails against unauthorized digital replicas
21 that clone our members identities without consent,
22 compensation, or control. This includes working

1 with bipartisan members of the 118th Congress in
2 the drafting and introduction of legislation like
3 the No AI Frauds Act, the No Fakes Act, providing
4 witnesses at hearings and informational
5 roundtables, including our CEO testifying at the
6 House judiciary's hearing in Los Angeles during
7 Grammy weekend and advocating for federal
8 protections with our members during Grammys on the
9 Hill. We've also worked with the United States
10 Copyright Office, the Biden Harris administration,
11 and other stakeholders in discussing the framework
12 of what federal guardrails could look like. Also
13 flagged, our reporting academy has been heavily
14 involved at the state level. Some of these
15 initiatives predate the current conversation
16 around AI and digital replicas, where we've worked
17 to pass rights of publicity laws across the
18 country. But notably, the academy worked closely
19 this year with legislators in Tennessee on the
20 development and eventual passage of the Elvis Act,
21 and as well as working in Illinois on the passage
22 of HB 4795 and our members continue to work today

1 in California on AB 1836. We've also explored
2 similar attempts in other states.

3 The reason we've been focused on
4 concerns around name, image, likeness and voice is
5 because it is the most immediate harm without
6 recourse. In the age of generative AI confronting
7 individual music makers, the speed to create and
8 the speed to spread digital replicas is
9 unprecedented. Within moments, we all witnessed
10 how a fake Drake can go viral and become part of
11 the zeitgeist last summer. And that was just the
12 beginning. The advancements in cloning services
13 are causing real harms right now. This is
14 painfully more troublesome for independent artists
15 and other creative individuals who lack the
16 platform and resources of Drake and artists of his
17 stature. What used to take significant costs
18 time. Excuse me. These creative individuals
19 often find themselves powerless to put a stop to
20 the unauthorized taking of their identity. While
21 utilizing someone's likeness is not a new
22 phenomenon, the age of AI has 100 percent

1 exasperated the creation of unauthorized digital
2 replicas. What used to take significant cost,
3 time, and experience can now be created virtually
4 anywhere, at any time, with little to no cost or
5 training. And in a digital context where music
6 distribution is frictionless and borderless, the
7 potential harm is self evident. Rapid
8 proliferation and multiplication, an endless game
9 of whack a mole that at best leads to a dilution
10 of royalties and results in unfair creative
11 competition, and at worst can be outright
12 deception, fraud, and reputational harm.

13 Gen AI digital replicas pose a real
14 threat to human creativity and the relationship
15 between creators and their audiences. More
16 troubling, the proliferation of digital replicas
17 goes beyond commercial harms and poses a threat to
18 all individuals, regardless of level of fame.
19 From fraud to deepfake revenges, individuals are
20 vulnerable to having their identity digitally
21 cloned. We've all heard the anecdotes of the
22 teenager subject to revenge or the elderly victim

1 to financial scam calls. It is AI that is
2 bringing these harms to bear. I want to be clear.
3 The Recording Academy is not anti AI or even anti
4 digital replicas. We believe AI can serve an
5 invaluable tool for human creators. It can expand
6 the universe who can create music and remove many
7 barriers to entry. Digital replicas even
8 represent a legitimate new avenue for authorized
9 and licensed uses. Artists have already begun to
10 grant permission to use their NIL by third
11 parties, and a viable marketplace could soon be
12 established. This has the potential to be an
13 important new revenue stream and create new ways
14 for artists to engage with their fans, and vice
15 versa. But the key factor here comes down to
16 permission and the three consent, control,
17 compensation, a fake Drake, done with Drake's
18 permission, is wildly different than an
19 unauthorized taking of his likeness. And that's
20 why the Recording Academy is calling for improved
21 and harmonized protections.

22 Existing law is a combination of

1 antiquated right of publicity laws at the state
2 level, an inefficient patchwork ill equipped to
3 handle the interstate nature of music
4 distribution, or imprecise federal standards like
5 the Lanham Act that require clear cut market and
6 consumer confusion. In short, no law in the books
7 is giving the individual the kinds of protection
8 and recourse he or she needs. Existing
9 protections were not conceived to or equipped to
10 protect against generative AI scenarios, let alone
11 non commercial use misuses. They are 20th century
12 solutions. As mentioned at the start, that's why
13 the academy isn't calling for a federal law, and
14 we are proud to endorse the bipartisan No AI Fraud
15 Act and last week's recently introduced No Fakes
16 Act in the Senate. We additionally applaud the
17 recent copyright office report recommending a
18 federal standard. We firmly believe, since music
19 knows no boundaries, that a uniform federal
20 standard provides the best protections for all
21 creators and can establish minimum guardrails to
22 ensure that individuals can control their likeness

1 in the digital context. As both the House and
2 Senate have put forth, a federal law should, at a
3 minimum, clearly define and establish a digital
4 replica right for all individuals. This right
5 should give the individual means to combat the
6 infringement and grounds to seek damages for
7 harms. The right should not be limited commercial
8 use, but framed to regulate all types of digital
9 replicas that realistically imitate an
10 individual's likeness without the permission.

11 As illustrated already, the right should
12 not be limited to quote unquote celebrities, but
13 be brought off to be enjoyed by individuals,
14 regardless of how famous he or she may be.

15 Recognizing that certain states, like Tennessee,
16 may go above and beyond what a federal law might
17 protect, we concur with the copyright office's
18 recommendation that federal law should not preempt
19 state laws. But the academy has also been open to
20 exploring carefully crafted, crafted preemption
21 standards, such as those found in the No Fakes
22 Act. We believe a federal standard should result

1 in a healthy marketplace where individuals are
2 unable to use the right to monetize and license
3 their likeness if so desired. This would include
4 the flexibility to empower others to license their
5 likeness on their behalf and or enforce against
6 unauthorized use. Similarly, creation of a
7 federal standard should also incentivize secondary
8 parties like platforms to establish an effective
9 system to quickly take down and keep down
10 unauthorized digital replicas and empower the
11 creators to control how their likeness is used on
12 these platforms. Platforms have shown that they
13 can be responsible partners and allies to creators
14 in other contexts, and that kind of relationship
15 can be fostered with respect to digital fakes.

16 We believe the right should extend
17 posthumously to ensure that individuals who
18 continue to have commercial value beyond death may
19 be protected and that their states are in control
20 of this race. We have seen deceased legends in
21 our industry have their identities profited on
22 without permission, and must do what we can to

1 ensure the heirs are in control. Last, the
2 recording firmly respects and believes in the
3 power of the First Amendment and calls for a right
4 that balances First Amendment protections. The No
5 AI Fraud Act is a great example of how to walk
6 this path in crafting legislation at the federal
7 and state level, the Recording Academy has been an
8 honest broker and sought to find consensus amongst
9 stakeholders, including on some of these more
10 contentious issues and provisions. We pledge
11 somebody to work with the USPTO and others in this
12 room on finding the best path forward. Again,
13 thank the director for convening today's
14 roundtable and welcome continued dialogue where
15 the reporting academy can provide assistance on
16 this important matter. Thank you.

17 MS. CHAITOVITZ: Just have one question.
18 Do you see --

19 (audio cut off).

20 MR. LEWAN: The Recording Academy would
21 probably err on the side of having a legislative
22 attempt at this just to ensure that all platforms

1 are able to abide by the same rules we've seen.
2 Sometimes voluntary commitments have led to
3 inconsistencies where certain platforms go above
4 and beyond others are not, you know, adhering to
5 the pledge. So a federal standard with some
6 actual teeth to expeditiously remove infringement
7 content will probably be the better path forward.

8 MS. CHAITOVITZ: Thank you. I apologize
9 because this is my first time working with clocks
10 and I know I started it again. Hopefully still
11 time on it and it didn't run over. So, Will
12 Kreth?

13 MR. KRETH: Morning everybody. Hi, I'm
14 Will Kreth, the CEO and founder of Hand Human and
15 Digital, a digital object identifier foundation
16 registration agency. DOI Foundation's been around
17 since 1997. They are an ISO state standard and
18 they identify objects, be they digital or
19 physical, to the tune of 1.3 billion objects per
20 month. I'm the former executive director of the
21 entertainment id Registry eider and the director
22 of metadata governance for showtime Networks. I

1 have experience working in the music industry,
2 also on the standards and protocols side as a
3 current working group co chair at the music
4 industry's standards body digital data exchange,
5 or known as DDEX popularly. I'm also a
6 contributor member of the Coalition for Content
7 Providence and Authenticity. That's C2PA many of
8 you may have heard about. It's also mentioned in
9 federal legislation and executive orders, and also
10 a member of the Media Providence Working Group at
11 the International Press Telecommunications
12 Council, theiptc.org dot so for those of you
13 wondering why I'm here today, what is HAND?

14 I want to give you a brief little bit of
15 information on that so HAND as an interoperable
16 public talent identifier for notable public
17 figures and we heard recently that the
18 differentiation delineation between the private
19 individuals and public figures. We identify
20 public figures only built on an ISO level standard
21 id, providing persistent global talent identity
22 resolution and verification to enable cost

1 savings, greater security and revenue
2 acceleration. We identify three objects in the
3 media supply chain just really simply notable
4 legal and natural people, their connected digital
5 replicas for today's conversation and fictional
6 characters, because for every Spider man and
7 Batman or Barbie, they're interchangeably
8 portrayed over the decades by different human
9 beings. This roundtable topic is at the very
10 heart of what we've already built and deployed to
11 Hollywood and soon sports leagues and federations.
12 I see Sean here today around the ethical use of
13 consent based NIL rights licensing of digital
14 replicas per the SAG AFTRA agreement of 2023,
15 which codified language around employment based
16 and independently created digital replicas and
17 background actor replicas and synthetic
18 performers.

19 Built on that same standards based Emmy
20 award winning technology that ITER won at a 2023
21 Emmy award for last year. Hand, like ITER, is one
22 of only twelve DOI registration agencies in the

1 world. As I mentioned. Remember CTPA and IPTC to
2 the USPTO's questions requested in advance. And
3 thank you for those questions. Do technological
4 mechanisms or protocols currently exist to
5 identify AI generated NIL content to prevent or
6 deter unauthorized AI generated NIL content or use
7 or remove unauthorized AI NIL content after it has
8 been released? We are not a detection company,
9 but what we have do is on the provenance side of
10 the business tracking beacon, if you will, of
11 notable individuals and the replicas. We're
12 currently working with Sony Pictures Entertainment
13 and also working with companies like fabric and
14 Origin and others in the media supply chain. And
15 we're looking to show a proof of concept at the
16 International Broadcasting Convention in Amsterdam
17 in September around a project we call digital
18 replica and talent id Providence verification and
19 new automated workflows.

20 So I want to speak to the notion of the
21 question around does the use of unauthorized NIL
22 content harm individuals? We think that it

1 basically hand views that that violates the four
2 C's, not just three C's, but the four cs which we
3 in a webinar in February with the folks at CAA,
4 the talent agency describe as consent, control,
5 credit and compensation. It's the removal of
6 human agency and the control of the right of
7 publicity codified state to state, and the moral
8 rights which are codified in the EU for both
9 public figures and private individuals, it is
10 deleterious to their control, their privacy,
11 reputation, and for public figures, their quote
12 unquote brand use of AI technology clearly
13 accelerates the velocity of unauthorized and
14 potentially infringing usages of mail rights by
15 threat actors seeking to defraud or misrepresent
16 real legal and natural peoples. It is essentially
17 a nefarious, pernicious virus that will require a
18 public private partnership to regulate and enforce
19 both the provenance tools and detection tools to
20 curtail and reduce the risk to society. Ethical
21 usages of AI to create consent based digital
22 replicas and legal and natural people for their

1 licensing and monetization purposes will require
2 guardrails and guidelines not yet nationally
3 legislated nor litigated to set case law
4 precedents. We heard the No Fakes Act mentioned
5 earlier. We know Tennessee has the Elvis Act. I
6 know that this body comes down on watching and
7 understanding that there's room for both.

8 As our friend Benjamin said from MPA
9 earlier, there has to be room for both non
10 infringing usages of identity and no rights, and
11 for creative works, expressive works, as you
12 mentioned. But also looking at where unauthorized
13 usages take place, what are the means to either
14 deter, detect, or to find a way to remediate that
15 infringement? So I want to speak to this that I
16 can't speak to the Lanham Act, but I want to say
17 to the folks here today that one thing that people
18 have to remember, you don't need AI to create a
19 digital replica. Photogrammetry, volumetric
20 capture, multiple technologies exist to create
21 unauthorized replicas before large language models
22 and adoption of these tools. For open AI and

1 others, AI can help the kind of the creation of
2 it. But the unauthorized deepfakes did not start
3 with AI. I think it's important for folks to
4 remember that fact. Essentially to this I want to
5 say that one more thing around the question that
6 was posed to us. What limits, if any, should be
7 placed on the voluntary transfer rights concerning
8 NIL to third parties? For example, should there
9 be limits to the duration of such transfers? I
10 think we heard a bit from Benjamin earlier on
11 this, but to the best of my knowledge, the estates
12 of deceased individuals, usually celebrities or
13 public figures, have already transferred and or
14 licensed no rights to third parties for
15 utilization in voice cloning, advertising, et
16 cetera.

17 We saw recently that a company name
18 called Eleven Labs created a set of voice trained
19 voice collection called the iconic Voices, where
20 the estates of the late Judy Garland, James Dean
21 Burt Reynolds and Sir Lawrence Olivier have
22 licensed their voice likenesses to eleven labs to

1 train for their voice cloning software. If we are
2 to look at past legislative examples, potentially
3 the potential controversial S-505 Sony Bono
4 Copyright Term Extension act. Even with that
5 federal extension, there is still an eventual time
6 limit, expiration date for rights before
7 copyrighted works move into the public domain. So
8 if no rights are to extend in perpetuity to third
9 party rights holders, obtaining a transfer of
10 rights from the estate to the deceased, that would
11 be anomalous to the current laws around
12 copyrighted works. Food for thought and room for
13 debate, quite possibly. I want to thank Ann and
14 Jeffrey and team today for allowing me to speak,
15 and I look forward to hearing the conversation.
16 And thank you all for your time today.

17 MS. CHAITOVITZ: Thank you. Can I ask
18 questions? You said that HAND was for public
19 figures, and I'm just wondering how that defined
20 thinking. I don't know how many of you read,
21 there was a New York Times magazine article, and
22 it was about a, I think, a state senator in

1 Florida and a city council person in Broward
2 County who were both victims of deep fakes. And I
3 mean, they are elected officials, but they're not,
4 you know, national officials, state senator, and a
5 city council person. I don't know if they fit in
6 your definition of public figures. So I'm just
7 curious how you define public figures. And then I
8 have one more question after that.

9 MR. KRETH: Okay, so we have something
10 we call from the kind of critical metrics of what
11 makes someone notable, citation backed,
12 notability. It's basically this. Who you are,
13 what you've done, and who said, so, those three
14 elements, I can explain that to my son, who's
15 eleven, I can explain that to him, are kind of the
16 rubric, the fabric of what we're trying to do.
17 And by establishing that, we're trying to
18 identify, in the first phase of what we do, the
19 public figure, the celebrity, the notable
20 individual, the person who has multiple citations,
21 who has multiple identifiers, existing databases
22 around the world today. That is a metric that

1 allows us to have confidence that if Library of
2 Congress decided that you were notable enough to
3 appear in their database, that's a great litmus
4 test to say, this individual is going to have a
5 lasting persistence, notability, from here going
6 forward.

7 The public figures in Broward County you
8 mentioned, we were actually asked, on a related
9 note by Bloomberg News if we would identify
10 politicians. We intend to start moving that
11 direction, but cautiously, with some of the most
12 notable individuals. We already have Donald Trump
13 in our database because he's also a television
14 personality. So it is entirely possible that the
15 quantifiable critical metrics of notability for
16 politicians could also be part of this. We've
17 also been asked by the fashion industry if
18 identify fashion models, but we're trying to take
19 it slow and not boil the ocean to start with, to
20 create an interoperable unique identifier at the
21 highest level, the ISO level, trying to identify
22 just the most notable people. To start with your

1 second question, we're out of time, almost.

2 MS. CHAITOVITZ: We have 10 seconds
3 right now, but --

4 MR. KRETH: I hope that answered your
5 question.

6 MS. CHAITOVITZ: Yes, it did. Thank
7 you. And the final question is, you mentioned
8 private public ship. Wondering if you envision
9 any executive actions that could facilitate those
10 private.

11 MR. KRETH: It'd be possible. I think
12 the one thing we wanted to share with folks is
13 around the notion that when it comes to id
14 namespaces and media supply chain, there's a
15 saying that goes, if you can't identify it, you
16 can't automate. And to scale any business, you
17 have to have the systems and methods, the means by
18 which to automate processes. Otherwise it becomes
19 extremely manual, there's high friction and costs
20 more money, takes more time, becomes something
21 that is onerous or burdensome challenge for
22 people. So to have unique identification of

1 objects in a media namespace, media supply chain
2 helps. And so I don't know if that's something
3 that's come to the executive branch, but I think
4 that historically we know that with packaged goods
5 and GT members and GPC codes, whole industries,
6 whole systems, whole supply chains around the
7 world have been changed by having the ability to
8 automate the object identity with a unique
9 identifier, a numeric identifier.

10 MS. CHAITOVITZ: Thank you. Just
11 another area where we need unique identifiers.

12 MR. KRETH: Thank you.

13 MS. CHAITOVITZ: Thank you. Colin?

14 MR. RUSHING: Going to put my reading
15 glasses to the test. All right. My name is Colin
16 Rushing. I'm the Executive Vice President and
17 general counsel of the Digital Media Association,
18 or DIMA. We represent the large music streaming
19 companies, Apple, Amazon, Pandora, Spotify,
20 YouTube, as well as Feed FM, which provides
21 business to business music services. Our members
22 are at the forefront of the growth of the music

1 industry. They're the source of basically all the
2 growth in recent years in the music industry and
3 the reason that the recorded music business has
4 recovered from where it bottomed out about a
5 decade ago. We really appreciate the opportunity
6 to be a part of this conversation. Artificial
7 intelligence in general has obviously transformed
8 music, in particular, how it is created, as well
9 as how people are enjoying it and consuming it.
10 And that's especially true when it comes to name,
11 image, and likeness. It has presented some risks
12 and issues that the panelists have addressed
13 today. It's also presented tremendous opportunity
14 for music creators. One great example of that is
15 that the studio technology literally allowed Randy
16 Travis to. To use his own voice and release new
17 record of his own music.

18 We are still very much in the early days
19 of this technology. We don't really know where
20 it's going to go over the next ten years plus,
21 there's no doubt that it will continue to be
22 transformative, but it's important to remember

1 that we're very much in the earliest stages of
2 this, of these new tools. We do believe at DIMA
3 that there should be federal protection for name,
4 image and likeness. Our members do not have any
5 incentive or interest in having deceptive or
6 harmful content proliferated on their services.
7 It doesn't do music creators any good. It doesn't
8 do fans any good. It doesn't do the industry any
9 good. So we do support the creation of new laws
10 that are specially tailored to this unique
11 circumstance that we find ourselves in. We see
12 basically five general principles that should be
13 applied when going down this path and figuring out
14 finding solutions that work. So the first, and
15 building very much on what Ben said, and I'm
16 always glad to follow him when addressing these
17 issues. It's important that any new law be
18 narrowly tailored to address the specific harms
19 that are at that we're talking about. We do
20 believe the right foundation, the right body of
21 law to look to, or is law on rights of publicity.
22 It's obviously imperfect, but that is the right

1 source of principles. We think in contrast with
2 copyright law, which is addressed a different type
3 of problem or a different type of issue, we think
4 the goal of any new law should be to protect
5 identity and personhood.

6 Second, the liability, and this is where
7 I'll probably spend the most of my remaining five
8 minutes plus, is an important issue here. We
9 think that the liability for any harmful content
10 that's created should fall squarely on the creator
11 and the person who introduced the content into the
12 supply chain, for a couple of reasons. One,
13 there's a fairness issue. Person who creates a
14 harmful content should be the person responsible
15 to that person is in the best position to defend
16 the content. As Ben talked about, we're talking
17 about expressive works, right? There's a very,
18 very high likelihood that stuff people may find
19 objectionable or unlawful could in fact be
20 protected by the First Amendment. Who's the
21 person best situated to defend content and the
22 legitimacy of new content? It's the person who

1 created it. The flip side of that is the sort of
2 secondary liability challenge. This goes back to
3 the dawn of the Internet. We have different
4 approaches to dealing with secondary liability.
5 You have the section 230 regime, you. Have the
6 section 512 regime. We can talk about the
7 mechanics and the details. We'll save that for a
8 little bit later.

9 But I want to just focus on some basic
10 observations and principles. First, as I
11 mentioned, our members, honestly, every legitimate
12 player in the marketplace has no incentive to see
13 harmful, deceptive content proliferating in the
14 marketplace. And so, looking at institutional
15 incentives, there's not a reason to incentivize
16 people to remove our members to remove harmful
17 content. Second, when it comes to music in
18 particular, the huge majority of the activity is
19 subject to robust supply chains with very detailed
20 contractual relationships between the platforms
21 and the distributors and the rights owners that
22 govern the removal of potentially harmful content.

1 That works. Third, even when that doesn't apply,
2 if you're talking about user generated content,
3 things of that sort, there are existing robust,
4 voluntary measures to remove harmful content
5 already for all types of content. One of the
6 policy arguments for section 230 is that if you
7 create broad immunity, you create room to develop
8 robust content moderation practices. That's what
9 we've seen. It exists. We should let that
10 continue to work. Fourth principle, the specter.
11 The issue around broad secondary liability regimes
12 is you create a really significant risk of over
13 blocking, of removing legitimate content in order
14 to reduce costs, avoid liability.

15 Again, we, there is no doubt that if you
16 have a broad secondary liability regime, it just
17 creates a powerful incentive to remove content on
18 the first sign of a hint that there's an issue.
19 So if there is going to be secondary liability in
20 place, we think, and again, we think it's
21 unnecessary. But if you are going to have it,
22 there should be a really heightened knowledge

1 requirement to make sure it's not applied too
2 liberally should only be actual damages. There
3 should not be statutory damages, which, especially
4 in the context of online distribution, could
5 create catastrophic and astronomical damages. And
6 third, you really need to have a truly robust,
7 safe harbor to protect the services that are
8 trying to do the right thing sort of related to
9 that issue. Any new legislation, any new
10 governmental action should respect the existing
11 supply chains, respect the way industry is done
12 today. Industry participants have broad incentive
13 to get the mechanisms in place to make the
14 industry work better. Again, I'm glad I followed
15 Will, talked about DDEX that's been critical to
16 the operation of the music industry. These
17 relationships among music creators and the
18 services is robust, well established, subject to
19 truly existing supply chains.

20 Finally, on the topic of preemption, we
21 do think this is an area that calls for a federal
22 rule. We think relying on a patchwork of state

1 laws will disrupt commerce and will create
2 uncertainty for everyone. And then the copyright
3 office suggested any federal law should just be a
4 floor. Our view should just be a single federal
5 standard that provides certainty throughout the
6 ecosystem. Again, thank you very much for this
7 opportunity to be a part of this conversation.
8 It's a fascinating and thorny issue. It's a fun
9 problem to work on, and I'm glad to be part of the
10 dialogue.

11 MS. CHAITOVITZ: Thank you so much. Can
12 I ask question, how would is there a way you wish
13 to suggest as safe harbor, that would avoid all
14 the issues that were mentioned in the past 512
15 report and issues of Whack-A-Mole? I mean, if
16 you're a famous person with Taylor Swift's
17 pornographic deep fake that was out there so that
18 you wouldn't have to play Whack-A-Mole.

19 MR. RUSHING: Sure. In the development
20 of sort of a system, the devil is very much in the
21 details. So no, I don't have a specific solution
22 to say how could we address that problem that

1 makes everyone sort of satisfied? I do think that
2 the 512 system has some complications in it that
3 we would not want to replicate. I think the red
4 flag knowledge standard is an aspect that doesn't
5 work particularly well. I think the simple
6 approach is the right approach. Approach where
7 there is any sort of notice, specifically
8 identifies the content at issue on these harder
9 sort of questions about what's the right balance,
10 right, what's the right set of obligations to
11 impose on streaming platforms. Anytime you have
12 an obligation to sort of broadly block content
13 based on unspecified sort of criteria, you create
14 a significant risk of over blocking or a decision
15 to remove functionality altogether. One of the
16 challenges is an identification. The music
17 industry in particular has problems just keeping
18 track of who owns what on very basic things. If
19 you add this whole additional layer of things to
20 track and things to potentially filter for you,
21 just create an environment that may not
22 accommodate any practical solution. Thanks.

1 MR. FRIEDLANDER: Good afternoon. My
2 name is Tim Friedlander. I am the co-founder and
3 president of the National Association of Voice
4 Actors. We are a social impact nonprofit that
5 represents approximately 1200 members of the
6 United States. We also are co-founder of the
7 United Voice Artists Federation, which is the
8 global federation of voice acting Associations in
9 19 countries. We have worked along SAG AFTRA for
10 this last year. However, 80 percent of the
11 voiceover industry is nonunion, meaning that even
12 if those are working under contracts, they're not
13 going to be protected under the SAG after
14 contracts. Additionally, 60 percent of our
15 membership is located outside of California and
16 New York. So they are located outside of states
17 that have the robust protections for voice image,
18 name and likeness. During COVID the voiceover
19 industry grew exponentially. It was one of the
20 few areas of the entertainment industry to
21 continue to grow.

22 Our concern is with synthetic voices,

1 specifically in voice cloning. And our approach
2 over this last year has been education of our
3 members cooperation, working with the platforms
4 themselves. Eleven Labs, OpenAI various platforms
5 out there to express our concerns and legislation.
6 We have been in DC supporting the No AI Frauds and
7 No Fakes Act. In our most recent surveys, 11
8 percent of our membership knowingly lost jobs to
9 AI. We are unsure how many unknowingly lost work
10 out of that 11 percent. 80 percent of that loss
11 were for people who were identified as people of
12 color. So, we are finding currently, at least in
13 the voice industry, it is having harm
14 exponentially different for minorities. We are
15 concerned with reputational harm and business
16 harm. We license our voices for a certain
17 product, in a certain market, for a certain period
18 of time. And previously, we had to contend with
19 those uses happening longer than we had
20 anticipated. Currently, now we have to contend
21 with completely new products and commercials being
22 made out of our voices that we never agreed to.

1 As a human, I could walk into a session, I could
2 be given a script for a product that I have a
3 conflict with or something that I wouldn't say,
4 and I can walk out of that session.

5 Currently, our synthetic voice, our
6 clone, cannot leave a session if it's giving
7 something that we do not agree with. So we are
8 concerned with false endorsement, false light, and
9 potential possibilities that our voices could be
10 saying things people wouldn't agree with,
11 endorsing political candidates that we do not
12 support, or products that we have conflicts with
13 with public access to sites. There's many
14 examples of voice actors who have lost work with
15 engineers who have just felt, well, I'll just
16 upload your audio to a certain website and create
17 a new branding for this product. That takes away
18 our ability to continue to work, potentially is
19 work that we would have had from that pickup
20 session. We currently sit at the intersection of
21 public and private. Many of our voice actors are
22 heard millions of times a day, yet they would be

1 unrecognizable and unidentifiable.

2 We are not the celebrities. We're the
3 working class, blue collar, mom and pop businesses
4 that are making minimum wage in a lot of areas. I
5 would suggest looking at Bev standing versus
6 TikTok. She's a nice grandmother out of Canada
7 who had her voice cloned and became the voice of
8 TikTok for a certain period of time until legal
9 action had that taken. We are not, as is
10 mentioned before, anti AI, anti tech. Currently,
11 the power of balance is in favor of the
12 corporations that unfairly take advantage of voice
13 actors using contracts for in perpetuity that have
14 language such as across the universe, in all
15 technology, now or forever to be invented. We are
16 finding that many people who have worked over the
17 years, have signed these contracts at the
18 beginning of their careers, are now being used
19 against them to create clone voices. There are
20 some definite possibilities. Those who are
21 nonverbal, doing what we call impossible jobs.
22 For example, narrating the New York Times cover to

1 cover when it comes out. Karin Guilfrey, our vice
2 president, has licensed her voice to be the voice
3 of a weather app that can say, verbally give you
4 the weather in any location at any time on the
5 planet. That's not something that a human could
6 do, but she has a very clear licensing that has
7 consent, control and compensation.

8 Currently, there are no technological
9 solutions that we have worked with that actually
10 can identify an AI generated voice, let alone
11 identify where that voice came from. We were very
12 much interested in provenance and knowing where
13 the voice came from. Voice actors almost
14 predominantly record at home. We record on our
15 own systems, and we hand deliver those audio
16 files. We don't hand deliver those audio files.
17 We email those audio files to our clients around
18 the world without any provenance, without any
19 tracing, tracking or monitoring that can happen
20 with those. We are looking for the ability to
21 work with the companies to know that if something
22 is uploaded to them, that the person uploading it

1 actually has the rights to upload that voice.
2 Currently, if you want to upload to Eleven Labs,
3 for example, you just state that you have the
4 right to upload that voice, and without having any
5 provenance or ability to control that. It's very
6 often very probable that voice actors voices are
7 there. We got started with this in November of
8 2022 when Apple released their synthetic voice
9 narrators, and we found that some of our members
10 were unknowingly the voices of the Apple
11 audiobooks. And followed shortly thereafter where
12 we found multiple websites with tens of thousands
13 of cloned voices from our members, taken from
14 video games and commercials and animation, many of
15 them not safe for work, and pornographic material
16 that currently are still up because there is no
17 way to legally have them removed. We've asked
18 nicely, but that does not do much if the companies
19 aren't willing to take them down.

20 We believe that citizens in all states
21 deserve protections from unauthorized uses of
22 their voice. There are multiple states that

1 require showing an individual identity has
2 commercial value. However, lots of the work that
3 we do is nonindustrial, non-broadcast. It's never
4 public facing, and a lot of times it's never
5 actually going to be heard by anybody outside of a
6 small group of people. We want to make sure that
7 state of publicity laws, state right of publicity
8 laws, apply only when the infringement occurs in
9 advertising. That is something that we have a
10 problem with, because a lot of times, as I said
11 earlier, that advertising doesn't actually happen.
12 Federal laws should be required for non-commercial
13 abuse, and these harms are inflicted by non
14 commercial use, including deepfake pornography or
15 the use of our voices where we would not like them
16 to be used. We are supporting the No Fakes Act
17 and the No AI Fraud Act, and we are asking that
18 federal law set the floor, not a ceiling, for
19 these protections. Many times we have many voice
20 actors who work in California and their client is
21 in Georgia or Tennessee or various other states.
22 And so, lots of times we're unsure as to which

1 laws we are actually covered under. We are
2 interested in covering all protections of
3 unauthorized replicas. However, we understand
4 that AI, because of its effectiveness and
5 technology, is something we should focus on very
6 specifically.

7 Fame recognizability should not be a
8 factor. The law should capture that would not
9 capture the majority of the views that's happening
10 for voice actors currently. And we also would ask
11 that a voice clone or a replica should not be a
12 condition of employment, which currently it is in
13 many cases. There should be, and we have
14 supported this. Proper extensions for First
15 Amendment speech. We have dealt closely with
16 those who are working on the No Afraid Act and no
17 faith act to ensure that First Amendment rights
18 are protected in there. This technology is
19 growing at an exponential rate and we are finding
20 new uses and new abuses for it on a daily basis.
21 So we would ask that there be some ability to keep
22 up with the pace of the growth which is essential

1 to the efficacy of this law. We are calling this
2 voice image, name and likeness to make voice very
3 specifically a concern. It is very much the tip
4 of the spear and very, very easy to manipulate and
5 use. And we would ask that there be any First
6 Amendment considerations be adjudicated on a case
7 by case basis outside of those which are already
8 enforced.

9 I would like to leave with just that.
10 This impact is as much on our business as on our
11 reputation. Many of us work directly with our
12 clients. We have very personal relationships with
13 them, and these are small clients and large
14 clients. As I said earlier, our vice president is
15 the voice of a major pharmaceutical chain. You
16 hear her voice every time you call in to get your
17 prescription refilled. And if her voice were to
18 be unauthorized and used somewhere else, that
19 would be a massive infringement on her ability to
20 continue working, as well as her reputation with
21 that company and voice actors. We're very much
22 small mom and pop businesses. You can look

1 through SAG AFTRA and see their information there.
2 That 11 percent of the industry makes less than
3 \$27,000 a year within SAG AFTRA. And the voice
4 industry is very similar to that where a lot of us
5 work for minimum wage or not much higher than
6 that. So, thank you very much and great to be
7 here today. Thank you so much.

8 MS. CHAITOVITZ: Thank you. They
9 changed my mic because apparently not loud and
10 that's the first time I've heard that. Thank you
11 very much.

12 MR. GATTO: Good morning. My name is
13 James Gatto. I'm a partner at Sheppard Mullen. I
14 founded and lead our AI team, which is one of the
15 largest practices in the country. Over 100
16 lawyers practicing with all different legal
17 backgrounds and industry specializations, not the
18 least of which includes IP, privacy and
19 entertainment of relevance to today. I started my
20 career at the USPTO back in 1984. So, for 40
21 years I've been advising clients and IP and other
22 technology law issues. I teach. I've been doing

1 AI work for over 24 years and I teach an AI law
2 course at Ole Miss Law School. I'm also an
3 appointed member of the AI Machine Learning Task
4 Force of the IP section of ABA and co chair the AI
5 subcommittee of the AIPLA Emerging Technology
6 Committee. I applaud the USPTO for continuing to
7 seek feedback from stakeholders on key issues with
8 AI and the other hearings you've recently held.
9 I'm honored to be able to present my thoughts
10 today. Of course, these views are my personal
11 views, not that of the firm and client, or any of
12 the groups that I mentioned that I'm associated
13 with.

14 I support thorough legislation on the
15 issues of misuse of a person's name, image, or
16 likeness, whether they're AI generated or
17 otherwise. Certainly, the rapid rise of
18 generative AI has exacerbated the issues by
19 facilitating the generation of realistic images,
20 videos, replicas, voice simulations of real
21 people. But the protections are needed whether AI
22 generated or not. There's other technologies that

1 certainly predate AI that continue to improve and
2 continue to contribute to the problems. I'd like
3 to share my thoughts on some of the issues to be
4 considered in connection with such legislation.
5 First, I think it's important to consider that a
6 person's likeness can be misused in a number of
7 ways, not all of which fall under what we
8 traditionally think of as right of publicity.
9 Clearly, when someone uses another's name, image,
10 or likeness for commercial purposes, that can
11 violate the right of publicity. However, as we've
12 seen, there's many other improper uses that are
13 not necessarily commercial purposes, but do cause
14 other types of harm. For example, there's a
15 growing trend to misuse a person's name, image, or
16 likeness to cause personal harm in various ways.
17 We've seen scammers use a person's voice print to
18 send realistic sounding messages to loved ones
19 seeking money for help leading to financial loss.
20 We've seen revenge porn and other sexual
21 depictions of people based on their likeness, and
22 many other types of personal harm that can result

1 from such activities.

2 So in some cases, the false depiction of
3 a person may impact not just the person, but a
4 group or society as a whole. For example, a false
5 depiction of a candidate may harm the candidate,
6 but it may misrepresent the position of a party,
7 and it may led to the spread of misinformation
8 that could in fact skew the election as a whole to
9 the detriment of society. Also, we've seen
10 situations where AI generated videos using the
11 appearance and voice of a CEO, again, can harm the
12 CEO if it's a false message, but it may also not
13 just paint the CEO in false light, but it can
14 actually harm the company. And if it's used for
15 purposes such as manipulating stocks, again, it
16 could have an impact on society as a whole. So,
17 my point is that these issues create personal
18 harms, but there can be greater harms to consider
19 as well. So, in crafting any legislation, I
20 recommend the careful consideration being given to
21 these and other harms, and the full range of
22 damages that can result from these types of

1 activities. And it is likely that we can't
2 pigeonhole this all into one category of right of
3 publicity. We may need to have different remedies
4 for different types of harms.

5 I believe the federal legislation would
6 be beneficial because the current situation is a
7 bit messy. The patchwork of dozens of state laws
8 and common law rights is confusing, inconsistent,
9 and creates many issues. The protectable rights
10 of a person may be based on their state of
11 residence or domicile. But if what we're trying
12 to focus on is protecting inherent rights or
13 characteristics of a person, why should it matter
14 where they live? Everyone should be entitled to
15 these rights. So with respect to the question of
16 to whom these rights should apply, I submit
17 everyone should benefit from these rights, not
18 just celebrities or famous people. In today's
19 creator economy, it may be both hard and perhaps
20 not necessary to show that someone is famous or
21 recognizable to protect the commercial interest in
22 their name, image, or likeness. The degree of

1 fame may impact the damages of individuals. But
2 if a law is enacted to protect identities and
3 enhance characteristics of individuals, this
4 should apply to everyone, just like the right to
5 privacy applies to everyone. If a person's right
6 of privacy is misused and they are not a
7 celebrity, the damages may be less, but they
8 should enjoy the rights nonetheless. And as
9 mentioned before, many of the harms caused may not
10 relate to commercial activity, and the level of
11 fame is largely irrelevant when you're dealing
12 with personal harm.

13 Any legislation should carefully
14 consider the basis for protecting right of privacy
15 and what rights are included. Well, this may be a
16 bit controversial. I submit that right of privacy
17 is not really an intellectual property right. If
18 we think about what intellectual property is, if
19 you look at the WIPO definition, for example,
20 intellectual property denotes creations of the
21 mind, such as inventions, literary and artistic
22 works, design symbols, et cetera, used in

1 commerce. The USPTO at times designed to describe
2 IP as creative works or ideas embodied in a form
3 that can be shared or enabled others to create,
4 emulator, manufacture them. In contrast, right of
5 publicity covers protectable aspects of a personal
6 identity or characteristics of a person. These
7 are not things that a person creates, but rather
8 inherent characteristics, traits, or identifiers
9 of an individual. Examples of characteristics
10 currently affordable protection, of course,
11 include name, physical appearance, voice, and
12 other distinctive personal attributes. Some
13 states, however, under the right of privacy laws,
14 go further and protect things such as portraits,
15 pictures, avatars, and even famous sayings. Under
16 at least some current law we look at, there's a
17 distinction between right of privacy and
18 copyright. For example, so if I'm a photographer
19 and I take a picture of a famous person, legally,
20 I own the copyright in that picture, and I can
21 sell that picture even though a person's image is
22 in it. In fact, in some cases, when the celebrity

1 tries to use that image on their website, they may
2 be infringing my copyright.

3 And so, we have cases along those lines
4 that highlight the distinction between right of
5 publicity and copyright. Now, of course, if I use
6 my image to endorse a product or a service, then I
7 may be violating that person's right of publicity.
8 So I think we need to really carefully consider
9 the basis for the rights and how it interacts with
10 existing rights, such as intellectual property and
11 other existing rights. Another topic,
12 particularly with AI, to consider in this context
13 is avatars or digital chat bots. Digital chat
14 bots, which are certainly, we're going to see
15 increasing use of them in many contexts. I think
16 it's helpful to consider these issues in at least
17 two ways. The creative aspects of an avatar
18 should be protectable under copyright, but to the
19 extent that the avatar includes aspects of a
20 person's name, image, or likeness, those aspects
21 should be subject to right of publicity. So in
22 part, it may depend on how the avatar is used.

1 Certainly if it's used for commercial purposes or
2 endorsement of products or services that would
3 step on the name, image and likeness and write a
4 publicity. But other uses of an avatar may not do
5 so. So I think, again, careful consideration
6 should be given to the lines to be drawn between
7 the way in which avatars et cetera, are used.

8 Another issue I think that's important
9 to think about is when should unauthorized use of
10 NIL be permitted? In some cases, as has been
11 noted before, the use of name, image, and likeness
12 may be part of the First Amendment protective
13 speech. So the question is, what should the test
14 be when First Amendment speech impacts name, image
15 and license rights? Should the test be modeled
16 after the Rogers test, which used. Which is used
17 to balance the First Amendment protected
18 expression with trademark law, or should the test
19 be modeled after fair use doctrine under copyright
20 law, or is a new test needed? I think elements of
21 those are probably relevant, but I'm not sure
22 either would be sufficient alone.

1 Another issue to consider is whether and
2 to what extent the right of publicity should be
3 licensable. Certainly, if a person has a right to
4 commercialize their name, engine, likeness, they
5 should be able to permit others to do so. I think
6 it's interesting question, though, is whether that
7 right should be fully assignable. If we look at
8 it as a personally inherent right based on your
9 own characteristics, unlike the right of privacy,
10 maybe assignability may be not the best course of
11 action. Defendability is another whole issue
12 which currently seems to be the law. One other
13 thing I'd like to mention in the time left is
14 that, or two things are actually one is that the
15 law should have keys. There needs to be, of
16 course, a private right of action, but there
17 should be attorneys fees. There should be minimum
18 statutory damages and other provisions to ensure
19 that it's not cost ineffective to actually enforce
20 your rights as it is in some other situations.
21 Last thing is that there's technical mitigation
22 that can be used. There's questions about some of

1 the technologies, use filters that can help
2 mitigate searches, that are designed to output
3 something that would include NIL's. I'm not
4 saying that those should be mandated, but the
5 availability of technology to help mitigate the
6 issue should be considered in connection with such
7 litigation.

8 One last topic is style. You asked
9 about whether style should be protected. I think
10 not. I think style is not an inherent
11 characteristic of a person. To the extent that
12 the style is just an idea, it's probably not
13 protectable under copyright. But to the extent
14 that there's actually creative elements that
15 protectable, elements that constitute the style or
16 reflect it, then style may be protectable under
17 copyright if it meets those tests. Thank you for
18 the opportunity to appear today, and I again
19 appreciate the Patent office USPTO holding these
20 hearings. Thank you.

21 MS. CHAITOVITZ: Thank you. And yes, I
22 did let him run over a minute, but that's because

1 I felt bad because I didn't start the timer on
2 everybody else. Always on time.

3 MR. LANE: Good morning. My name is
4 Rick Lane. I am the CEO of Iggy Ventures. I also
5 volunteer my time advising over 200 child safety
6 groups nationwide on tech policy relating to
7 online child safety. Over the past 36 years, I've
8 worked on almost every major federal technology
9 bill from e Sign and the Digital Millennium
10 Copyright act to foster SesTA, the Kids Online
11 Safety act, and the recently enacted TikTok
12 divestiture bill. I would like to thank the PTO
13 for organizing this important meeting and for
14 allowing me to present from the perspective of a
15 child safety advocate, the headlines speak for
16 themselves. The AI generated child abuse
17 nightmare is here. Or predators exploit AI tools
18 to generate images of child abuse. There's no
19 question that AI has promise and potential.
20 However, it also puts powerful weapon in the hands
21 of some of the worst people on the Internet, child
22 predators and pedophiles. And we must not allow

1 that to happen. This is not the first time tech
2 companies conduct and design decisions have put
3 our children at risk. And Congress is considering
4 legislation to fix the legal gaps and hold tech
5 companies accountable. Like the recently Senate
6 passed legislation, the Kids Online Safety and
7 Privacy Act COSPA that passed by a vote of 91 to
8 three. On January 31 of this year, the Senate
9 Judiciary Committee held yet another hearing with
10 CEO's of Snap, X, Discord, Meta and TikTok under
11 willful disregard for the safety and well being of
12 our children. We have seen cases in the US where
13 AI technology was used to harass young girls by
14 generating nude photos from their publicly clothed
15 images. AI technology is also being used to
16 manipulate existing CSAM into new images to
17 satisfy users demand for fresh materials re
18 victimizing the children who have previously been
19 abused, experts have warned. A horrific new era
20 of ultra realistic AI generated child sexual abuse
21 images is now underway.

22 In 2023, the National center for Missing

1 Exploited Children received 4,700 reports related
2 to synthetics and CSAM. This number is a fraction
3 of the overall number of reports that NCMEC
4 received in 2023, which was 36 million reports.
5 But the misuse of AI has potential to increase the
6 production of this exploit of content
7 exponentially and to accelerate disarm. But today
8 we as child safety advocates are organized and
9 ready to address this new threat, and thankfully,
10 Congress sees the urgent need to act in the AI NIL
11 policy space. On a positive note, bipartisan
12 proposals have been introduced in the House and
13 Senate, such as the Take It Down Act. This
14 legislation creates a notice and takedown regime
15 similar to the Digital Millennium Copyright act.
16 The legislation has strong support from child
17 safety accuracy community like Niki Nik and
18 Niccosi. Under the Take It Down Act, when a
19 takedown request is sent to an online platform,
20 the content must be removed within 48 hours,
21 according to child safety groups. Large platforms
22 such as Reddit and X frequently disregard takedown

1 requests for these types of images. Websites must
2 also make reasonable efforts to remove copies of
3 the images, so notice and stay down enforceable by
4 the Federal Trade Commission, the Take It Down Act
5 targets not only the person who creates abuse
6 videos, but anyone who uploads sexually explicit
7 content without the affirmative consent. This
8 broadens the scope of accountability and
9 protection. The act not only criminalizes the
10 publication of unauthorized sexual explicit
11 content, but the threat to publish such content on
12 social media or any other online platform, also
13 known as extortion.

14 As you may know, extortion has led
15 directly to multiple suicides of young males, and
16 the FBI has seen a dramatic increase in financial
17 extortion cases targeting minor victims here in
18 the United States. Also notably, the bill permits
19 the good faith disclosure of such content to law
20 enforcement or for medical treatment, which
21 currently such disclosures could be deemed to be
22 illegal for the victim or parent to disclose

1 another piece of legislation that recently passed
2 the Senate is the Defiance Act. This legislation
3 lets victims of sexually explicit deepfakes pursue
4 civil remedies against those who produce or
5 process the image with the intent to distribute
6 it. Victims who are identifiable in these kinds
7 of deepfakes can receive up to \$150,000 in damages
8 under the bill, and up to \$250,000 if the incident
9 was connected to actual or attempted sexual
10 assault, stalking or harassment, or the direct
11 approximate cause of those harms. The Defiance
12 Act is now awaiting House consideration. The
13 third piece of legislation being considered is
14 also known as No Fakes. This legislation was
15 recently introduced and we create a federal
16 intellectual property protection for so called
17 right of publicity for the first time. Those
18 celebrities and public figures have the most
19 significant stake in this subject. The law would
20 apply to deepfakes of everyone, famous and non
21 famous alike. The bill would establish a notice
22 and takedown process for removing unauthorized

1 replicas, but unlike the Take It Down Act, it does
2 not have a 48 hours time requirement, but instead
3 has a more vague requirement that the service
4 quote removes or disables access to the
5 unauthorized digital replica as soon as
6 technically and practically feasible after
7 receiving the notice.

8 The No Fakes Act also does not have a
9 takedown and stay down provision like the Take It
10 Down Act. The No Fakes Act only has a three year
11 statute of limitations running from the date the
12 plaintiff discovered or with due diligence should
13 have discovered the violation compared to the Take
14 It Down act, which has a ten year statute of
15 limitation from the date of which the identifiable
16 individual recently discovers a violation. But
17 more importantly for children has ten years from
18 the date which the child that turns 18. So that
19 way they can have cause of action when they're
20 adults because they may not have known about it
21 when they were children. However, as good as
22 these bills are, all new NIL legislation needs to

1 provide additional powerful legal tools and create
2 substantial new costs and legal risk for AI CSAM
3 mills, which none of these bills necessarily do,
4 especially for those websites that are operating
5 overseas.

6 My recommendations are the first
7 legislation must create a remedy against the
8 developers and AAM models to enable this new
9 generation of CSAM and harm to children. A law
10 that allows action only against the predators and
11 pedophiles who use these tools provide some
12 justice, but will ultimately fail to deliver
13 meaningful protection for our kids. These harms
14 occur at scale and the only way to stop them is at
15 the source. That is why I agree with the
16 coauthors of section 230 of the CDA, senators Ron
17 Wyden and former member Chris Cox, who stated that
18 CDA protections do not provide do not apply to
19 generative AI. Second, lawmakers must resist
20 watering down new remedies and protections based
21 on bad faith arguments about supposed risks to
22 free expression. No one disputes the vital

1 importance of First Amendment protection, but CSAM
2 or AI generated CSAM is not protected speech, and
3 the right place to sort these out is in individual
4 court cases, not through vague exceptions that
5 risk draining these critical new laws of vitality
6 and force. Online entities that are hosting,
7 storing or facilitating the creation of AI
8 generated CSAM must not be given a free pass from
9 criminal or civil liability. In addition, an
10 entity that is knowingly transmitting or receiving
11 CSAM that is included in its training AI training
12 data should also be held accountable because that
13 is basically distributing child porn. Third,
14 legislation must ensure that the statute of
15 limitations does not hinder children from seeking
16 relief later in life. Fourth, as we have seen
17 with sextortion, these harmful, nonconsensual,
18 intimate images can be created, stored, and posted
19 on websites based overseas and outside US law, and
20 we need to ensure that websites that there's a
21 mechanism to take care of those.

22 In addition, we also must address the

1 issues of search results. Google recently
2 proposed that they will now downrank websites that
3 have CSAM or other AI generated content, but down
4 resing. If you can down res which are down demote
5 these searches, then you can also delete these
6 searches and Google must be held responsible if it
7 does not. Fifth, there needs to be a way to
8 determine the identities of the individuals or
9 entities behind these websites that display
10 harmful deepfakes or unauthorized NIL for
11 legislation like the Take It Down act, Defiance
12 and No Fakes Act to be effective. Currently,
13 website owners information is being concealed by
14 registries such as Verisign and registrars such as
15 GoDaddy, Namecheap and the Public interest
16 Registry with unfortunately, the full knowledge of
17 the Department of Commerce's NTIA. This is
18 happening despite the us policy since 1999, which
19 aims to ensure that who is registrant data is
20 accurate and available for cybersecurity and
21 consumer and child protection purposes. Since
22 2016, who is registrant data has remained dark and

1 inaccessible and hidden behind so called privacy
2 proxy calls.

3 Congress and the administration must fix
4 this dark who is problem through legislation six.
5 We need to address the encryption issue that is
6 allowing individuals who are causing harm to
7 children to hide behind anonymity. Congress and
8 the administration must move fast. Predators and
9 pedophiles who would manipulate generative AI to
10 invent new forms of CSAM that harm children are
11 not waiting around, and victims should not be
12 forced to wait either. As stated in a letter to
13 Congress from 54 states on September 5, 2023.
14 While we know Congress is aware of concerns
15 surrounding AI and legislation has recently
16 proposed at both the state and federal level to
17 regulate AI, much of the focus has been on
18 national security and education concerns. And
19 while these interests are worthy of consideration,
20 the safety of children should not fall through the
21 cracks when evaluating the risk of AI. Thank you.

22 MS. CHAITOVITZ: Thank you. Can I ask

1 one follow up?

2 MR. LANE: Of course you can.

3 MS. CHAITOVITZ: You mentioned a number
4 of proposal bills that are currently pending.
5 Most of them were specifically targeted to
6 protecting children and sexual abuse, but there
7 was also the No AI Fakes Act or the No Fakes Act.
8 Do you have a preference to whether the
9 legislation needs to be separate and shouldn't be
10 included in a broad legislation or whether it
11 should be included in a broader piece of
12 legislation that protects everyone from AI fraud
13 and fakes?

14 MR. LANE: I think it really just gets
15 to the statute of limitations issue because for
16 children, a child may not know realize what
17 they've gone through into later in life because of
18 suppressed memories. And so, if you have a three
19 year statute of limitations, it happens when a
20 child's seven. That means by the time they're ten
21 they can't do anything. So having a longer
22 statute of limitations, especially allowing

1 children who have been harmed in this space to
2 have a cause of action as adults, when maybe
3 they've gone through therapy and they're able to
4 understand what has happened to them, or someone
5 says, I've seen a picture of you when you were a
6 child, and that triggers something that they're
7 not hindered by a three year statute of
8 limitation.

9 MS. CHAITOVITZ: Thank you. So the next
10 person on our agenda, Aden Hizkias, has had an
11 emergency and she's not going to be able to be
12 here today, but she will be on the virtual
13 roundtable this afternoon, so we will be able to
14 hear her comments. We are running ahead of
15 schedule, so I suggest that we just keep going and
16 have our break at 11:20 as previously scheduled,
17 and hopefully then we'll be able to leave even
18 earlier. So yeah. Scott Evans.

19 MR. EVANS: Good morning. My name is J.
20 Scott Evans, and I am the senior director of IP
21 and advertising at Adobe Inc. I'm sure
22 everybody's very familiar with our products. I'm

1 sure you all use them or someone in your
2 organization uses them. Adobe's in a very unique
3 position because we are also on the cutting edge
4 of inventing AI products that are embedded in our
5 products. In fact, artificial intelligence has
6 been in our products for 15 years. What's changed
7 is generative AI, and that's where arguably the
8 output can compete with the training data. And
9 that has caused an innovation, a disruptive
10 innovation in the market. I'm reminded because I
11 was involved with the development of Ican and I
12 wrote the UDRP. So, this is very similar to the
13 issues we dealt with when there was technology
14 that really threatened and got ahead of IP and
15 nobody knew what to do. We all sit here and, you
16 know, if you read newsletters, every government is
17 dealing with this, every agency. When the
18 government is dealing with this, the courts are
19 dealing with it. There are, I think, over 25
20 cases now that have been filed surrounding IP
21 rights and AI. There's the executive order the
22 copyright office is doing, has just released one

1 of three studies. And so, this is a thing that
2 everybody is looking at and everybody's very
3 interested in. I think at Adobe we are very
4 pleased with the No Fakes Act. We're glad to see
5 it happen. I think we, I think the most important
6 thing with the No Fakes Act is that it be
7 preemptive because you really don't want 51 laws
8 that you have to deal with when you're trying to
9 do this. It only makes things more complicated.

10 The more complicated it is, the more
11 people violate it on YouTube and other things
12 because they just can't figure out how to do it or
13 it's too expensive to do it. And that causes a
14 problem. I think we think there should also be a
15 federal act, because when you get through looking
16 at this issue, it's not always a right of
17 publicity. I'm from North Carolina, where I have
18 a license in North Carolina. Also practice in
19 California. California is a right of publicity,
20 which means it's your right to trade on your
21 image, your likeness, your name. But in North
22 Carolina, it's the right of privacy. And it's a

1 very different approach that you come in, the
2 courts have mucked it all up because they use the
3 terms interchangeably and they don't know. So,
4 the case law is not very clear. An unclear case
5 law only creates more problems. It allows people
6 to violate it, and it allows people to bring
7 unnecessary actions. And that becomes a Peter
8 Wolf situation. People quit listening because
9 they get so many right of publicity claims or
10 right of publicity claims. They just don't take
11 anything seriously.

12 So I think clearing it up on a federal
13 level would be very helpful. It needs to be
14 narrowly tailored. I think we agree with that. I
15 think we agree with Ben. And it needs to have
16 very clear First Amendment exceptions in the
17 statute itself, nothing vague, but we think that
18 the No Fakes Act doesn't go far enough. And why
19 do we think that? Because we think even with the
20 50 different laws, the people who have a right of
21 publicity already have protection. There have
22 been cases where Bette Midler sued Ford because

1 they used her sound alike. She prevailed. Right.
2 There have been other cases. John Waite sued when
3 they used looked sound alike for him, and he's
4 hedged, sued under state law. So the famous
5 people have protection. Who doesn't have
6 protection are the graphic artists and artists who
7 are every day putting out brochures and putting
8 out things that color our world everywhere we go,
9 and they're not getting protection because they're
10 not famous. And so, we do think that style needs
11 to be protected. And we have proposed the federal
12 anti-impersonation. Right. And it's a statute
13 that protects style because we ran tests on all of
14 the AI text to image generators. And when you do
15 a one-to-one comparison, you can't see it. But if
16 you take an artist and you take about 15 of their
17 art and you hold that one up to the 15, you see
18 how someone ate the style, right? These are
19 digital forgeries, my friend. And forgery in fine
20 art was rare because you had to have the same
21 talent as the artist in order to do a forgery.
22 Now all I have to do is be able to type and be

1 able to come up with a clever prompt, and I can
2 create something that takes away your economic
3 harm.

4 We think it should be only for
5 commercial uses, that if your daughter wants to
6 get in there and play and have Britney Spears on a
7 hippo on her phone, that should be completely
8 fine. But if then they're selling it on Etsy and
9 it looks like it was created by a famous artist,
10 that should be actionable by the artist. We
11 believe that there should be statutory damages
12 because so many times artists don't bring actions
13 because they don't have the money and they don't
14 have a way to recoup the money. We believe there
15 should be punitive damages if it's found to be
16 willful. We believe that there should also be a
17 collection of attorney's fees, and we believe that
18 it should preempt other actions. So that's what
19 we're here to talk about. That's what we believe
20 should be done. I think that, you know, we think
21 there's a lot going on. We think there's a lot of
22 good positive thoughts going on. Adobe started

1 the content authenticity initiative four years
2 ago, in 2019, five years ago, because our general
3 counsel said deepfakes is probably the greatest
4 threat to our democracy that's ever occurred. And
5 that's a way there's now 3,500 number companies.

6 And this is started then the CTVA, the
7 content creation provenance. And that is a
8 nutrition label that would go on all digital media
9 voluntarily. It would tell you where it was
10 created, who created it, if it was manipulated
11 with AI, was it created with the AI? It'll have a
12 little symbol at the top and you would touch that
13 symbol and this label would come out in the
14 dialogue box and it'll give you all that
15 information. And so, what we would say to the
16 executive branch and to your office is, we need
17 help educating. We need help educating people
18 because it's media literacy that's going to help
19 stop these fakes. If people don't see that
20 symbol, they would know to question it, right? To
21 think the white doesn't have the symbol, that
22 tells me. But it is voluntary because we've

1 worked with organizations who represent small
2 reporters who use their iPhones in very oppressive
3 regimes, and they take pictures and photographs.
4 They don't want people to know who they are
5 because there are tremendous repercussions for
6 them politically in imprisonment or torture.

7 So we understand it has to be voluntary,
8 but we believe that if people get their media
9 literacy and they use these tools, we can help
10 thwart the misuse of this stuff, of this
11 technology. The reality is, this technology is
12 not going anywhere. They are not going to put the
13 genie back in the bottle. No court is going to do
14 it. No administration is going to do it. No
15 government is going to do it. So rather than
16 throwing stones at one another, what we need to do
17 is sit down in a room and find out ways to protect
18 our children, our customers, our families, our
19 wives, and find real world solutions for that.
20 And the government can do that by bringing more of
21 these forums together and having industry and
22 consumer groups and content creators sit down and

1 put us in a room and lock us in a room and say,
2 figure it out. And we will. We all have a vested
3 interest in doing so. That's all I have to say.

4 MS. CHAITOVITZ: Thank you. Can I just
5 ask one question? You said you favored a federal
6 law for commercial uses, and I don't know whether
7 you meant only because then the example you gave
8 was --

9 MR. EVANS: It would only be actionable
10 if it was a wholly generated piece of art. So, if
11 you took it into another thing and you like, you
12 like the style, and you're growing on the style,
13 you're growing, you're going to be a genre, it's
14 going to look like something that wouldn't be
15 actionable. It has to be wholly generated. So,
16 it's just a prompt make me something the style of
17 J. Scott Evans and it pops out and then I can show
18 it and it's a commercial use.

19 MS. CHAITOVITZ: And that's what I
20 wanted to ask about, because you said for
21 commercial use, but at the same time, the example
22 you gave was a private use.

1 MR. EVANS: We have exceptions. We have
2 the First Amendment exceptions and one of them is
3 noncommercial use. So, the example I gave would
4 fall into a First Amendment exception. It would
5 not be actionable. The daughter.

6 MS. CHAITOVITZ: Okay, so for, I'm just
7 trying to get to the commercial use versus
8 noncommercial use as distinguished through the
9 private versus public use. For example, the
10 Taylor Swift sexual, you know, pornographic thing
11 that was put up. Do you think it was put up? Was
12 not commercial. That was just a deep fake?

13 MR. EVANS: Well, under our, under our
14 statute, that's not, that doesn't cover that kind
15 of thing. I mean, it would cover if there was an
16 artist, I guess if there was an artist who's known
17 for their erotic art and you use them to create
18 this, then that artist would be able to have an
19 action. Taylor Swift would not. Under this,
20 under the Fair Act, it's only the artist that has
21 the right to bring the action, not the person. If
22 you put, you know, somebody who didn't give you

1 authorization, it's different than right of
2 publicity. And that's one of the things I think
3 we also need to say is this technology didn't
4 exist until like two years ago and it's grown so
5 very fast. So, let's quit trying to shove the
6 solutions into the laws that already have existed
7 and never contemplated this. We need to think
8 outside the box. And that's the fair act is
9 outside of copyright. It's outside of trademark.
10 It is something wholly independent.

11 MS. CHAITOVITZ: Okay, so now. And so,
12 for the only commercial uses, you were talking
13 about the Fair Act as opposed to the No Fakes Act?

14 MR. EVANS: Correct.

15 MS. CHAITOVITZ: Okay.

16 MR. EVANS: And I'm happy to send you a
17 copy of our draft so you can see it. All right,
18 thanks super.

19 MS. CHAITOVITZ: Thank you. Shawn?

20 MR. MCDONALD: Good morning, everyone,
21 and thank you so much for having me here today.
22 My name is Shawn McDonald. I'm the senior vice

1 president of business and legal affairs at MLB
2 Players, Inc. Which is the for profit and wholly
3 owned subsidiary of MLBPA, the Major League
4 Baseball Players Association. MLBPA holds the
5 exclusive worldwide right to use license and sub
6 license the NIL of all active major league and
7 minor league and recently minor league players for
8 use in or in connection with any brand, product,
9 service or product line in various circumstances,
10 including when three or more players are used. PA
11 appointed MLBPA appointed MLBPI to represent PA in
12 all licensing matters. As you know, the Supreme
13 Court has characterized the purpose of NIL
14 protection as preventing unjust enrichment by
15 theft or goodwill. That simple and
16 straightforward concept recognizes that in our
17 society, every person has the right to enjoy the
18 fruits of their labor, including the value of
19 their name, image, and endorsement. Supreme Court
20 sorry -- the third circuit held that unauthorized
21 use harms the person both by diluting the value of
22 the name and depriving that individual of

1 compensation.

2 Unauthorized appropriation of NIL
3 content also harms professional athletes and other
4 rights holders by linking their identities to
5 commercial products, companies, and uses with
6 which they do not want to be associated. This is
7 a particularly salient concern for professional
8 athletes in the current polarized era, as many
9 companies and products are tied to ideological
10 positions and many fans and consumers pay
11 attention to the products that their players are
12 endorsing. Unauthorized use of player players NIL
13 risk associating players with products or views
14 they simply do not support. AI technology does
15 not change these harms, but the ease of creating
16 AI images makes the harm much more likely to
17 occur. Now, to be clear, when products are
18 properly licensed and created with the approval
19 and compensation of the professional athletes,
20 celebrity, or individual, new technological tools
21 such as AI can be used to create high quality
22 content. There are benefits to be had from

1 properly licensed and created content, and
2 examples are numerous.

3 As technology evolves, sometimes current
4 legal protections are sufficient and sometimes
5 they are not. The Lanham Act, which many folks
6 have discussed today, allows for a claim of false
7 endorsement in certain limited circumstances,
8 namely when someone's NIL is used to advertise
9 goods or services and that person can show a
10 likelihood of confusion as to whether they are
11 endorsing that product. The likelihood of
12 confusion test is complicated and involves many
13 factors, such as the celebrity's level of
14 recognition among the intended audience, the
15 relationship between the source's individual fame
16 and the product, and the defendant's intent,
17 amongst others. This test makes false endorsement
18 no litigation under the act complicated and
19 requires significant financial resources by the
20 plaintiff. As we all know, not all states have
21 statutes or common law protections ensuring the
22 right of publicity, although the vast majority do.

1 Nearly a dozen either have no protection or only
2 uncertain protections against the unauthorized use
3 of an athlete's or other well-known person's NIL.
4 The patchwork nature of the state laws makes
5 enforcement difficult, particularly for athletes
6 and others whose images may be used and misused by
7 companies across the country. States also vary in
8 the scope of the information they protect. Some
9 states cover names and image narrowly. Others
10 make more clearly protect important and
11 identifiable images. Elements of a player's
12 likeness, such as a player's name and historical
13 statistics.

14 From the perspective of uniformity,
15 there could be benefit in a federal law
16 establishing a nationwide baseline minimum
17 protections for NIL rights. This would ensure
18 that all rights holders have minimum protections
19 regardless of which state laws might apply in a
20 given case. Any such federal law must also allow
21 states to provide increased protections for NIL
22 rights holders in order to safeguard them from

1 content exploiters seeking to commercialize their
2 reputations and of identities without
3 compensation. States have been regulating and
4 balancing NIL interests for several decades and
5 continue to be permitted to do so. States have a
6 strong, local, rooted interest in history and
7 tradition in protecting their residents NIL and
8 other property rights. Importantly, transactions
9 and relationships have been founded on the
10 prevailing state regimes, and to impose a federal
11 rule that overrides those state law protections
12 would invite chaos. It is common for federal
13 statutes to set a floor for protective regulations
14 while allowing states to provide increased
15 protections. Any federal legislation on NIL's
16 should do the same. For instance, for decades,
17 trade secret protection was a matter of state law.
18 When federal trade secret legislation was passed
19 in 2016, it expressly provided that it did nothing
20 preempt state law, thereby allowing states to
21 provide greater trade secret protection. With
22 respect to worker protections the Fair Labor

1 Standards Act sets minimum wage, overtime, and
2 other requirements for employees but employers,
3 but allows states to establish more protective
4 requirements. We think the same approach should
5 apply here.

6 So what are the key elements that we
7 believe should be in some no law? I'm just going
8 to start from the top, which is we believe there
9 should be a federal private right of action. We
10 think that you should be able to enforce your
11 protections. You know, frankly, the Taylor Swift
12 example we just discussed, we think that Taylor
13 Swift should have a private right of action. Any
14 law should include the strongest possible
15 protections against unauthorized commercial use of
16 NIL, the heightened risk created by the explosion
17 of generative AI, and the ease of appropriating a
18 professional athlete's or other persons NIL's
19 support strong protections. Name, image, and
20 likeness should be defined broadly to cover all
21 depictions or representations of those attributes,
22 including, but not limited to names, nicknames,

1 signatures, or facsimile thereof. Biological
2 information, numbers, playing records, performance
3 data, voice, image, likeness, performances,
4 publicity rights, and other personal attributes,
5 however generated, whether through AI, massive
6 data aggregation, or any other current or future
7 technology.

8 A federal NIL law should clearly and
9 expressly protect all identical aspects of a
10 person's attributes when used other than in the
11 context of contemporaneous news reporting. Any
12 federal law should also address and protect the
13 right holder's ability to assign or otherwise
14 grant those rights. Any federal law should cover
15 all unauthorized replicas whether generated by AI
16 or otherwise, focusing on AI only would undermine
17 effective enforcement of law, as AI generated
18 replicas are difficult to define and difficult to
19 identify or distinguish from other
20 computer-generated replicas. The use of an AI
21 tool to edit an image that a creator drew or
22 picture that a photographer took, for example, is

1 not the same thing as an image created entirely by
2 AI from a text prompt. But it could be a daunting
3 challenge in many instances to distinguish between
4 the two determine which would be covered by the
5 law. Any potential consumer looking at an image
6 may not be able to tell how it was created, so
7 drawing a distinction would likely trigger
8 extensive litigation requiring discovery into the
9 process of producing the work.

10 More importantly, whether the image was
11 AI generated is unrelated to the harms of
12 unauthorized use. As I discussed previously, to
13 mitigate those harms, legislation should focus on
14 the work itself, not how it was produced. Through
15 the private right of action, persons whose federal
16 NIL rights have been violated should be able to
17 seek damages to remedy the violation, and
18 injunctive relief to stop the violation and
19 prevent the violation from reoccurring. As for
20 First Amendment concerns, the Supreme Court has
21 said that we should balance the right of publicity
22 against defendants free speech rights. We have

1 concerns that it can be difficult to capture and
2 implement the balancing analysis required. Overly
3 reductive interpretations can take an exception
4 far beyond what is required under the First
5 Amendment, thereby undermining the intent. We do
6 support certain narrow exceptions. Thank you.
7 Thank you very much. Appreciate it.

8 MR. SEIDEN: Good afternoon. My name is
9 Jonathan Seiden and I'm here on behalf of
10 Endeavor, a global leader in sports and
11 entertainment. I'm grateful to have the
12 opportunity to speak at this roundtable and
13 discuss Endeavor's position regarding how the
14 protection of an individual's name, image, and
15 likeness is challenged by the advent of artificial
16 intelligence and the creation of non-authorized
17 digital replicas.

18 Endeavor's portfolio companies include
19 William Morris Endeavor Entertainment, known as
20 WME, which is one of the nation's preeminent
21 talent agencies. WME represents actors,
22 screenwriters, directors, musicians, authors,

1 athletes, and public figures in a variety of
2 commercial negotiations, including to license its
3 clients NIL. At Endeavor, I serve as Senior Vice
4 President and Associate General Counsel as global
5 head of Endeavor's Intellectual Property and Brand
6 Protection practice. Previously, among other
7 things, I was General Counsel for Elvis Presley
8 Enterprises and Muhammad Ali Enterprises, and
9 worked with Muhammad Ali a lot during his life.

10 Although the Lanham Act and some state
11 right of publicity laws provide some protection
12 for an individual's NIL, it's become clear that
13 these protections are not sufficient in light of
14 the proliferation of AI tools capable of closely
15 imitating an individual's NIL in a variety of
16 ways, including for both commercial uses such as a
17 fake endorsement, and non-commercial uses such as
18 sexually explicit deepfakes.

19 As the Executive Branch's primary
20 intellectual property policy official, the
21 Director of the USPTO and her team have a valuable
22 role to play in educating others in the government

1 and shaping the administration's position on these
2 issues. Endeavor has been on the front lines in
3 addressing the impact that generative AI has on
4 our clients and their industries, both in terms of
5 addressing the harms caused by unauthorized AI
6 generated deepfakes, and in terms of helping our
7 clients explore legitimate AI related
8 opportunities to open new licensing avenues and
9 deepen fan engagement. We've also been involved
10 in legislative and regulatory efforts involving
11 AI. WME publicly endorsed the introduction and
12 progression of the NO FAKES Act, and its support
13 was cited in the bill's press release.

14 I would like to make three points as
15 part of this roundtable. First, I'd like to
16 discuss harms of generated of AI generated
17 deepfakes. I'd like to speak to the ways in which
18 the unauthorized AI generated NIL content has
19 harmed WME and IMG and Endeavor's clients.
20 Several of our clients have already been the
21 victims of convincing AI generated deepfakes,
22 appearing to depict them marketing scam products

1 and fake financial services which had been posted
2 to social media. For example, Wayne Gretzky faced
3 a deepfake, appearing to depict him selling sham
4 AI powered investment services. The video that
5 was on the Internet realistically depicted Wayne
6 giving an apparent interview where he endorsed the
7 company running the platform. Nobody in this room
8 or watching via Zoom or streaming will be shocked,
9 but removing these videos from the Internet proved
10 to be extremely time consuming, expensive, and
11 difficult, as many social media sites and the
12 domain name registrar would not remove the video
13 unless we could prove the underlying copyright
14 rights into the video itself.

15 That took weeks. We finally tracked
16 down the underlying video on which the deepfake
17 was based. We then had the general counsel of the
18 copyright owner send something that -- we didn't
19 even send it, and then the content was finally
20 removed. It eventually worked, but this is not
21 the process. This cannot be the way going
22 forward. As a means to best protect our clients,

1 Endeavor has been on the cutting edge of exploring
2 technology to address these issues. For example,
3 WME entered into a commercial partnership with a
4 company called Loki (phonetic), a technology
5 company that specializes in software to flag
6 unauthorized content posted on the Internet that
7 includes clients likeness. The company then
8 quickly sends requests to online platforms to have
9 those unauthorized photos and videos removed.

10 But even where it's possible to identify
11 AI generated deepfakes, existing legal protections
12 are often insufficient to address these problems.
13 For example, right of publicity laws vary widely
14 from state to state and jurisdiction to
15 jurisdiction, and some jurisdictions lack robust
16 right of publicity case law clarifying the
17 existing status of protection. The Lanham Act may
18 help to target certain uses, but would not provide
19 a tool to address uses that may not confuse a
20 typical consumer or that do not occur for a
21 commercial purpose. Moreover, the proliferation
22 of unauthorized deepfakes from anonymous Internet

1 sources means that even where illegal action is
2 theoretically available, pursuing such action
3 would be prohibitively burdensome at scale, as I
4 just noted above.

5 Furthermore, the expeditious removal of
6 such deepfake content by social media platforms
7 and other ISP's still remains an area that needs
8 great improvement. It should not take two weeks
9 to get something removed, even 48 hours is a long
10 time. To be clear, this is not entirely a new
11 issue. The clients have long safeguarded their
12 NILs against unauthorized uses. But the
13 difference with generative AI is that this
14 technology offers the potential ability to cheaply
15 and easily replicate an individual's NIL in a way
16 that may be indistinguishable to most viewers,
17 many who are just scrolling through videos at such
18 a rapid pace through TikTok or Instagram, that
19 such deepfakes appear as any other ad that they
20 would quickly scroll through. However, due to the
21 proliferation of generative AI tools without a
22 legal framework that effectively addresses these

1 problems, Endeavor and its clients will now be
2 forced to play a game, as we've said throughout
3 this session, of whack-a-mole.

4 In addition to AI generated deepfakes
5 created by unauthorized third parties, our clients
6 are also laser focused on the ways in which the
7 availability of generative AI tools may impact
8 their standard contractual relationships. For
9 example, our clients have legitimate concerns that
10 counterparties will use AI to manipulate those
11 clients' facial expressions, tone of voice, and
12 other core aspects of their presentation. They
13 also have legitimate concerns that contractual
14 counterparties will use their existing
15 performances or images to train AI tools to
16 generate additional content without compensation.
17 Because legal protections in this area are
18 uncertain, these concerns may chill our clients
19 willingness to enter into commercial arrangements,
20 particularly where there may be concerns that a
21 client might be interpreted to have authorized the
22 ability to use their NIL in future AI generated

1 content without their consent.

2 Avenues for authorized AI tools. At the
3 same time, Endeavor and many of its clients are
4 eager to lean into innovation and embrace the
5 opportunities opened up by this new technology.
6 Indeed, many of our clients are exploring creative
7 uses of AI that allow them to license authorized
8 AI generated deepfakes or sound lags. For
9 example, WME has negotiated a deal between the
10 Estate of Notorious B.I.G. and Pepsi to celebrate
11 Biggie and his music through the use of AI; and a
12 deal between Snoop Dogg, who's killing it at the
13 Olympics right now, and the AI app Artifact to
14 allow use of his voice to read news articles
15 aloud. Endeavor has also been exploring
16 additional licensing opportunities through its
17 partnership with Vermillio, which provides
18 opportunities for customers to license their data
19 to generative AI platforms to develop authorized
20 authenticated deepfakes.

21 Finally, I want to briefly address what
22 steps are needed in light of the issues that we've

1 just discussed. As we've discussed and are
2 undoubtedly aware, the Copyright Office's recent
3 report calls for federal legislation that would
4 specifically regulate digital replicas by
5 prohibiting the unauthorized creation of digital
6 replicas that are indistinguishable from an
7 authentic depiction of a person, and that would
8 provide for a notice and takedown regime that
9 would prevent the dissemination of such replicas
10 on social media sites. Endeavor wholeheartedly
11 supports legislation along these lines.

12 Also as been discussed, a bipartisan
13 group of senators just introduced the NO FAKES
14 Act, which has been extensively workshopped
15 through listening sessions and has garnered broad
16 industry support, including the support of WME and
17 others. At the same time, the Executive Branch
18 can further facilitate these protections by
19 continuing to work to develop universal
20 authentication standards for authorized deepfakes
21 and by ensuring that disputes over AI generated
22 likenesses are properly dealt with both in the

1 form of prohibiting unauthorized AI generated
2 likenesses, and in the form of protecting
3 authorized AI generated likenesses. As I
4 mentioned earlier, the USPTO is particularly well
5 positioned to shape executive priorities both on
6 legislation and on these additional strategies.
7 Thank you very much.

8 MS. CHAITOVITZ: Thank you so much. Can
9 I ask one --

10 MR. SEIDEN: Sure.

11 MS. CHAITOVITZ: -- follow up, because
12 you noted the issue with whack-a-mole?

13 MR. SEIDEN: Sure.

14 MS. CHAITOVITZ: So, I was wondering if
15 you had any suggestion for a safe harbor notice
16 and take down --

17 MR. SEIDEN: Yeah.

18 MS. CHAITOVITZ: -- to avoid the
19 whack-a-mole?

20 MR. SEIDEN: Well, I would think that
21 we've always been in favor of some sort of
22 expeditious removal procedure working together

1 with the ISPs that could effectuate this in a
2 timely manner. It was -- the situation that we
3 had in other situations that we haven't discussed
4 here, it's very frustrating for clients. They see
5 what's going on and the way that it works. Having
6 something taken down in two or three days is not
7 sufficient anymore. It has to be done more
8 effectively. Thank you.

9 MS. CHAITOVITZ: Okay, so now we are 5
10 minutes after our 10-minute break, so why don't we
11 break now? And we'll still break for 10 minutes.
12 So, we'll come back at 11:36. Thank you.

13 (Recess)

14 MS. CHAITOVITZ: Okay, we are starting
15 now.

16 MR. MGBOSIKWE: Okay. Hello, everyone.
17 My name is Bijou Mgbojikwe. I'm here on behalf of
18 the Entertainment Software Association, the trade
19 association that represents U.S. video game
20 companies. And I would like to thank the USPTO
21 and Ann for being on my case and encouraging me to
22 be here to speak on some of the industry's

1 priorities and concerns in this space. Video
2 games have come a long way. A hundred and ninety
3 million Americans currently play video games.
4 That's 61 percent of the U.S. population. The
5 average age is 36. Think about 10 years ago, it
6 was 29. So, older millennials, those who have
7 sort of grown up with video games, are still
8 playing them now and playing them with their kids.
9 The industry is expected to hit \$300 billion
10 globally by 2030. Some of the most important
11 games you may be aware of. Number one,
12 best-selling game of last year, Hogwarts Legacy.
13 Number two, Call of Duty: Modern Warfare III,
14 Madden NFL 24; Marvel's Spider-Man; and, Legend of
15 Zelda: Tears of the Kingdom.

16 So, our industry is unique because we've
17 been using AI for over 40 years, since the ghosts
18 in Pac-Man. And it's been developing since then
19 to do all kinds of things from procedural
20 generation. Think of trees on a golf course, if
21 you're playing a golf game. To fixing bugs and
22 quality assurance testing in video games before

1 the day of rollout. You don't want a buggy game.
2 And just things like, for example, if you're
3 playing a soccer game, the wind moving through
4 your hair or moving through your shirt. All that
5 is AI. So, generative AI has the potential to do
6 even more in video games. But we've been using AI
7 for a long time, and we'd like to see legislation
8 that doesn't somehow prevent us from continuing to
9 do what we do.

10 So, our overall message today is that
11 certain aspects of digital replica legislation
12 uniquely impact the video game industry, from
13 definition, to licensing, to liability, innovation
14 and creativity in the development and operation of
15 modern video games may stand to be negatively
16 impacted by legislation that, while it rightfully
17 seeks to remedy discrete harms, may do so in ways
18 that unintentionally of it an important medium for
19 entertainment, such as by, for example, reducing
20 characters to more cartoonish or alien depictions
21 rather than realistic depictions for fear of
22 massive dedication (phonetic).

1 If a digital replica right is -- a
2 federal digital replica right is contemplated that
3 intends to cover non-commercial uses, you know, it
4 should take care that the definition should be
5 precise, because a computer generated, highly
6 realistic digital replica is a video game
7 character. If it seeks to directly regulate
8 expressive works such as video games, then greater
9 care must be taken to ensure First Amendment
10 protection. And other issues such as post-mortem
11 rights should be term limited and verifiable, and
12 there should be preemption of similar rights on
13 the state level.

14 We definitely think that federal law may
15 prohibit certain non-commercial uses that are
16 intended to cause harm, or that may not be lawful
17 speech. But a distinction may need to be made
18 between those harms and regulation of digital
19 replicas in expressive works. Different laws may
20 need to be contemplated to remedy different harms,
21 and in this way, the unique impacts of digital
22 replica legislation on video games may be better

1 considered. So, we agree with Ben from MPA on
2 that point.

3 On enforcement mechanisms, I'll just
4 touch briefly on this. So, some video games have
5 user generated content, and this can come in the
6 form of creating your avatar, creating your
7 character, or customization of your character
8 within the game. So, this is very different from,
9 you know, uploading an unauthorized image or a
10 video that can make the round of different
11 platforms. So, we have concerns about secondary
12 liability and we think that there should be a
13 heightened standard of knowledge. In our case, we
14 believe it should be actual knowledge that the
15 digital replica is unauthorized. We would prefer
16 specific notice of such an unauthorized digital
17 replica as well. I believe Colin touched on that
18 platforms have content moderation systems already,
19 and our members that have games with user
20 generated content already have those. And so, we
21 would like, you know, some acknowledgement and
22 some recognition that if you already have a system

1 that works well, particularly for a closed
2 platform like a video game, you should be able to
3 continue using that.

4 We also believe that there should be no
5 additional liability, which is something we've
6 seen on the state level, for video game publishers
7 who either license out likeness creation tools to
8 others for game development, virtual experiences,
9 or even movie production, or for those who make
10 those tools available to players for avatar
11 customization within the game, or even those that
12 populate open worlds with what's called non-player
13 characters. So, it's random generation of
14 characters, some of whom may actually look like a
15 real person out in the world.

16 On First Amendment, just quickly end
17 here, you know, we would like laws, a law that
18 protects fictionalized uses for entertainment,
19 such as in video games. And that is probably one
20 of the most important things that we would like.
21 We would also like categorical exemptions for
22 certain kinds of uses, importantly, certain kinds

1 of works. Most members are not into either some
2 of the harms that have been listed here or
3 deceptive uses, and so we would like that to also
4 be recognized. That's it.

5 MS. CHAITOVITZ: Thank you. Andrew?

6 MR. AVSEC: Good morning. My name is
7 Andrew Avsec. I'm here on behalf of the
8 International Trademark Association. In 2019,
9 INTA passed a board resolution regarding the need
10 to protect the right of publicity that recognized
11 the harm caused by the commercial exploitation of
12 a person without their permission. Every
13 jurisdiction that recognizes rights of publicity
14 has recognized the need to protect natural persons
15 from exploitation of their identity. The
16 impersonation may occur from making an
17 unauthorized use of a person's name, likeness,
18 voice, or other personal characteristic that
19 identifies that individual to an ordinary and
20 reasonable viewer or listener. Whatever
21 characteristics are used to identify or invoke
22 that person to a reasonable observer should be

1 protected.

2 AI technology has dramatically eased
3 access and lowered the cost of tools that create
4 unauthorized NIL content. INTA's members have
5 developed significant experience and expertise
6 detecting, preventing, deterring, and removing
7 infringing, counterfeit, and fraudulent online
8 content. Important corollaries exist between the
9 challenges posed by trademark enforcement and
10 obstacles to preventing and deterring unauthorized
11 AI generated NIL content and distribution.

12 INTA is actively considering
13 technological measures that would assist consumers
14 by providing transparency as to when A) good or
15 service or content incorporates or is a product of
16 AI technology, B) how to reach them, and C) other
17 technologies that have proven effective in
18 communicating, origin, ownership, or licensing
19 information in support of online IP enforcement.
20 In the context of combating the online sale of
21 counterfeit goods and trademark infringement, INTA
22 has expressed support for legal regimes that

1 immunize electronic commerce platforms from
2 liability when the platforms expeditiously disable
3 or remove infringing content in response to
4 reasonable notices. Similar stakeholder-driven
5 incentives to promote online enforcement deserve
6 consideration in the context of curbing the spread
7 of unauthorized AI NIL content.

8 Finally, depending on how unauthorized
9 NIL content originates and spreads online, access
10 to domain name registration information may also
11 prove critical. Registration information for
12 domain names serves as a critical baseline for
13 online IP enforcement. INTA has long advocated
14 for an accessible, contactable, and accurate WHOIS
15 global database of domain name registrants.
16 Unfortunately, ICANN registrars and registry
17 operators have taken actions that have made it
18 significantly more difficult for victims of torts
19 like impersonation fraud to find contact data for
20 the parties responsible for online fraud or to
21 find -- by limiting access to previously public
22 WHOIS data.

1 Given these issues, at a minimum, INTA
2 respectfully submits that any proposal to address
3 unauthorized use, display, or distribution of AI
4 generated NIL content should include a mechanism
5 to allow for easy access to information regarding
6 the identity of the responsible party. For
7 example, if connected to a domain name, then
8 domain name registration information about the
9 parties responsible, whether it be WHOIS
10 information or the customer information for domain
11 names covered by privacy or proxy services.

12 Although protection for NIL may be
13 complimentary to the rights and remedies available
14 to trademark owners under the Lanham Act, it's
15 important to recognize that these are distinct
16 rights and there are important differences to the
17 requirements for enforcement. For example, courts
18 often describe the obligation for trademark owners
19 to police their marks against unauthorized use
20 online. It is unclear whether that obligation
21 should apply to NIL rights, and there are
22 important policy reasons not to impose that

1 obligation on individuals. Moreover, a Lanham Act
2 claim requires that the complaint of action caused
3 consumer confusion, mistake, or deception. An
4 individual should retain the ability to control
5 their NIL regardless of whether the unauthorized
6 use causes a likelihood of confusion or deception,
7 as those terms are understood in the context of
8 the Lanham Act.

9 Finally, it's unclear whether 1125(A) of
10 the Lanham Act offers relief to individuals who
11 have not previously commercially exploited their
12 own NIL. Accordingly, INTA respectfully proposes
13 that any attempt to address unauthorized NIL
14 content be designed to complement the protections
15 of the Lanham Act and related trademark and unfair
16 competition law and the existing right of
17 publicity laws. INTA supports a federal right of
18 publicity law that preempts state law. A federal
19 right of publicity statute is needed to bring
20 uniformity and predictability to this area of law.
21 Currently, rights of privacy and publicity are
22 controlled by the vastly differing statutory and

1 case law of the 50 states. Businesses wishing to
2 make nationwide use of particular aspects of a
3 living or deceased individual's persona need to
4 analyze all of these different laws and apply the
5 most onerous requirements of each state in order
6 to avoid liability.

7 In 1998, the INTA board of directors
8 approved in principle the necessity of having U.S.
9 federal right of publicity legislation as an
10 amendment to the Lanham Act. INTA has also
11 identified certain standards that should be met by
12 such an act. First, as mentioned, it preempts all
13 state law, both statutory and common law. Second,
14 it harmonizes, to the extent practicable, the
15 divergent laws of various states in a manner that
16 recognizes the principles underlying the right of
17 publicity and fairly balances competing public
18 interest. Third, the right of publicity should
19 prohibit others from making an unauthorized use of
20 a person's name, likeness, voice, or other
21 personal characteristic that identifies that
22 individual to an ordinary and reasonable viewer or

1 listener. Four, an individual claimant need not
2 make commercial use of his or her persona to have
3 a right of publicity. Therefore, INTA does not
4 support a requirement that individual demonstrate
5 fame. The commercial value of a persona may have
6 an impact on any damage amount claimed in dispute.

7 Five, to be actionable, the use at issue
8 should be for commercial purposes, and a direct
9 connection between the use and the commercial
10 purpose must exist. The claimant must establish
11 that the use of his or her persona results in an
12 injury or damage to the claimant and or unjust
13 enrichment to the defendant. An individual should
14 have post-mortem rights for a defined term. The
15 rights should be freely transferable, licensable,
16 and descendible property rights without regard to
17 whether the right was exploited during the
18 person's lifetime. The -- should also protect the
19 public interest by providing, through a
20 grandfather clause, prior users' rights for the
21 owners of names and marks consisting of aspects of
22 a persona lawfully acquired before the enactment

1 of the federal right of publicity legislation.

2 And finally, it protects the public's
3 interest by exempting from liability uses of
4 persona that meet fair use and First Amendment
5 standards for such uses, such as and without
6 limitation, news, biography, history, fiction,
7 commentary, education, research, and parody. In
8 addition, and as previously noted, INTA has also
9 expressed support in principle for statutory
10 mechanisms that offer legal protections to
11 electronic commerce platforms that expeditiously
12 disable or remove infringing content once a
13 platform has been put unreasonable notice of the
14 infringing material and terminates the accounts of
15 the users who have repeatedly offered infringing
16 materials. Given the speed and scale of online
17 distribution, similar enforcement mechanisms that
18 provide meaningful relief for violations of NIL
19 rights and legal protections for platforms that
20 comply with takedown requests deserve serious
21 consideration. Legal claims other than the rights
22 of publicity may be available to address

1 non-commercial uses of NIL, but INTA has not
2 formulated a position on that issue.

3 As mentioned, INTA approves, in
4 principle, of a federal right of publicity
5 legislation as an amendment to the Lanham Act,
6 subject to differing differentiating requirements
7 for enforcement, as explained previously. As a
8 commercial intangible property right, the right of
9 publicity should be subject to transfer or
10 licensing to promote commercialization where
11 authorized by the individual. This is consistent
12 with other intellectual property rights. INTA has
13 no position on the duration of a transfer, but
14 supports a fixed term on the right of publicity.

15 Thank you very much for the opportunity
16 and we look forward to further dialogue on this
17 issue.

18 MS. CHAITOVITZ: Thank you. I do have
19 one follow up. You mentioned that a proposed
20 federal right should be done as an amendment to
21 the Lanham Act. Is that in disposition as opposed
22 to like the standalone NO FAKES Act?

1 MR. AVSEC: INTA has not taken a
2 position yet, I think, on the pending bills. The
3 board resolution that INTA had previously passed
4 anticipated supporting an amendment to the Lanham
5 Act in principle. So we have to take back to INTA
6 any specific comments on the pending legislation.

7 MS. CHAITOVITZ: Thank you.

8 MR. KAZI: Hi. Thanks everyone. Just a
9 quick introduction. I am Umair Kazi. I'm the
10 Director of Policy & Advocacy of Authors Guild.
11 It's a pleasure to be here, and today I represent
12 the thousands of authors of fiction and nonfiction
13 books, journalism, scholarly, and academic
14 writing, and others whose written works view the
15 literary and civic culture in the United States,
16 all of whom are facing an existential challenge
17 due to the rise of AI. With 15,000 members, the
18 Authors Guild is the oldest and largest
19 professional organization of writers in the U.S.

20 For the last four years, we've been
21 engaged in advocacy and policy discussions around
22 AI, anticipating the disruptions to copyright in

1 the industries that rely on it. In 2020, we
2 submitted comments to the USPTO discussing
3 copyright implications of AI technologies, and at
4 that time, generative AI was still largely in
5 prototype form, but its power to shake the
6 foundations of the creative economy was readily
7 apparent.

8 My remarks they will focus first on how
9 authors names and writing styles are being used to
10 create competing books and derivative works. I
11 will provide some examples of the types of uses we
12 are observing in the market, with new uses
13 appearing regularly. And then I'll touch a little
14 bit on the capacity of existing legal frameworks
15 for a remedy. A lot of it has already been
16 discussed, so I won't belabor those points too
17 much.

18 The large language models consumers use
19 today were trained, among other data, on vast data
20 sets of copyrighted works, including hundreds of
21 thousands or more books, journalism, articles, et
22 cetera. This unauthorized and infringing

1 ingestion of works enables LLMs to generate
2 myriads of responses with references to an
3 author's name or title of their work or other
4 well-known attributes. For instance, we can say,
5 write me a story set in Westeros and that would
6 sort of mimic George R. R. Martin. LLMs can
7 generate detailed summaries, reproduce passages,
8 create relative works such as hypothetical
9 sequels, and impersonate an author style and
10 voice.

11 We are already seeing these users appear
12 in marketplaces, with AI users capitalizing on
13 authors names, goodwill, and reputations to sell
14 low-quality AI generated mimicries. For example,
15 in March, bestselling author Stephanie Land
16 reported finding unauthorized biographies
17 generated using the text of her nonfiction memoirs
18 Maid in Class. We reported these books to Amazon,
19 after which they were taken down. And around the
20 same time, Amazon instituted a policy to stop
21 allowing publications of such companion books
22 because there was a circuit of AI generated

1 summaries, workbooks, and biographies, weirdly,
2 unless they were published by vetted, reputable
3 publishers. Which kind of stemmed the tide of the
4 AI generated books that we were seeing, but didn't
5 stop them entirely.

6 Last month, we heard from best-selling
7 author Hampton Sides, who reported finding
8 several, again, AI generated biographies and also
9 summaries of his books, which we reported to
10 Amazon and they were taking down. But just to
11 give you an example of the scope and volume of
12 this problem, one of the so-called publishers that
13 were selling these summaries and biographies had
14 97 pages of listings on Amazon, and I think one
15 page is like 10 or 15 books, all for AI generated
16 books about or relating to other authors and
17 books, and all of them were published starting --
18 all of these works seem kind of murky now, but
19 published, quote, unquote, starting in January
20 2024.

21 But despite Amazon's crackdowns, AI
22 generated mimicries remain an enticing opportunity

1 for turning a quick dollar on the Internet. And
2 we have seen and reported apps with hundreds of AI
3 generated summaries and other books on Apple's App
4 Store and Google's Play Store. We have also seen
5 an increase in derivative uses of authors names
6 and other indicia of their personalities, such as
7 author chatbots that allow users to have a
8 text-based conversation with an AI agent
9 purporting to be an author. There's one famous
10 example of the Dan Brown chatbot where you could
11 chat with Dan Brown. I think there have been
12 others since then.

13 Somewhat relatedly, though, it's not
14 quite a direct NIL appropriation, we have seen
15 users that exploit the reputation of work, which
16 in some cases can be actionable as copyright
17 infringement if there's substantial similarity,
18 but not always because the AI output is not always
19 going to be substantially similar, and that leaves
20 a lot of uncertainty for the infringement
21 claimant.

22 But the kinds of users that I'm talking

1 about are third-party agents based on books
2 created by fine tuning and underlying LLM. So,
3 one example we recently saw was someone had
4 created an AI bot that allows you to query it for
5 emotional cues about characters, and it was based
6 on a book called the Emotional Thesaurus. Someone
7 had actually gone and created lists of words that
8 writers could use to describe emotions. We've
9 also seen AI being used to generate unauthorized
10 audiobooks, which are then attributed to fake
11 authors.

12 But generative AI capabilities and user
13 exploding creative industries and law and policy
14 makers must move urgently to protect the markets
15 for human creators before they're irreparably
16 decimated. Many of the uses of threatened
17 creators are not actionable as copyright
18 violations. And as the Copyright Office's Report
19 on Digital Replicas noted, when it comes to NIL
20 uses, copyright has a limited application.
21 Similarly, state privacy and rights of publicity
22 laws offer limited protection, such as for

1 commercial uses, but they don't cover the full
2 range of potential harms to individual from
3 unauthorized appropriation of their indicia of
4 personality. These laws also suffer from a lack
5 of uniformity.

6 The Lanham Act does provide a remedy for
7 some unauthorized NIL uses, but it does not
8 protect against non-commercial uses or uses where
9 there is a lack of attribution, which is a lot of
10 what we see in the AI space when it comes to
11 authors. And further, as Andrew also mentioned,
12 it requires consumer confusion, which is a
13 standard that's not always met. I mean, you might
14 be knowingly interacting with an AI Dan Brown, you
15 know, so. The Lanham Acts remedies are further
16 limited by the Supreme Court's holding in Bay
17 Star, which distinguishes passing off of tangible
18 goods from the passing off of an idea, concept, or
19 communication embodied in the goods.

20 The NO FAKES Act, which protects
21 individuals against unauthorized uses of their
22 voices and visual likeness, which the authors can

1 support, does offer a degree of protection to
2 authors, for instance, against a big audiobook in
3 their voice or other synthetic uses of their image
4 and voice. But it does not protect against
5 text-based AI generated imitations and
6 appropriations which we are most concerned about
7 and which we've been actively lobbying for. Some
8 of the solutions that we think would help is
9 adopting moral rights of attribution and
10 integrity, which would give some authors more
11 remedies against the kinds of misappropriations
12 that we're seeing. And we also support a federal
13 right of publicity that includes protection for
14 names.

15 The NO FAKES Act does not include names.
16 And often when it comes to text-based generation,
17 you prompt the LLM with a name and then it turns
18 out something in a similar style to the authors.
19 So, that's very important for us to have something
20 that actually allows authors to remedy against
21 text-based impersonations.

22 And I'll just close by saying that the

1 harm from unauthorized use of an author's name,
2 style, and authorial voice is not just economic.
3 It's a profoundly personal harm that authors have
4 likened to identity theft. And people have said
5 that when they've seen things like an unauthorized
6 biography or a chatbot that, it feels like someone
7 has stolen a part of them. This is not
8 surprising, since writing is an imprint of
9 personality, and an author's written voice are no
10 less personal than an actor's image, a sports
11 star's signature move, or a narrator's cadence.

12 So, we're hoping to continue working on
13 this and trying to devise robust policy solutions
14 to figure out how we can actually fill the gaps
15 between copyright and fair competition, Lanham
16 Act, and the state rights of personality and
17 publicity claims. Because it seems like when it
18 comes to LLMs and the kinds of uses of books that
19 we're seeing, there's a lot of gaps. So, we're
20 trying to figure out how to, sort of, close them.

21 Thanks for this convening, and we look
22 forward to the PTO's recommendations.

1 MS. CHAITOVITZ: Thank you. Can I just
2 ask one question? I understand the issue with
3 protection for names --

4 MR. KAZI: Yeah.

5 MS. CHAITOVITZ: -- of authors, but when
6 you say text-based impersonations --

7 MR. KAZI: Mm-hmm?

8 MS. CHAITOVITZ: -- by that, do you mean
9 style or do you mean something else? Or how would
10 that, how would we --

11 MR. KAZI: I mean, that's an interesting
12 thing, right? Because, like, I think we've been
13 talking about, when we talk about digital
14 replicas, there's -- we're talking about some sort
15 of concordance between the person whose identity
16 or indicia of their personality is being taken and
17 the actual sort of AI output. And in this case,
18 you know, style is going to be one, you know, like
19 Dan Brown chatbot will sort of, I'm not sure if it
20 would be like Dan Brown's writing style, but it
21 takes from sort of interviews that he's given or
22 like -- and a user might not think that they're

1 actually, actually chatting with Dan Brown in that
2 way. But, you know, there's some taking, and
3 we're trying to figure that out, too, because it's
4 like, in some cases, it is actual style, you know,
5 when the style is very sort of recognizable or
6 signature, like a Hemingway style or, you know,
7 George R. R. Martin style, I guess, like, in that
8 case, style is sort of tied also to expression.
9 The kind of imagination that George R. R. Martin
10 has that other authors might not have. But when
11 it comes to, say, like, a chatbot, it's different
12 from, like, a narrator's voice, which is, you
13 know, something biological, I think. I think we
14 talked about this. Like, you know, that NABA has
15 this concept of biometric identity. And we don't
16 have a biometric identity analog when it comes to
17 authors, but there is still a personality that's,
18 that an author is leaving. And sometimes it's the
19 style, it's the technique, so to speak. And other
20 times it's just, you know, the author's name and
21 reputation. Like, if it's Dan Brown and you want
22 to talk to Dan Brown about medieval Christianity,

1 like, the chatbot can do it, and, you know. It's
2 not a clear answer because we're trying to figure
3 this out, too. It's kind of one of those, you
4 know, abstract questions at times. Thank you.

5 MS. CHAITOVITZ: Thank you.

6 MR. LANDAU: Hi, I am Joshua Landau. I
7 am the Senior Counsel for Innovation Policy at the
8 Computer and Communications Industry Association,
9 which is a lot of words, but I wanted to start
10 just by thanking the personnel here from the
11 Patent Office and the Copyright Office.
12 Appreciate your time to this effort, to this
13 issue. And also, we really appreciate the work
14 that the Copyright Office put into their recent
15 report on exactly this topic. I don't agree with
16 every recommendation, but it was a deeply well
17 thought out and thoughtful piece. I really
18 appreciate the effort that went into that.

19 CCIA has been deeply engaged on AI
20 issues for quite a while. I recently testified
21 before the House Judiciary Committee on AI and
22 authorship and inventorship of AI generated works,

1 and our members are among the leaders in AI in
2 many different areas. CCIA's members include
3 companies like Google and Meta that are creating
4 AI tools. Also, Google, who have content
5 platforms where AI generated works may emerge.

6 Beyond that, though, our members use AI
7 in a lot of different ways. For example, Apple is
8 a member. There's a significant amount of AI that
9 goes into the photos that are being taken by your
10 smartphone. And that creates a real problem
11 because AI is such a broad term. It isn't just
12 generative AI. There's lots of other areas, and
13 we have a lot of concern about definition and
14 scope creep.

15 But even if we limit ourselves to
16 general AI, generative AI, sorry -- hopefully,
17 general AI is a long ways away. Our members seek
18 to be good citizens of the community. I think it
19 was Patrick, or sorry, Rick that pointed out these
20 platforms don't want a lot of this material. We
21 are trying to not replicate protected likenesses.
22 But they also need certainty as to how to handle

1 these things when user misuse happens. If there
2 is a safeguard that a user manages to defeat, who
3 is going to be held liable? Our members have real
4 concerns about it being them, despite their best
5 efforts to protect against these harms.

6 We also need to be considering whether
7 an AI specific right is the correct path, or if
8 really we're talking about NIL more broadly. We
9 don't really care in general in American law about
10 how some harm happened. We care about the harm.
11 If somebody has a non-consensual, intimate image
12 out there, it doesn't matter whether it was AI
13 generated or if it was a real image. It's still a
14 problem. This harm is very much the same. We
15 also need to consider, as several people have
16 alluded to, whether existing law covers the harm
17 that we're talking about. In some cases it will,
18 in some cases it doesn't. But where we have
19 coverage already, we should consider letting that
20 coverage go forward. And we've been very
21 appreciative of the efforts from thinking in
22 particular of Lina Khan here, Chairman Khan's

1 point that the FTC can enforce against AI under
2 its existing authorities. They don't need new
3 authority to go after deceptive business
4 practices.

5 Turning to some of the specific
6 questions that were circulated in the notes, there
7 are a lot of valuable uses for AI replications or
8 modifications of a person's NIL. That ranges from
9 the Randy Travis example that was mentioned, where
10 you know somebody who's had their voice taken from
11 them. You could also imagine Stephen Hawking
12 being able to use his actual voice from when he
13 was still able to speak throughout his life,
14 instead of the fairly robotic speech synthesizer.
15 That's going to be a common usage, I hope, in the
16 future for people who've had strokes, had other
17 injuries that take that away. But similarly,
18 autotune is a form of AI that's applied to a
19 voice. So, we do need to think about how these
20 sorts of laws might affect those long-established
21 uses.

22 Another example is a voice professional.

1 I know some who've had their voices damaged by
2 overuse. This provides a potential avenue for
3 them to avoid that problem. There are also new
4 uses, like when sportscasters in a sports video
5 game, NCAA came out recently, so I'm focused on
6 that they can react more realistically to an on
7 field event without having the sportscaster have
8 to record every single possible line. It's very
9 similar to the New York Times example that was
10 given. It's not practical for one person to do
11 that, but it is a valuable use of AI. And also
12 just the replication of real events in a fictional
13 context. That's the Forrest Gump example. I
14 think these are all very valuable uses of AI that
15 will emerge.

16 But again, we're going to run into
17 definitional issues. Things like AI, modification
18 of an image of a person that can affect Photoshop,
19 that can affect my smartphone. And concerns about
20 ensuring that protections for things like voice
21 don't approach a protection for style. There was
22 a fairly recent court decision that pointed out

1 that style is really hard to define in a
2 meaningful way. I mean, let's say the voice in
3 the style of Tom Waits, is that just anybody who
4 sings in a low, gravelly tone? What does that
5 mean? And do we want to provide that much
6 protection?

7 And there are probably a lot of
8 potential uses that are unknown, or I'm just not
9 smart enough to think of them in prepping this.
10 In terms of technical measures addressing AI, it's
11 difficult enough to detect whether content is AI
12 generated. Sometimes that's impossible, and
13 that's just to detect was it AI generated in some
14 way. Detecting whether a name, image, and
15 likeness in content was AI generated is hard.
16 Detecting whether that's authorized is effectively
17 impossible ahead of time. Even in the copyright
18 context, we see takedowns aimed at authorized
19 content where there's, you know, the two arms are
20 not talking to one another, where the enforcement
21 arm and the marketing arm are not coordinating
22 very well. And automatically detecting whether a

1 given use is First Amendment protected is, I will
2 say, impossible. And I will put a fair amount of
3 confidence that that's going to be true.

4 It's particularly concerning given that
5 there are First Amendment protected, unauthorized
6 uses. So, how do you prescreen for those, if you
7 are trying to have an automated system that
8 detects was this AI generated? Was it authorized?
9 Is it First Amendment protected? That's just
10 beyond what I think AI will be able to do anytime
11 soon, or possibly ever.

12 And finally, on the technical measures,
13 detection tools are likely to always lag
14 generations tools. So, the cutting edge of
15 generation is probably always going to be very
16 difficult to detect. It might be able to detect
17 one generation back, but you're not guaranteed to
18 get the newest forms.

19 Moving on to the sufficiency of current
20 NIL protections, I would say that sufficiency is
21 the wrong question. The real question is if the
22 patchwork of laws that we have provides the right

1 level of protection. And I think it's pretty
2 clear that for ordinary individuals, the answer is
3 no. And for commercial participants, probably
4 maybe too much. It really is going to depend on
5 where you are. It may not make sense to try to
6 shoehorn these very different harms. There's a
7 very different harm from non-consensual imagery
8 and a commercial false endorsement. Those two are
9 very different, and they shouldn't necessarily be
10 shoehorned into one law. They may be in one bill,
11 but they do not need the same legal approach. We
12 have privacy violations, we have non-economic
13 concerns for individuals, and for commercial
14 participants they may have some of those same
15 concerns, but a bigger concern is going to be
16 things like loss of creative autonomy or economic
17 injury.

18 The other special case I would point out
19 is election-related content, which is just always
20 going to need its own protection, given the
21 potential stakes and the particular importance of
22 getting it right. There's a real harm from the

1 unequal protections we have across states right
2 now. I also teach an IP survey course at American
3 as an adjunct. And for right of publicity, I've
4 pretty much given up on teaching it because
5 there's no meaningful way to teach it. There are
6 50 different laws. They're not really all that
7 similar. And there's no good theoretical
8 justification behind it that I can point the
9 students to, to say they are all trying to achieve
10 this. They're so different.

11 The last point I would make on the
12 sufficiency of current protections is just that
13 voluntary mechanisms like content ID can do things
14 that the First Amendment doesn't permit the
15 government to mandate. That may be preferable, or
16 at least something that you might want to look at
17 incentivizing. You can have a voluntary agreement
18 that provides much more protection than a law
19 could.

20 Turning finally to the parameters of a
21 potential NIL law, I will say that CCIA does not
22 take a position on whether one should be put into

1 place. But if one is put into place, we need to
2 have full preemption. If you don't preempt, then
3 it's just another layer of law. We've gone from
4 50 to 51 or 52 if you count D.C. If you do
5 partial preemption, if you do a floor and not a
6 ceiling, you're still dealing with a patchwork of
7 standards. There are still going to be places
8 that you have to think about, and you will
9 ultimately wind up in the situation you're in now,
10 where the most protective standard applies,
11 because practically speaking, you have to work
12 with that one.

13 We'd also suggest that there should be
14 separate approaches taken for commercial misuse
15 versus privacy type harms to individuals. There
16 might be specific additional approaches for
17 specific harms like non-conceptual imagery or
18 virtual CSAM, election materials. And beyond
19 different requirements, beyond different -- in a
20 commercial context, a fame requirement might be
21 appropriate, not the same kind of fame as in like
22 blurring, but some level of fame might be

1 appropriate for commercial misuse. That's not
2 going to be true in non-commercial misuse, and
3 they may need different remedies as well.
4 Commercial misuse tends to be more concerned about
5 money. Non-commercial misuse tends to be more
6 concerned about the personhood interests.

7 We also would suggest that it should not
8 be a transferable right and that licenses should
9 be limited in time and scope. So, when somebody
10 licenses these things, they should know how long
11 it's going to be used, how it's going to be used,
12 and they shouldn't be able to transfer it totally.
13 We have significant concerns about post-mortem
14 rights, especially if they extend too far. And I
15 would add, as many people talked about, we do need
16 robust safe harbors and we should not have an NIL
17 law limited to AI. It should target the harm, not
18 the mechanism. I see I'm out of time, so.

19 MS. CHAITOVITZ: I'm just still
20 scribbling down everything.

21 MR. LANDAU: I'm happy to send you my
22 notes.

1 MS. CHAITOVITZ: I did have a couple
2 questions.

3 MR. LANDAU: Absolutely.

4 MS. CHAITOVITZ: The first thing, you
5 mentioned some of these issues, but you started
6 out by saying, by mentioning and giving props to
7 the Copyright Office for their recent, very
8 thorough report. But then you said, but you don't
9 agree with everything. So, if you could elaborate
10 --

11 MR. LANDAU: Sure.

12 MS. CHAITOVITZ: -- what you don't agree
13 with, that would be --

14 MR. LANDAU: So, I think one example
15 that I think hopefully was clear from my statement
16 is that we don't agree on the preemption point.
17 The Copyright Office suggests a partial
18 preemption. We think it needs to be a full
19 preemption. I believe they advocate for a
20 post-mortem right. And we are concerned about
21 that. In general, certainly, an infinitely
22 extendable one would be a problem. And I think

1 the Copyright Office acknowledges the problems
2 with that kind of right. I haven't read it in a
3 few weeks or not recently, I won't say a few
4 weeks. So, I'm not sure what other areas of
5 disagreement. But those were, I think two of the
6 biggest ones.

7 MS. CHAITOVITZ: Thank you. And then a
8 couple things, because you did use Tom Waits as an
9 example of issues with, I guess, protecting style.

10 MR. LANDAU: Yeah.

11 MS. CHAITOVITZ: But as you know,
12 California law, and I believe that it wasn't
13 actually the statute that they used common law for
14 that Ben will correct me.

15 MR. LANDAU: I think Middler is common
16 law and Waits was statutory, but.

17 MS. CHAITOVITZ: I think it was both. I
18 think it may have been both of them. But, so I
19 was just wondering if you have then a concern of
20 kind of, of putting that kind of protection that
21 there already is in California, in a federal law?

22 MR. LANDAU: I do. I think that there

1 are some real concerns about style protection. I
2 think in California they really focused it on the
3 voice rather than the style. And I think that
4 there are some line drawing problems between voice
5 and style for people with very distinctive voices
6 in particular. But I think that they're also
7 really hard lines to draw. I think that we can
8 protect voice and say that style is not protected
9 and let the courts work it out, because I don't
10 think that it's something that can be done,
11 certainly not through an executive action and
12 probably not feasibly through legislation.

13 MS. CHAITOVITZ: Thank you. And I have
14 one final question, which is where you talk about
15 voluntary mechanisms that could be more hopeful,
16 you thought, than legislative mechanisms, and they
17 need to incentivize those voluntary mechanisms.
18 Are there any executive actions that you could
19 think to incentivize those kind of voluntary
20 mechanisms, like the voluntary commitments or
21 something?

22 MR. LANDAU: Yeah. I mean, voluntary

1 commitments work. There are certainly
2 imperfections with those, and I don't think we
3 would suggest that they should, that voluntary
4 mechanisms should be the only mechanisms, because
5 there are going to be people who don't want to
6 agree, typically not by members, but bad actors
7 who are not interested in behaving as good
8 citizens on the Internet and in our creative
9 world. I think that it's just a difficult thing
10 to incentivize voluntary commitments, but doing it
11 through the sort of process that was done with the
12 White House recently, with AI companies, things
13 like that can be very helpful in just getting the
14 ball rolling. I think that a lot of the value of
15 voluntary commitments though, really comes in the
16 mechanisms they create. And those, I think are
17 more likely to be things that are done through
18 negotiation between rights holders or interested
19 parties and the platforms, the ISPs, the different
20 entities that have an interest in working with
21 those rights holders or individuals rules.

22 MS. CHAITOVITZ: Thank you.

1 MR. LANDAU: Thank you.

2 MR. MOHR: Good morning or afternoon.
3 Thank you for the invitation to be here today.
4 We're grateful for the Office's efforts to examine
5 issues around name and likeness. These topics are
6 hard. My name is Chris Mohr. I'm the President
7 of the Software and Information Industry
8 Association. We represent about, oh, 350
9 companies in the business of information. Our
10 members are diverse. They range from startups to
11 some large and pretty recognizable corporations
12 that have operations all over the world. For over
13 40 years we've advocated for the health of the
14 information lifecycle, advancing favorable
15 conditions towards creation, dissemination, and
16 productive use. Our members create educational
17 software and courseware they create ecommerce
18 platforms. They create legal research and
19 financial databases, as well as scientific,
20 technical and medical publications. We are a
21 place where information and technology meet and
22 occasionally collide.

1 Our members, as a general, have
2 wholeheartedly embraced AI's promise and predict
3 advances that are going to revolutionize
4 information management, creation analysis, and
5 dissemination. They actively use AI on many
6 fronts, in the classroom and fraud detection, in
7 market data and trend analysis in AML, sorry,
8 anti-money laundering, and in locating missing
9 kids. They have invested billions in its
10 development, acquisition and use.

11 Normally, we look at issues from a
12 technology neutral standpoint. In other words,
13 fraud remains fraud, whether or not it's done in
14 person, or via a computer, or a phone, or through
15 a synthetic voice. We acknowledge that in some
16 cases AI is different and certainly support the
17 adoption of a risk-based framework to regulate the
18 technology, and our members have been leaders in
19 advancing AI accountability and governance. AI
20 that generates the most accurate and trustworthy
21 information limits unintentional bias, is based on
22 reliable data, is going to be the technology

1 that's most useful to governments, businesses, and
2 consumers.

3 Respect for IP is key to our policy
4 mission, and our members rely on the incentives
5 that IP law creates. We've been engaged in
6 anti-piracy work for a long time. We're members
7 of the Copyright Alliance and believe that the use
8 of AI must comply with existing statutory
9 requirements and respect for established
10 intellectual property rights. Those rights exist
11 to provide an ongoing incentive for authors,
12 artists, technologists, and scientists to create
13 original work and advance the progress of science.
14 The use of copyrighted works without permission to
15 train generative artificial intelligence models
16 remains the subject of active litigation, and the
17 legality you choose (phonetic) is going to be
18 heavily fact dependent. Our members are confident
19 that courts will sort this out, and we don't
20 support any changes to the copyright law at this
21 time. Now, but at this point you're probably
22 asking, what does any of this have to do with name

1 and likeness? We recognize the non-copyrighted
2 harms that misuse and abuse of AI can cause. But
3 it's important that the analysis of these issues
4 do two things. One, that it not prejudice the
5 outcome of this ongoing litigation. In other
6 words, that it not be used as an end-around for
7 existing doctrines, such as transformative use
8 that would balance a variety of equities on a
9 specific factual record. And second, that it
10 focused on the particular kinds of harm that are
11 caused by a particular activity.

12 When we appeared, when our organization
13 appeared in front of Congress in Los Angeles, we
14 suggested three primary considerations. The
15 first, is the interest injured by AI and IP
16 interest or a privacy interest, it can certainly
17 be both? Second, are these injuries that Congress
18 is looking to remedy addressed by existing law,
19 and are those injuries caused by existing
20 technologies as well as by generated AI? And
21 third, what limits should there be on this kind of
22 regulation, including First Amendment limits?

1 Many identity-based harms are already
2 covered by different doctrines in federal and
3 state law, and they already apply. Suppose, for
4 example, the generative AI is used to create a
5 digital replica of a celebrity image for purposes
6 of trade or merchandise and used to promote it.
7 This has happened a lot. It happened a lot before
8 AI was a problem. It just wasn't a product of a
9 generative artificial intelligence. All of that's
10 already illegal. Liability in the Lanham Act,
11 there is potentially liability under dilution in
12 certain circumstances. There are remedies
13 understood unfair competition doctrines, and to
14 the extent that a use was misleading or caused
15 confusion, it could be end of outrageous
16 (phonetic), there could be other available
17 doctrines that would apply.

18 And there's a separate harm that we've
19 also talked about, and that's, there's a second
20 kind of harm. And that's the privacy harm, and
21 that is most easily represented in the appearance
22 of non-consensual intimate injury. In this

1 instance, the individual suffers. Really, there
2 are two different types of harms. The first is
3 the economic injury that can occur through their
4 appearance in something like this, and the
5 confusion and distaste of an audience. And the
6 second is the privacy injury, the simple one to
7 human dignity that occurs when someone is placed
8 in that circumstance. And this is an area where
9 generative AI has changed things. It is easier to
10 do that now than it was five years ago. And no
11 federal statute exists that covers that kind of
12 injury. But it is important to keep those
13 injuries analytically distinct.

14 So, for example, if you write a statute
15 that attempts to address both of those injuries at
16 once, you're going to end up with something that
17 is overbroad. We view the NO FAKES Act that way.
18 It conflates privacy and property issues in a way
19 that is going to prejudge ongoing copyright
20 litigation around the scope of generative AI. By
21 presuming that all unauthorized digital replicas
22 are violations of the law, the NO FAKES Act

1 resolves the question in ongoing copyright
2 litigation without the traditional balancing of
3 interest that the fair use doctrine permits,
4 especially around technological advances and
5 transformative uses.

6 Second, statutes that address privacy
7 injury will be by definition, narrower. The
8 Defiance Act, which passed in Senate, is an
9 example of such legislation, and we supported it.
10 It creates a civil and criminal cause of action
11 around non-consensual imagery.

12 Finally, with respect to a federal right
13 of publicity, like others who have spoken before,
14 we compliment the Copyright Office on the
15 thoughtfulness of their work product. We also
16 note that Congress has considered the advisability
17 of a federal right of publicity over the years.
18 And again, that debate is, you know, the remarks
19 from my colleague from INTA took me back to the
20 late '90s, where, and there is a, a symposium
21 issue of the Columbia Arts and Entertainment
22 Journal, and specifically a colloquy around all of

1 these issues between academics that raises, among
2 them, the ability of computers to do this, the
3 various interests implicated by a right of
4 publicity, and the different theories under which
5 such a right would be promulgated, and that debate
6 and the policies around that statute are worth
7 having. But we do not have a position on a right
8 of publicity. We would, I think, we would share
9 the concerns around uniformity, especially around
10 preemption and scope creep, as well as its
11 existence beyond the life of the individual. But
12 those -- and we are not completely convinced that
13 these issues are entirely, that AI requires a
14 wholesale revision of them. But with that said,
15 we do recognize the privacy homes (phonetic),
16 particularly for individuals outside of commercial
17 context, and when they're affiliated with things
18 that they do not wish to be affiliated with, that
19 there may be gaps in the law that should be
20 examined, but they have to be, we suggest that
21 they be examined carefully on a case-by-case
22 basis.

1 MS. CHAITOVITZ: Thank you. I think
2 that's your last speaker. So, what I believe.

3 MR. MOHR: If I had known, it would have
4 been five minutes.

5 MS. CHAITOVITZ: Pardon? I believe some
6 of my colleagues have some questions, and then
7 depending on how much time is left, we can let you
8 each go through with like a minute of, if you
9 have, like, rebuttal comments or what have you,
10 depending on, we'll just divide by the number of
11 people by the time left to figure out how much
12 time you have?

13 MR. RITCHIE: I had a question about --
14 I'm Brandon, Brandon Ritchie. I had a question
15 about where liability should lie. It's a little
16 bit of a nuanced question. We've heard some folks
17 say that the creators should be liable. There's,
18 there could be a distinction between creators and
19 users. So, that's a question that I have. Should
20 liability in a new federal law, should there be a
21 new federal law? Should it rest with the creators
22 of the content, the users of the content, or both?

1 And should it depend on the types of content,
2 content involved? So, should it be different for
3 child pornography? And I guess underlying that
4 question is, is the creation of a digital fake
5 without any further action, something that should
6 be prohibited? So, that's the question that I
7 have. Anyone can comment. Thank you for
8 entertaining the questions. Yep. Go right ahead.

9 MR. MOHR: Can you hear me? Is this on?

10 MS. CHAITOVITZ: Yeah.

11 MR. MOHR: Okay.

12 MS. CHAITOVITZ: I think you need to get
13 closer.

14 MR. MOHR: Little bit closer. That's
15 better? All right, perfect. Obviously, the one
16 difference with CSAM or child pornography is that
17 it is illegal content. It's not content that is
18 being distributed illegally, which it is being
19 distributed illegally, but the content itself is
20 illegal. And therefore, First Amendment concerns
21 are saying that we're going to hinder free speech
22 sometimes misses that point very closely. So, I

1 think around CSAM, and again, we were talking to
2 Ann earlier about that there is no consent a child
3 can give for that. So, it's already
4 non-consensual illegal content being on. And if
5 we just go after the perpetrator who are now
6 hiding behind encryption or those who are
7 receiving it, which is also illegal, and we're
8 leaving the platforms and the web hosting entities
9 alone, we are not going to put a big dent in this
10 problem. And so, there needs to be legal
11 incentives for the platforms to be more proactive
12 in finding these. The EARN IT Act was one of the
13 ways that we were looking that, but also to ensure
14 that it's not just a whack-a-mole problem.
15 Because the other big difference here, when I
16 worked at Fox, trying to find movies that are Fox
17 movies is not going to hurt you if you are -- if
18 you're a parent and you're finding images of your
19 child and you have to go around to every single
20 website that is out there and find that image or
21 the child themselves as an adult, they are
22 basically having to re-experience that horrible

1 part of their life.

2 And the last thing I would say to that
3 in terms of being able to find out who is
4 responsible if it's on a website, I'm glad to
5 hear. I thought I'd be the only one talking about
6 the dark WHOIS and ICANN. But I'm glad my INTA
7 friends are here. Lori will be very proud of you.
8 Have a call with her at 1:00. But on that issue
9 because, because that in of itself is a huge
10 hindrance from a child safety perspective, from a
11 cybersecurity perspective and the consumer
12 protection, and that issue can be solved by the
13 U.S. because the U.S. government controls dot com,
14 dot net, and dot org.

15 MR. AVSEC: I just want to echo those
16 comments. I think the registries and registrars
17 took advantage of the GDPR to hide. I think if
18 you look back, it clearly states that you had a
19 contractual relationship with the parties prior to
20 implementation of the GDPR. You didn't have to.
21 And they just interpret it the way they wanted to,
22 because let's all be honest, their biggest clients

1 are criminals. Adobe owns 45,000 domains. I
2 don't own a million. The people who own a million
3 are the people who use it for dark purposes. So,
4 let's all just tell the truth. So, you need to
5 unveil that so that IP owners, parents, consumers
6 can take care of themselves and protect
7 themselves. And those that refuse to participate
8 should be punished.

9 MR. RITCHIE: So, for child pornography,
10 it's per se illegal to create it, even fictitious
11 depictions of it, I think in most circumstances,
12 maybe, maybe not. Okay, so for that, the mere
13 creation of a digital fake could be prohibited
14 conduct, or we should consider that. But are
15 there other types of fakes that would rise to that
16 level, that the mere creation of it without using
17 it would, you know, justify a prohibition?

18 MR. KAZI: Well, I guess it would. If
19 there is an exclusive property right in your
20 likeness, then anyone creating, you know,
21 unauthorized version, that would be unauthorized
22 per se, I guess if you're using the NO FAKES

1 framework. I mean, I think at least, like in
2 terms of models, I think the creator of the model
3 is the one that's sort of collecting the training
4 data and then producing the model that other users
5 can then use to make outputs, which, you know, at
6 least in the text world, I would say that creating
7 a model of a book, an unauthorized model of a book
8 is a greater harm, say, than using that model
9 where the output may or may not be, like
10 substantially similar. But I understand it's
11 different when it comes to images and fakes like
12 that because the output is the likeness.

13 MR. AVSEC: I think you have to be
14 careful when you get the strict liability because
15 I think it really, legislators don't like it. So,
16 I think you need to stick to real harm. So, if
17 you created fake drug pamphlets that give you
18 false ways to use drugs and things that are safe
19 in public safety, child safety, those are strict
20 liability things at the very creation of it should
21 be punishable. Whether somebody should make \$15
22 million in royalties or \$5 in royalties is

1 something that legislators don't care about. So,
2 I think if we're going to ask for stuff, and we
3 need to be serious, we need to do stuff that will
4 get them on board with us rather than losing that
5 ability to lobby for that because they think we're
6 lumping it in with economic interests of content
7 creators. And I, they're my biggest clients, so I
8 want them to succeed because I want them to buy my
9 software. But I just think if we want to really
10 do, because I'm a parent and I want children taken
11 care of, I want children protected. And so, I
12 think we need to be very focused when we ask for
13 something that we know there's always resistance
14 to in the legislative branch.

15 MR. RITCHIE: Thank you. Very
16 informative. I appreciate it.

17 MS. CHAITOVITZ: So, I believe with the
18 number of people that we have and the time, you
19 guys, if you want to have a minute for final
20 statements. We can do that. Now, I have to get
21 this down to a minute, and it's facing the wrong
22 way, so you guys can't even see it. But I'll just

1 stop you when a minute is up. Okay.

2 MR. SHEFFNER: Thank you. And thank you
3 again to USPTO for convening us all here today. I
4 learned a lot. One issue I wanted to mention,
5 which I didn't get the chance to address in my
6 opening statement was protection for style. And
7 you did hear a number of people here today
8 advocate for a new federal protection for artistic
9 style. We at the MPA have significant concerns
10 about that, and we addressed this in detail in the
11 comments that we filed with the U.S. Copyright
12 Office back in the fall of 2023. But very
13 briefly, we are concerned that establishing
14 protection for artistic style would significantly
15 erode the idea expression dichotomy in copyright.
16 Of course, copyright protects expression. It does
17 not protect ideas. By protecting vague notions of
18 artistic style, it would effectively be granting
19 exclusive rights in style. Ernest Hemingway, God
20 bless him, does not have a monopoly on short
21 sentences. Neither does David Mamet in screen.
22 And we would have significant concerns about doing

1 that.

2 MR. SEIDEN: Thank you. Just want to
3 reiterate my thanks. I think it's clear today
4 that there are a lot of concerned stakeholders and
5 definitely a lot of chefs in the kitchen. So,
6 it's definitely going to be quite the process to
7 figure this all out. But the academy stands ready
8 to work with you all on the path forward.

9 MS. CHAITOVITZ: Thank you. And one
10 other thing that I want to say, and I'm not using
11 anybody's time for this, is we are open to working
12 with all of you. If you have additional documents
13 or comments you want us to review, please send
14 them to us. We have gone through the Copyright
15 Office, the comments you filed with the Copyright
16 Office. So, we have those. You don't need to
17 send us those. But that was in November, which is
18 a long time in AI time. So, we're happy to get
19 any new comments or documents that you want to
20 send to us.

21 MR. LANDAU: So, no major comments at
22 this time. Knowing that we're staying between us

1 here and lunch. But just wanted to say, taking
2 extensive notes. Great to be hearing all the
3 viewpoints, and I just appreciate your invitation
4 today to the session.

5 MR. AVSEC: All right, thanks, I, too,
6 will be brief. This is a great conversation. I
7 learned a ton. And the point I would probably
8 echo is that any sort of policy proposal really
9 does need to be narrowly tailored to the harm or
10 harms that are being, being addressed.

11 MR. MOHR: Yeah, real quickly, along
12 those same lines, of kind of narrow tailoring of
13 this. One of the things that we've been trying to
14 navigate is this difference between performance
15 and the biometric data that is contained in those
16 performances, and having that freedom to license
17 our performance like we do on a daily basis
18 without the fear of having our voice or biomedical
19 data extracted from that performance to create
20 something wholly new. So, whether that, you know,
21 that may be something that has to be narrowly
22 tailored just or just towards voice without

1 affecting other parts of these laws.

2 MR. LANDAU: One topic that really
3 didn't come up much was training of AI on
4 celebrity or name, image, and likeness, and
5 whether there should be some appropriate
6 limitations on that. Many of the cases that are
7 pending right now, the courts are struggling with
8 whether training on copyrighted material or other
9 protected material itself is a violation of
10 copyright or other law. They're tending to find,
11 at least in the motion to dismiss stage, that you
12 have to both train on it and the output has to
13 include it. But of course, if, you know, if you
14 let the training occur, the propensity for the
15 output to have improper uses is going to be there.
16 So, I don't have a specific proposal, but I think
17 considering whether appropriate limitations on
18 training on protected material would help mitigate
19 some of the issues potentially.

20 MR. MOHR: Sorry about that. Three
21 areas. First of all, thank you. I thought I'd be
22 the only one talking about ICANN and WHOIS. And I

1 -- so, that was great. It doesn't happen very
2 often in D.C. But the areas that I just want to
3 emphasize is one on search, because that's how
4 people find the materials, especially with AI
5 getting more or as part of surge and generative AI
6 that just demoting sites that are harmful to
7 children or with CSAM is not enough, they should
8 be (inaudible). That gets into the issue of
9 international reach. A lot of these sites will
10 move offshore and people will be able to reach
11 them because the quote, Internet is borderless.
12 We need to have laws in place that allows law
13 enforcement and others to shut down those sites or
14 at least block those sites from being, being part
15 of it.

16 And the last issue would really be
17 around ensuring that when we're looking at these
18 issues, as Scott said so eloquently, much better
19 than I'm doing right now, but that we need to make
20 sure that there is this understanding of the
21 difference between the content that we're talking
22 about in CSAM and movie pictures. Right now,

1 Disney has more rights to take down a Disney movie
2 than a parent does of a picture with a child
3 involved in CSAM. That cannot be the policy of
4 the United States, and we need to make sure that
5 that is not the policy.

6 SPEAKER: I think one thing, as I said
7 earlier in my opening remarks, the genie is not
8 going back in the bottle. And so, one of the most
9 important things is access to data, because access
10 to data allows it to be more diverse, to include
11 groups, to include cultures that might be left
12 out. I was at a conference years ago, and it was
13 an ethics panel on AI before it was generative AI,
14 and they said that they had used it in an
15 employment context and it was skewing North
16 American male. And I raised the point, well,
17 that's because most, the most, the biggest
18 uncopyrighted data in the world is from the U.S.
19 Government. And I bet you the Pentagon has the
20 largest part of that. And before 1978 or '79, it
21 skewed North American White male. So, we've got
22 to have access to data and to do so.

1 So, what we need to do is focus on harm
2 and what that harm is and get detailed, very
3 focused remedies to the people who feel they're
4 being harmed by this technology. Thank you.

5 MS. CHAITOVITZ: Thank you.

6 MR. MCDONALD: Hello. Hello. Thank you
7 again for having me today. I've really
8 appreciated this spirited discussion. I would
9 like to reiterate Ames's (phonetic) comment that
10 we believe that there should be rules around
11 training on folks's AI -- sorry, training on the
12 IP rights, both the input and the output. We
13 think that both should be regulated independently
14 and concurrently.

15 In terms of the NO FAKES Act, I did want
16 to say we worked with their office and we
17 appreciate the opportunity to comment there. Just
18 a couple things here. We think that the
19 exclusions in that act are far too broad and
20 there's a fear that it could, frankly, subsume
21 some of the goals of it. We think we're opposed
22 to the limitation of liability that was added to

1 the final draft. And of course, I spoke at length
2 about preemption or our views there. But we do
3 appreciate that a lot of folks with different
4 perspectives are taking a hard look at this and
5 are taking the opinions of various folks. So,
6 thank you.

7 SPEAKER: Thank you very much. Just
8 very briefly, wanted to touch on in the case of
9 deceased celebrity estates, authorized AI
10 generated likeness provides really one of the best
11 possible tools to permit those estates to expose
12 new generations to those celebrities legacies and
13 cultivate new fans. You should see some of the
14 ideas that are currently being generated by
15 entertainment companies right now on how to
16 continue the legacy of some of the icons in the
17 entertainment industry. Therefore, it's important
18 to meaningfully address the problem of
19 unauthorized deepfakes while nonetheless leaving
20 open the opportunity to expand individuals
21 personal brands through authorized AI tools.
22 Think about not sure his estate really wants Frank

1 Sinatra singing Lil Wayne. That maybe should be
2 up to the heirs or whoever owns those rights. So,
3 it's just something as we talk about these rights
4 and do they continue during life or postmortem, I
5 think there should be a focus is also on post
6 mortem as well. Thank you.

7 MR. MGBOJIKWE: Hi, thank you so much
8 for letting me speak. I really learned a lot
9 today and I really enjoyed hearing others. I just
10 want to touch on, I think something I already
11 raised, which is I think one of our biggest
12 concerns is this idea of random or accidental
13 likeness. We already, our members, some of them
14 already faced lawsuits at the state level with
15 respect to right of publicity, and that's in a
16 sort of commercial context. And we only see more
17 of the same with an imprecise definition of
18 digital replicas in the digital replica context.
19 Because like I said earlier, the definition of a
20 digital replica without that deception element is
21 essentially definition of a video game character.
22 So, in games where realism is the point and

1 faithful depictions of people, the point here is
2 not showing the ability to sort of tell certain
3 stories using historical characters, for example,
4 or being able to just use particular plot lines.
5 And that's why it's really important to have laws
6 that distinguish the harms that people really want
7 to get at. That allows you to have different
8 First Amendment considerations for the type of
9 context in which the digital replica arises, and
10 that allows video games to be given the benefit of
11 the First Amendment protection to which it's
12 entitled.

13 MR. AVSEC: I don't have anything
14 significant to add to my prior remarks, but I did
15 want to say thank you to the USPTO and for all of
16 you for inviting us here to the Copyright Office,
17 for being here and all the work you done in this
18 issue, and for all the really interesting thoughts
19 and observations from my co-panelist. And I did,
20 you know, just want to observe that it was nice to
21 see support for two of INTA's longstanding
22 positions. One was on accessibility to the WHOIS,

1 accurate WHOIS data, and also for a federal right
2 of publicity law that would preempt some of the
3 state laws. I think both are significant tools in
4 combating impersonation fraud and should continue
5 to be explored.

6 MR. KAZI: Yes, I just want to thank
7 USPTO, and again, I wanted to reiterate the
8 comment, and that as the discussion took place, we
9 really need to be thinking about training as well,
10 because you don't get a digital replica, you don't
11 get the infringement of the, if any rights of
12 personality, without a sort of prior infringement
13 of copyright. And I know that, like, the person
14 depicted may not have the copyright interest in
15 the training material. So, there's a connection
16 there that I feel like is very important. I
17 haven't talked too much about it, but now I will.

18 MS. CHAITOVITZ: Thank you.

19 MR. LANDAU: So, I think that one
20 example of the random likeness point that was
21 brought up is the Scarlett Johansson voice that
22 wasn't actually Scarlett Johansson's voice, it was

1 a voice actor. Everyone assumed it was Scarlett
2 Johansson, but it was a perhaps intentional,
3 perhaps happenstance resemblance. And that, I
4 think, goes into the concerns about defining
5 style, about random unintended likenesses. There
6 are a lot of concerns that that episode is really
7 a good exemplar of.

8 With respect to post-mortem rights, I do
9 have some worry that if we make it too easy to or
10 too profitable to use other deceased celebrities,
11 what does that do to younger artists who are still
12 trying to make a name for themselves? Is there
13 going to be space for them to operate? And I
14 think I will leave it with that. But just thank
15 you again for your time and your attention.

16 MS. CHAITOVITZ: Thank you.

17 MR. MOHR: Very quickly, thank you again
18 for convening this. First of all, with respect to
19 tangible things that the Executive Branch can do,
20 I want to affiliate myself with the remarks of the
21 other panelists around WHOIS data, and the use of
22 that data, pressuring our -- other countries and

1 the registrars and international bodies to make
2 that available for purpose of detecting,
3 protecting intellectual property infringement and
4 other bad acts. That is, GDPR has become this fig
5 leaf that's thrown over illegal activity, and
6 that's not what it was designed for.

7 The second thing I would like to do is
8 just affiliate ourselves with Mr. Sheffner's
9 remarks around our concerns about style, in that
10 it is inherently vague and in my mind at least, is
11 a perfect example of the kind of legislation that
12 would prejudge ongoing copyright litigation. And
13 that is it. Thanks.

14 MS. CHAITOVITZ: Okay, so I have 30
15 seconds, and I'm just going to thank you all so
16 much, if you can hear me, for coming today, and
17 please stay in touch and send us your thoughts.
18 And this is a really informative discussion. I
19 really found it quite helpful. So, I appreciate
20 you all taking the time to come and share your
21 thoughts with us. Look, to the minute.

22 MR. MARTIN: We're just waiting on a

1 couple of more participants just to make sure.

2 Thanks for your patience.

3 Good afternoon and thank you for all
4 participating in today's roundtable session on
5 NIL. This is the virtual panel portion of the
6 session, which is pretty obvious, but very happy
7 to hear you -- have you here today. We had a
8 pretty rousing session this morning, so we are
9 hoping to continue to have a very good discussion
10 this afternoon. And as you know, our discussion
11 is going to explore issues at the intersection of
12 AI and protections for an individual's reputation,
13 name, image, voice, likeness, or other indicia of
14 identity. And collectively that's referred to
15 here today as NIL. So we are looking forward to
16 hearing all of your views on these issues during
17 the panel.

18 I'm Jeffrey Martin, an attorney with the
19 Office of Policy and International Affairs here at
20 the U.S. Patent and Trademark Office, and I will
21 be serving as the moderator for this virtual
22 session of today's roundtable.

1 Before we begin, I'd like to recognize
2 Kathi Vidal, under secretary of commerce for
3 intellectual property and director of the U.S.
4 Patent and Trademark Office, to provide some
5 pre-recorded welcoming remarks, so I'm going to
6 turn that over.

7 MS. VIDAL: I'm Kathi Vidal, director of
8 the USPTO and under secretary of commerce for
9 intellectual property. I am pleased to welcome
10 you today for what promises to be a fascinating
11 roundtable on AI technology and its impact on the
12 legal protections for personal reputation and
13 name, image, and likeness rights, or NIL.

14 The potential for AI technology to
15 provide tremendous societal and economic benefits
16 and foster new forms of innovation is undeniable.
17 However, we also need to evaluate the potential
18 challenges AI presents, including IP-related
19 challenges. The USPTO is proactively examining AI
20 technology and advancing policy at the
21 intersection of AI and IP. The USPTO has already
22 issued guidance in this area, including regarding

1 the patentability and inventorship of AI-assisted
2 inventions and in the AI stack, and on the use of
3 AI in preparing applications and filings before
4 the agency, and we're working more both in terms
5 of patent and in the copyright spaces. We also
6 continue to solicit stakeholder views on these
7 issues, including through the USPTO's recent
8 request for comments seeking input on AI
9 implications for other evaluations we make during
10 the patent examination process.

11 In addition, President Biden's executive
12 order on safe, secure, and trustworthy AI tasked
13 the USPTO with providing guidance on the impacts
14 of AI and on AI-related IP policy issues.

15 President Biden's executive order also tasked the
16 USPTO with providing recommendations for executive
17 action related to AI and IP matters. We are
18 currently analyzing and engaging with stakeholders
19 on all of these issues, including AI's
20 implications for copyright laws and in the
21 creative space more generally.

22 Today's roundtable focuses on AI

1 implications for NIL laws and policies, including
2 whether current legal protections are sufficient
3 to protect an individual's NIL. Currently, NIL is
4 protected primarily by state laws. However, many
5 stakeholders have cited the patchwork nature of
6 the remedies and scope of protection provided
7 under state laws as a concern.

8 In addition, some federal statutes
9 protect aspects of NIL in limited instances. One
10 example is section 43(a) of the Lanham Act, which
11 provides a remedy to stop the unauthorized use of
12 an individual's NIL in certain commercial
13 circumstances. However, this Lanham Act remedy
14 has limitations, including the fact that many
15 Federal Circuits require plaintiffs to have some
16 level of fame or notoriety in order to succeed on
17 a section 43(a) claim involving NIL.

18 Today's roundtable is an opportunity for
19 stakeholders to provide views on all these issues.
20 The input we receive today will help the USPTO
21 more fully understand the current landscape and
22 help inform our recommendations to President Biden

1 for potential executive action. It will also
2 inform our work with Congress and in the courts.

3 So, thank you again for participating in
4 today's roundtable. I look forward to hearing
5 your views on these important issues.

6 MR. MARTIN: So we're much appreciative
7 of Director Vidal making those comments, very
8 helpful and a great way to begin our process
9 today.

10 I'd also now like to set some basic
11 ground rules for today's virtual session, so our
12 virtual speakers should feel free to discuss
13 whatever issues you believe are relevant to NIL
14 and AI. Some issues that we are particularly
15 interested in hearing about are whether existing
16 legal protections for individuals' NIL and
17 reputations are sufficient, how these legal
18 protections intersect with other IP laws, and how
19 AI technology impacts existing legal protections
20 for NIL and reputation.

21 I would also welcome your input
22 regarding recommendations the USPTO should present

1 to the President pursuant to President Biden's
2 executive order on AI, namely, what, if any,
3 executive action can or should be taken related to
4 NIL protections in the context of AI, and really
5 especially interested in whether you have any
6 recommendations for executive actions in that
7 area.

8 Now, turning to the format for the
9 virtual panel today, we ask that speakers address
10 your comments to the moderator and really to the
11 audience as you're out there in the virtual world.
12 But each speaker will be given up to 10 minutes to
13 deliver their remarks. My aim is to strictly
14 enforce this time limit just an order to ensure
15 that all speakers have an opportunity to provide
16 the remarks that they've planned. And I'm going
17 to be doing my best to let each speaker know when
18 their time limit is coming to an end. This will
19 give you an opportunity to wrap up your comments
20 in an appropriate manner. So I'll be doing my
21 best to hold each speaker to their time. So
22 please keep that in mind. So we don't want to

1 have a situation where we have to force you off.

2 All right?

3 I'm also going to start things off by
4 announcing who the speaker will be. When you are
5 recognized to deliver your remarks, please use
6 your computer to unmute yourself and click the
7 Start Video icon. And after each speaker
8 concludes their remarks, I may ask a follow-up
9 question as possible. So speakers, please keep
10 this in mind as well.

11 Now, if time permits, after all speakers
12 have provided their remarks, I also may have an
13 opportunity for each speaker to make additional
14 closing or sort of follow-up remarks. Again, this
15 depends on our time. We did do this morning for
16 the in-person panel, so it may happen again for
17 this virtual panel.

18 Now, as a reminder, this roundtable is
19 being live streamed and will be recorded and
20 posted on the USPTO's website along with the
21 transcripts of the roundtable. Remarks made today
22 may be used by the USPTO in its reports and

1 potential recommendations to the President.

2 So with that, let's begin. Also, when
3 each speaker begins their presentation, I just
4 want to remind you, please identify yourself and
5 your organization for the transcription that's
6 being made, and that's also of benefit to our
7 audience.

8 So our first speaker for this afternoon
9 will be Beverly Macy, executive board member at
10 Innovate@UCLA. So I'm going to turn this over to
11 Beverly right now.

12 MS. MACY: Thank you so much. You can
13 hear me, correct? I'm assuming you can.

14 MR. MARTIN: Yes.

15 MS. MACY: Okay, very good. As you
16 mentioned, I am Beverly Macy, a member of the
17 executive board of Innovate@UCLA, an organization
18 composed of members in the technology companies in
19 and around Southern California. I also lecture at
20 UCLA Anderson and teach at UCLA Extension. Thank
21 you so much for the opportunity today to speak,
22 and I really enjoyed the morning session listening

1 in.

2 Today I speak as an independent
3 consultant and I do not represent UCLA, although I
4 will be talking about NIL and college athletics as
5 I teach a course on the business of media, sports,
6 and entertainment, and this is a very hot topic on
7 campus. I also want to talk about remarks that
8 would also be relevant to any and all digital
9 content creators.

10 I focus on the convergence of AI,
11 blockchain, and tokenization, or digital receipts.
12 This conversion has the potential to redefine how
13 intellectual property is protected, valued, and
14 traded. Digital receipts underpin a new era of
15 ownership and authenticity for AI-generated works
16 and all NIL type work.

17 Just a little bit of background on the
18 concept. Digital receipts can serve as verifiable
19 records of transactions, ensuring transparency and
20 authenticity. In the context of NIL, digital
21 receipts can track the provenance of endorsements,
22 sponsorships and other financial engagements,

1 especially in the NIL space. The implementation
2 is through blockchain technology, which is
3 particularly suited for creating digital receipts
4 due to its immutable and transparent nature. Each
5 transaction is recorded in a decentralized ledger,
6 making it tamper-proof and easily verifiable.
7 This ensures that all parties involved in NIL
8 transactions can trust the authenticity of the
9 records.

10 In the space of college athletics, there
11 has been a transformational shift that now allows
12 student athletes to monetize their personal brands
13 through endorsements, sponsorships, selling
14 merchandise, et cetera. And most importantly,
15 fans can pay athletes directly, providing new
16 revenue streams that is compliant with NCAA
17 regulations. Concurrently, the concept of
18 creating digital receipts to track provenance has
19 emerged, especially in this area, to ensure
20 transparency and security in these transactions.
21 There are digital platforms, like MyNILpay, that
22 allow fans to directly pay these athletes and this

1 provides these new revenue streams.

2 In the era of Web3 and Blockchain, these
3 technologies, like Web3, enable athletes to
4 tokenize their NIL, creating digital assets that
5 can then be traded on decentralized platforms.
6 This provides immediate income and also, in some
7 cases, royalties. Obviously, the blockchain
8 technology is excellent in this area.

9 Some of the benefits of the idea of
10 creating provenance through digital receipts is
11 the transparency that I mentioned. Digital
12 receipts can provide a transparent record of all
13 transactions, reducing the risk of fraud and
14 ensuring parties are held accountable. And
15 security, the blockchain's encryption ensures
16 transaction data cannot be altered and, obviously,
17 the efficiency.

18 I would also like to conclude; my
19 remarks are brief today. I could talk about this
20 for a very long time, but I want to ask everyone
21 to imagine that everything in the real world and
22 the digital world, land titles, tickets, voting,

1 all forms of entertainment, could have a receipt
2 that represents proof of ownership, manages
3 licensing of IP, provides social status, grants
4 exclusive access, and certifies authenticity.
5 This convergence of AI, blockchain, and
6 tokenization prepares the way for digital
7 ownership with all the rights and privileges of
8 real world ownership.

9 The advent of NIL rights for student
10 athletes represents a monumental shift in college
11 sports, offering new opportunities in financial
12 independence and personal branding. Concurrently,
13 the use of digital receipts to track provenance
14 will certainly help the security of these
15 transactions. Together, these developments are
16 reshaping the landscape of college athletics,
17 aligning it more closely with professional sports
18 industry and creating broader economic
19 opportunities.

20 So I will conclude by saying the concept
21 of this convergence, there's a lot of conversation
22 today about AI in general, what it creates and

1 where the ownership lies, and obviously there's
2 fraud and risk and lots of other issues that have
3 been addressed today. I wanted to offer an
4 opportunity to think about digital ownership and
5 beyond and what that might look like not just in
6 the college athletic environment, but for all IP
7 that is out there. And I hope this will help
8 contribute to the conversation.

9 Thank you again for the opportunity, and
10 I look forward to hearing from everyone else.

11 MR. MARTIN: Beverly, thank you very
12 much for those comments. I actually do have a
13 question for you, so before you leave, I hope
14 you're still on.

15 MS. MACY: I am.

16 MR. MARTIN: Okay, great. Obviously,
17 you're deeply embedded in the world of NIL and
18 athletes' use of their (inaudible) purposes, and
19 maybe even for some non-commercial purposes. Are
20 you aware of any particular instances that maybe
21 stand out in which the unauthorized use of NIL via
22 AI technology has had an impact, maybe a negative

1 impact, or maybe in this issue that's in this area
2 that you could relate to us and to the audience?

3 MS. MACY: Well, it's a great question
4 and I don't have a specific example to offer to
5 you, but I can say that in the very beginning of
6 when this first was announced by the NCAA, it was
7 really kind of a free for all and athletes were
8 excited and there was a lot of people doing a lot
9 of different things to try to jump on board in
10 different ways. And that created a lot of
11 confusion. And what has begun to happen, at least
12 in the college athletic environment, is kind of
13 these pools of athletes that are working under
14 either an investment organization of alumni, et
15 cetera, or having agents now and working with
16 entertainment and sports agents to really help
17 monetize and protect the athlete and also maximize
18 their opportunity. So we're seeing kind of a
19 maturity, if you will, in the space as it comes
20 together. And I think that's an interesting
21 concept to think about any kind of affinity groups
22 where they might want to monetize their NIL,

1 whether that's sororities. I mean, I'm thinking
2 in the academic environment, but this could
3 obviously be extrapolated to a larger environment.
4 I think that we would see a lot of different
5 opportunities.

6 Will there be regulation around the
7 financial coming together of some of these
8 investment groups, et cetera? Do regular
9 financial regulations apply to them? That's a
10 different topic. I know that's not part of your
11 focus today, but I think that's another question
12 around when we look at digital ownership in
13 general, whether it's college athletes or anybody
14 else, and you have some kind of a digital receipt
15 or some kind of tokenization. We're also moving
16 into the area of fintech because now we're looking
17 at how people can then trade those assets or, you
18 know, monetize them further, put them in games, et
19 cetera. So it becomes very -- it's kind of a
20 spider web that starts to spread out into other
21 areas, if that makes sense. I could talk about
22 that. I think it might be a specialized topic. I

1 could certainly elaborate more on that, but
2 hopefully that's helpful for today's conversation.

3 MR. MARTIN: No, that's great. Thank
4 you, Beverly. I think a whole other panel session
5 we could devote to that, so, but thank you for
6 those comments.

7 All right. So with that, we will move
8 on then to our next speaker. I have Corynne
9 McSherry. And correct me if I said that wrong,
10 Corynne, I hope that's right. Okay. Legal
11 director of the Electronic Frontier Foundation.
12 So I will turn it over now to Corynne.

13 MS. McSHERRY: Okay. Am I coming
14 through all right?

15 MR. MARTIN: Yes.

16 MS. McSHERRY: Okay, great. Thank you.
17 All right. So thank you for giving me the
18 opportunity to share EFF's thoughts on the issues
19 that are before us today and very much in the
20 headlines.

21 So, EFF, this is a new discussion to
22 some extent, but these are not new issues for EFF.

1 We have been working to protect online expression
2 for decades, more than three decades. And so we
3 come to these questions with deep experience, and
4 not just as litigators and advocates for the
5 public interest, but also with many years of
6 experience counseling artists, activists,
7 filmmakers, podcasters, journalists, and others
8 who have been improperly accused of violating the
9 law and, as a result, seeing their perfectly
10 lawful content taken down or being pressured to
11 disable access to other people's work. These are
12 people who don't have the resources to fight back
13 and EFF does our best to help because we know
14 there aren't a whole lot of pro bono IP lawyers
15 out there. I think I know most of them, but our
16 resources are also limited. So that's the
17 perspective that I bring to this roundtable and to
18 the various proposals that we've seen
19 proliferating that are intended to address very
20 legitimate concerns about digital replicas in the
21 age of generative AI.

22 I want to focus my remarks this morning

1 or this afternoon on the proposed No Fakes Act,
2 because I heard it discussed a fair bit in the
3 session this morning. As currently drafted, we
4 are worried that No Fakes is likely to lead to
5 over-censorship because it will incentivize
6 platforms that host our expression to be proactive
7 in removing anything that might be a digital
8 replica, whether its use is legal expression or
9 nothing and even though those platforms are not
10 best positioned to decide whether something is a
11 replica or not. I say this because the No Fakes
12 Act expressly describes the new right as a
13 property right. And that matters because federal
14 intellectual property rights are excluded from
15 section 230 protections. So if courts decide that
16 the replica is a form of intellectual property, No
17 Fakes will give people the ability to threaten
18 platforms and companies that host allegedly
19 unlawful content, and because those people tend to
20 have deeper pockets than the actual users who
21 create the content. So again, what we have seen
22 in that context, the copyright context, for

1 example, also trademarks, is over-censorship.

2 Now, the bill proposes a variety of
3 exclusions for news and satire and biopics and
4 criticism that I think are intended to limit the
5 impact on free expression. But one thing is
6 certain, interpreting and applying those
7 exceptions, one thing it will definitely do is
8 make a lot of lawyers rich. In the meantime,
9 those who can't afford to pay those lawyers will
10 be missing from the discussion, and the work they
11 want to share will be missing from the Internet.

12 In addition, the proposed digital
13 replica rights, whether in No Fakes or No AI
14 Fraud, effectively federalizes but does not
15 preempt state laws recognizing the right of
16 publicity. The trouble there is that in several
17 states, the right of publicity has already
18 expanded beyond its original boundaries. It was
19 once understood to be limited to a person's name
20 and likeness, but now it can mean just about
21 anything that evokes a person's identity,
22 especially in my home state of California. As a

1 result, the right of publicity reaches far beyond
2 the realm of misleading advertisements, commercial
3 exploitation, and courts have really struggled to
4 develop appropriate limits. And in some states,
5 your heirs can invoke the right long after you are
6 dead, and presumably in no position to be
7 embarrassed by any sordid commercial associations,
8 which is the origination of the right, and in a
9 position for anyone to believe that you've
10 actually endorsed a product from beyond the grave.

11 No Fakes leaves all of that in place,
12 and then adds a new national layer on top, one
13 that will last for decades. It is entirely
14 divorced from the incentive structure behind
15 intellectual property rights, like copyrights and
16 patents. Presumably no one needs a replica right,
17 much less a post mortem one, to invest in their
18 own image, voice, and likeness. Instead, it
19 effectively creates a windfall with a commercially
20 valuable persona or recent ancestor, I realize
21 this reaches beyond to everybody, but a
22 commercially valuable recent ancestor, even if

1 that value emerges long after they've died.

2 What is worse, it offers little
3 protection for those who need it most. People who
4 don't have much bargaining power, they agree to
5 broad licenses not realizing the long-term risks.
6 Savvy commercial players will build licenses into
7 standard contracts, taking advantage of workers
8 who lack bargaining power and leaving the right to
9 linger as a trap for the unwary and for small time
10 creators. A better way forward would be a limited
11 federal right that protects against unfair
12 commercial exploitation, does not disturb section
13 230 protections, and also preempts state laws that
14 have gone too far in the direction of limiting
15 speech and culture.

16 Thank you, and I'm happy to answer any
17 questions.

18 MR. MARTIN: Thank you, Corynne. Very
19 interesting comments, and we really appreciate
20 that. And just maybe one question before we leave
21 you. You talked -- you gave us your
22 recommendation for a better way forward with a

1 limited federal right. Could you maybe flesh that
2 out a little bit more? Because I think we were
3 just getting to sort of maybe (inaudible) of your
4 points you were making. And maybe you don't have
5 anything to add to that, but if you do, we'd like
6 to hear it. There's a little bit of time left
7 over for that.

8 MS. McSHERRY: Sure. So I don't have
9 draft legislation. EFF doesn't really do that.
10 But what I think that what we would be very happy
11 to work with folks on would be, you know, some
12 form of limited federal protection that -- and it
13 could be along the lines of amendments to the
14 Lanham Act. It could take that form. It could
15 take form of a species of publicity rights. And
16 especially if it takes that form, I think there
17 would be real value in having one federal right so
18 that everybody knows what the rules are, but also
19 one that doesn't just adopt all the sort of worst
20 excesses of state publicity rights laws.

21 So there's sort of like a thin publicity
22 rights approach that I think would be valuable in

1 the sense of federalizing things. Again,
2 everybody's got the same rules and understands
3 what the rules are and it doesn't vary depending
4 on what state you're born in or reside in when you
5 die, which is what currently happens now; has
6 protections for people who aren't in a great
7 position to bargain necessarily, depending on
8 where they are in their career. So there's a
9 version of this that could work really well and I
10 think actually protects speech more than the
11 mishmash we have right now, where we have sort of,
12 you know, some outrageous claims under Tennessee
13 law designed for Elvis Presley, California law
14 designed for the many rich celebrities that have
15 lived in California, and that is -- really truly
16 seeks protection.

17 But the one key thing it also has to
18 have is language that makes it clear that this is
19 not intended to be a federal IP rights, which then
20 makes trouble for section 230 protections, because
21 that's really key to the whole thing. I know
22 there's a lot of interest in encouraging, you

1 know, Big Tech, Facebook, YouTube, to take a
2 stronger role in policing the content that they
3 host. And I understand where that impulse comes
4 from, but I think that people don't really
5 understand is that section 230 protects far more
6 people than just Big Tech. You know, Big Tech can
7 afford to comply with whatever rules we throw at
8 them. But all of the sort of smaller websites,
9 people who may just be forwarding emails, those
10 people are also protected by section 230, and we
11 want them to be. It's really important that we
12 keep those protections. And unfortunately, too
13 often in these conversations, they just disappear
14 and they're just not part of it. So they really
15 need to be represented in any negotiations, and
16 I'm afraid that that's not happening now.

17 Great. Thank you. That was (inaudible)
18 like to hear more from (inaudible). Thanks so
19 much, Corynne.

20 All right. With that, I will move on
21 then to our next speaker. That will be Natalia
22 Aranovich, founder of the Aranovich Law Firm, PC.

1 So, Natalia, I'm going to turn this over to you
2 then.

3 MS. ARANOVICH: Hi, good afternoon,
4 everyone. Thank you so much, Jeffrey, for the
5 introduction. My name is Natalia Aranovich, I'm
6 an attorney. I practiced 15 -- for 15 years in
7 Brazil before practicing in the U.S. I became
8 licensed here in 2015. And I hope to contribute
9 today a little bit with the concept that I
10 acquired back in Brazil.

11 So just before I start, just want to
12 give a little bit of the Internet history and for
13 context. So we first had the Web 1.0. So the
14 Internet was mainly informational with a
15 replacement of what we had of encyclopedia. Then
16 I had Web 2.0 and when social media was created,
17 and that was like in the 2000s. And what happened
18 is like people overexposed themselves, gave a lot
19 of content for free social media channels, and we
20 create our personal brand, which does not have any
21 place I can register.

22 So all the format of advertising was

1 changed, also. So people became the spokesperson
2 for the brand and they were not only -- they don't
3 need to be celebrities. The concept of influencer
4 was created, followers. And people, like I said,
5 became friends. And the only way to identify
6 those persons, that real persons was using the
7 checkmark, the blue checkmark that is given by
8 social media channels that I even don't know how
9 they grant us this checkmark. So that's a form of
10 identity that is given by private companies to
11 individuals.

12 And now we have the Web 3.0, first
13 phase, explosion of NFTs and blockchain,
14 cryptocurrency during the pandemic. And that
15 turned now into the second phase. It's the AI
16 explosion and regenerative AI. So Beverly touched
17 a little bit about the digital receipt. That's
18 something we could use in the new phase of the
19 Internet to at least attest that something comes
20 from the person. But that will take time.

21 So my contribution today, I'm going to
22 answer question 5, letters A to E of the Federal

1 Register. I'm not going to have time to go all
2 over it, and I'm just going to address that. I
3 think it's more important that I bring for my --
4 when I was practicing law back in Brazil, because
5 I was amazed to learn when I moved to the U.S. and
6 started to practice IT here, that rights of
7 publicity were considered intellectual property.
8 Because in civil law countries in Europe and
9 Brazil follows civil law, we have the concept of
10 personality rights, which is under privacy and --
11 or personal rights, of rights as a persona, what
12 here in the U.S. you call rights of publicity.
13 But personality rights are way broader than that
14 because it protects the development of my
15 personality in the legal world.

16 You know, my own opinion after practice
17 law in a foreign country for many times, I don't
18 see how a person could be considered the same
19 thing as an idea, because intellectual property
20 protects ideas from our mind, and a person is not
21 an idea. A person is a human being and deserves
22 some protection, especially protection for the

1 reputation.

2 So I believe that current laws in the
3 U.S., like rights of publicity, privacy laws,
4 defamation, they don't protect this aspect of the
5 personality. And I think new federal law should
6 focus on that, because I think we already have a
7 lot of laws, state laws regarding rights of
8 publicity, and that's already consolidated. So we
9 kind of know we don't need to reinvent the wheel
10 here. I think we should be more concerned about
11 the rights of a person that have its reputation
12 damaged. Like some privacy laws, for example,
13 they need to be highly offensive to characterize
14 as a violation of one of those privacy torts. And
15 sometimes a lie is not considered highly
16 offensive. If someone puts me on saying something
17 that I never say or endorsing a party that I never
18 endorsed, that's a lie. And sometimes that's not
19 going to be protected. And I think that's
20 necessary, especially now that we have so much
21 content for free. And people can use my voice to
22 send voice message to my parents. That happens in

1 Brazil a lot, not a voiceover, but they use my
2 picture and my image asking for money, you know.
3 So I think that's what the new law should focus on
4 that.

5 Also we have the concept of moral
6 rights, and I think that's something we need to go
7 also address in this new law, because it's
8 recognized for -- under VARA for visual artists.
9 And I think that should be something that should
10 be recognized for human beings as well, who have
11 their personality violated or reputation.

12 Also, I think, it should be focused on
13 non-commercial use. We need to -- of course,
14 there are some statutory damage that needs to be
15 higher than the ones that are current in the
16 copyright law. We have two bills, proposed bills.
17 Those bills, I think establish statutory damage
18 maximum of 50,000. So how come one's reputation
19 worth less than a copyright, you know? So I think
20 a copyright is 150,000 maximum; attorney fees,
21 damage in general. And I think something that
22 needs should be addressed, like take -- use some

1 take down notice similar to the one that's used at
2 the DMV (phonetic) and Safe Harbor, because it's
3 very difficult to communicate with social media
4 companies, YouTube, Instagram, and Facebook. If
5 something is placed, you can find an email, you
6 can connect to the person to have the content
7 removed. And even if you were the person and you
8 are able to show your ID, that's very difficult to
9 reach out to them. So I think that needs to be
10 addressed.

11 Regarding preemption, I think -- I don't
12 know if should preempt because I think federal law
13 should focus on something different than
14 commercial use only and which is already addressed
15 by the publicity and law. So I have a lot of
16 other things that I would like to discuss, but if
17 I want to summarize something that my -- that I'd
18 like -- that I said here is like, we should think
19 of this notion of personality rights or rights of
20 a persona, instead of only commercial rights that
21 have a financial harm in the person's reputation.
22 So thank you very much.

1 MR. MARTIN: (Inaudible). Thanks,
2 Natalia.

3 MS. ARANOVICH: I can't hear you,
4 Jeffrey. I can't hear you.

5 MR. MARTIN: Can you hear me now? Is
6 that okay?

7 MS. ARANOVICH: Yeah. Yeah.

8 MR. MARTIN: Okay, I guess -- sorry, we
9 had a little technological glitch here that
10 somehow we were able to fix. So thank you for
11 your patience.

12 No, I just was saying, Natalia, thank
13 you very much for those comments and so I think
14 they took an approach different from some of the
15 other speakers that we've heard today. So that's
16 much appreciated.

17 With that, we do have a little bit of
18 time. I know that you had mentioned you had some
19 other issues that you wanted to touch on. If you
20 would like to touch on those, that's possible, we
21 have the time, but if not, I'm going to go to the
22 next speaker. So you have roughly two minutes.

1 Would you like to use that?

2 MS. ARANOVICH: Just one thing that I'd
3 like to address that I think, before also talking
4 about a new law, I think we should launch an
5 educational campaign about the fakes. Because a
6 lot of people, they don't understand what is
7 happening. They download apps from all sources
8 and create the fakes without knowing they are --
9 like, that could hurt someone's reputation. And I
10 see, I was present in the beginning of the
11 Internet when everything happened, and I was
12 involved in the conflict between domain names and
13 Internet. And also, people are using copyright
14 content, thought they were there for free. And I
15 think we are seeing the same thing over again.
16 And we need to educate people about that, maybe
17 launch a hashtag campaign or something that deep
18 fakes are not something that -- you should worry
19 about. And I think a national educational
20 campaign should come first. Thank you.

21 MR. MARTIN: Great. Very helpful.

22 Thank you for that. With Natalia being done, we

1 will move on then to our next speaker. That will
2 be Duncan Crabtree-Ireland, national executive
3 director, chief negotiator for SAG-AFTRA. And I
4 see that you're ready to go, so I'm going to turn
5 it over to you then. Thank you.

6 MR. CRABTREE-IRELAND: Well, thank you
7 so much for the opportunity to be part of this
8 roundtable, not only to you, Jeffrey, but also to
9 Director Vidal and Ann Chaitovitz and for all your
10 attention to these issues.

11 And just for anyone who isn't familiar
12 with us, SAG-AFTRA is the labor union that
13 represents performers, recording artists, and
14 broadcast and online journalists. And so far as I
15 know, we are the first union to address these
16 aspects of name, image and likeness rights and
17 collective bargaining, particularly with respect
18 to digital replication of performers and film,
19 television, streaming, animation, and music. And,
20 in fact, we're currently on strike against all the
21 major video game companies over this very issue.
22 So this is a very timely discussion from the

1 public policy side.

2 In the notice that you put out, there
3 was a sort of threshold question which was asked,
4 which I feel like people have sort of assumed the
5 answer, and I hope that's the case, but I'll just
6 explicitly address it, which is, does unauthorized
7 name, image, and likeness content harm
8 individuals? Yes, it absolutely does. It harms
9 individuals, it harms institutions. It even harms
10 our political and economic system through the
11 creation of deep fakes and the reduction and
12 erosion of trust in what people actually see or
13 hear with their own eyes and ears.

14 And while our members, while SAG-AFTRA
15 members, and there's over 160,000 of them, are on
16 the front lines of experiencing the negative
17 impact of unauthorized name, image, and likeness
18 use, especially with respect to AI, this is
19 something that affects everyone. And it ranges
20 from deep fake pornography to deep fake content
21 that affects the public perception of an
22 individual. It can affect their work

1 opportunities. And, of course, as other panelists
2 have remarked, it can affect their persona value.

3 There's also concerns with respect to
4 the unauthorized use of name, image, and likeness
5 in commercial projects and even the creation of
6 explicitly misleading content. I mean, I myself,
7 not a celebrity by any stretch, but I myself was
8 deep faked last year during the contract
9 ratification campaign after our four-month strike
10 against the studios and streamers by some unknown
11 individual who created a deep fake video of me
12 saying false things about our contract and
13 attempting to encourage people to vote against it
14 in my voice and using my face, even though those
15 are things that were anathema to me. So this is
16 something that affects regular people, it's
17 something that affects our members, it affects
18 everyone.

19 From our point of view, the fundamental
20 principles that should underlie both contractual
21 relationships and also public policy in this area
22 are the idea that individuals should have a right

1 of informed consent over the use of any replica of
2 their face, their voice, their body, their
3 movement, et cetera. Some people call that
4 consent and control. We call it informed consent
5 because we think there is a need for that to come
6 with information about what those uses are going
7 to be. And of course, from a union perspective,
8 there should be fair compensation for that use as
9 well.

10 And to answer another question that was
11 in the notice, with those principles firmly
12 established, yes, of course, AI tools can provide
13 legitimate and valuable uses, not just for
14 companies, but also for individuals, if those
15 principles are respected. But the current legal
16 protections in this country are woefully
17 inadequate, especially at the federal level. The
18 Lanham Act, which is mentioned in the notice, is
19 just far too limited in its application. It's
20 really not useful for the vast majority of people
21 who are impacted by all of those types of
22 unauthorized uses that I mentioned. And state

1 protections don't work for many reasons. They're
2 very limited in many cases to commercial
3 misappropriation, which is no longer really an
4 acceptable limitation. And, of course, as has
5 been referenced by other panelists, the lack of
6 clear protection because of section 230 of the
7 Communications Decency Act for state-level
8 intellectual property protections make the state
9 (inaudible) and difficult to use.

10 So we do strongly support a new federal
11 right, and we think the No Fakes Act, which was
12 introduced last week, strikes the right balance in
13 this area in a host of areas of concern.

14 Certainly plenty of time has been put into the
15 process of putting that language together, lots of
16 consultations and discussions, including formal
17 hearings. And we think that it addresses the
18 First Amendment issues and the duration of
19 transfer issues, among others, in a very balanced
20 but successful way, and we strongly support that
21 approach.

22 I think the reality is anybody who

1 believes that these rights should be limited to
2 commercial uses is really living in the past. The
3 abuse of people's names and likeness rights are
4 rampant and they are not commercially limited. As
5 the example that I gave of the deep fake of me
6 myself, it's just one of endless examples of this,
7 including I'm sure people are aware of the example
8 of middle school teachers being attacked through
9 the use of unauthorized digital replicas on social
10 media. These are things that are not protected
11 under the First Amendment and should not be
12 subject to a lack of a remedy.

13 Really, section 230 is not something
14 that, in this day and age, fundamentally protects
15 individuals. It's a protection of Big Tech. It's
16 a Big Tech talking point that it protects
17 individuals. But individuals' right of freedom of
18 expression and freedom of association is being
19 abused by the misuse of AI technology today, right
20 now. And this is a real challenge that needs to
21 be addressed. And it can be addressed through
22 providing at least some sort of right as like the

1 ones referenced in the No Fakes Act.

2 You know, I think that we need to
3 recognize that we are in new territory with
4 respect to the First Amendment, because we're
5 talking about technology here that lets someone
6 force someone else to speak their message. And we
7 need to recognize the negative free speech and
8 associational impacts that occur if we don't
9 provide any kind of remedy for that. Because free
10 speech is not only about having the freedom to say
11 what you want to say, it's also about the freedom
12 to not associate yourself with messages that you
13 don't agree with and about making sure that other
14 people can't force you to do so.

15 So I think we need to really reconsider,
16 because things have changed in this world.
17 There's no longer a practical veto over what
18 people do. I mean, this is something that, you
19 know, prior to the advent of this technology, you
20 could prevent these things from happening by
21 simply refusing to do it. Now if someone can
22 create a replica of you, whether you choose to do

1 it or don't choose to do it doesn't stop them from
2 putting words in your mouth. And that is a very
3 serious problem.

4 As far as identification of deep fakes
5 goes, we think that there needs to be -- this
6 needs to be addressed on both sides of the issue,
7 not only using the technology that's been put out
8 there, one of the major tech companies has said
9 they have a tool that's 98 percent successful in
10 identifying deep fake videos, but also in
11 supporting content authentication initiatives to
12 help make sure that trusted and reliable content
13 can be seen, viewed, and understood by the public.
14 This is particularly important for our broadcast
15 journalist members, although it's important for
16 all of our members, and it's important for all of
17 us as a society, because if we cannot authenticate
18 the information and content that's coming to us,
19 if we can no longer trust our eyes and our ears,
20 and we have no other way of authenticating that
21 information, it undermines the ability to maintain
22 a democracy where the public is engaged in

1 important public policy discussions.

2 So on that note, I just want to wrap it
3 up and thank you so much for the opportunity to
4 share these remarks, and I look forward to the
5 continuing discussion that I think we'll all be
6 having with respect to addressing the impact of
7 this technology. Thank you.

8 MR. MARTIN: Duncan, thank you very
9 much. Incredibly interesting comments, as usual.
10 We really appreciate you taking time out of what I
11 know you have as a busy schedule to be with us
12 here this afternoon and to also provide comments
13 to all of our listeners around the country. So we
14 really appreciate that. Thank you.

15 With that, I'm going to move on to our
16 next speaker. And, Duncan, we may come back to
17 you, So I hope that's okay since we may have some
18 follow-up comments. All right.

19 So with that, we will go on to the next
20 speaker, who is Nick Garcia. That's what I have
21 listed here, Nick Garcia, senior policy counsel
22 for Public Knowledge. So with that, Nick, I'm

1 going to turn it over to you. All right.

2 MR. GARCIA: Thank you very much. It's
3 great to be here with you today. As was just
4 said, my name is Nick Garcia. I'm a senior policy
5 counsel at Public Knowledge. Public Knowledge is
6 a nonprofit, nonpartisan public interest
7 organization, and our mission is to promote
8 freedom of expression, an open Internet, and
9 access to affordable communications tools and
10 creative works. My work there in particular
11 focuses on how AI and other emerging technologies
12 present both obstacles and opportunities for
13 advancing that expression (phonetic). As a
14 result, I believe that AI can be a powerful tool
15 for enhancing communication, comprehension, and
16 creativity, but there are, of course, challenges,
17 too.

18 One of the most disconcerting advances
19 unlocked by machine learning, I think, has been
20 the replication of real or even completely
21 synthetic human voices and likenesses. There's
22 something fundamentally unsettling to us about the

1 quality and ease through which these new
2 AI-powered digital replication technologies can
3 literally put words in someone's mouth or show
4 them doing something that they never did. This
5 technology is also undoubtedly going to have
6 profound and disruptive implications for certain
7 industries like voice acting, the music industry,
8 and others. I think we heard a little bit of that
9 from Duncan just now from SAG-AFTRA.

10 So the main thrust of my comments,
11 though, is that today these are not wholly new
12 challenges that we're confronting. If we look
13 back into the not too distant past, it's easy to
14 find similar concerns regarding misinformation,
15 deception, economic catastrophe as a result of
16 other kinds of advances in technology, like
17 digital image editing, innovations in home
18 recording technology, and so on. And I say that
19 not to minimize the potential impact of this new
20 wave of AI technology, but really to offer up some
21 reassurance that we have experiences, structures,
22 and existing protections that we can draw upon and

1 learn from as we're addressing these challenges
2 now.

3 So, in short, what I'm saying by way
4 here of introduction is don't panic. We should
5 not be massively reinventing legal regimes, and we
6 should have humility about our ability to predict
7 the future course and shape of technology lest we
8 wind up with some oversight and laws and policies
9 that will hamstring technological development and
10 innovation, and also limit our free expression
11 online. So to this end, our host from USPTO
12 wisely polls three questions for us: Whether
13 existing legal protections for name image likeness
14 are sufficient, how those interact with other IP
15 laws, and how AI technology impacts existing legal
16 protections. There are many more learned legal
17 scholars than I on these questions, so what I hope
18 to offer from a public interest perspective here
19 are just some quick answers and important frames
20 for considering these questions.

21 First, I think it's essential to note
22 there's broadly two kinds of harms when we're

1 thinking about protections. And so I want to take
2 that first question about existing legal
3 protections and also kind of that last question
4 about how AI impacts existing legal protections
5 together. Because I think what we want to think
6 about is there are both dignitary harms and there
7 are commercial harms, and in many ways existing
8 image and likeness rights, Lanham Act claims,
9 state-level right of publicity, all of these
10 things are focused around commercial harms. Even
11 the dignitary aspects of existing protections are
12 usually oriented around the idea of preserving the
13 economic value of reputation for people. So I can
14 say a whole bunch more on the commercial harm
15 element here, but in short, our recommendation is
16 a federal preemptory right of publicity to
17 simplify the patchwork of existing law. We need a
18 statutory right to address commercial use that's
19 accessible, easy to navigate, works all across the
20 country, and is going to protect both the biggest
21 celebrities and also everyday working
22 professionals.

1 What I'm more worried about in terms of
2 this question of gaps of protections and what AI
3 changes is how do you (inaudible) ease of use of
4 deep fake technologies exacerbating harms so
5 ordinary people? Most prominently, I'm deeply
6 concerned about the spread of new synthetic forms
7 of non-consensual intimate imagery, and how it can
8 be used to abuse, harass, and denigrate people
9 online.

10 I think overall the harms to ordinary
11 people are going to be these kind of dignitary and
12 reputational harms, and that forecloses them from
13 using these existing protectionist things. It's
14 not to say that celebrities and public figures are
15 left out here. Obviously both kinds of
16 protections are important for them. I think
17 everyone is familiar with how public figures,
18 especially women, have been subjected to attacks
19 on their dignity through things like
20 non-consensual intimate imagery and other abuses
21 of their name, image, and likeness.

22 But as we're considering how to close

1 the gaps in these protections, the main concern I
2 want to highlight is that if we're going to be
3 doing this, we have to be laser focused on what
4 harms the proposals are actually (inaudible),
5 whether it's those dignitary ones or it's those
6 commercial ones. And we want to ensure that any
7 new protections are really protections that work
8 for everyone.

9 So that brings me to the second thing,
10 which is how these legal protections intersect
11 with other intellectual property laws. And I want
12 to address two things. First, really quick talk
13 about the intersection sections of the (inaudible)
14 law. Name, image, and likeness rights run the
15 risk of spilling over into the domain of copyright
16 right now, especially in terms of how there's so
17 much conversation about the copyright in the AI
18 context as well. We need to ensure that fair uses
19 of existing material are protected, such as the
20 ability to adapt existing works, and that using an
21 AI tool to do that doesn't suddenly violate maybe
22 new AI-focused name, image, likeness rights if

1 there's existing audio recordings or images being
2 used.

3 We also want to make sure that name,
4 image, likeness rights aren't used to create a
5 kind of new aura around name, image, likeness and
6 create something like a protection for style or
7 something like this that has been discussed in
8 terms of especially how people use names in the
9 context of many of these AI tools.

10 There's always been a strong sense in
11 the realm of copyright that what we're protecting
12 are specific forms of expression, specific
13 instances of expression, and we're not protecting
14 whole styles or genres or forms of work. And we
15 don't want those things to become locked away by
16 the first (inaudible) to them or by the biggest
17 companies that control the most things in those
18 areas.

19 The other main intersection with other
20 IP laws that I want to address goes back to the
21 first point, and about that balancing between both
22 celebrities and professionals and ordinary people.

1 And like some of the other folks here, I want to
2 talk a little bit about proposals to new IP
3 rights, including things like the No Fakes Act,
4 which create new intellectual property rights in
5 order to protect name, image, likeness rights in
6 the context of digital replicas. We think that
7 this legislation has to be really carefully
8 considered and calibrated when you're going to
9 create a new kind of intellectual property right.
10 And right now, something like No Fakes in its
11 current form, and we hope that there's still room
12 to change and adapt and work on this bill because
13 it doesn't really strike that right balance.

14 There's a notice and take down element
15 here that recreates parts of things from the
16 Digital Millennium Copyright Act without fixing
17 many of the problems that we know already exist
18 with that kind of notice and take down regime. We
19 know that that's going to lead to over
20 enforcement. We know it's going to lead to
21 limitations of free expression online. I want to
22 lift up and echo the comments of the Electronic

1 Frontier Foundation on that regard.

2 We also can see that in this kind of
3 thing, that there's way too easy access for broad
4 licenses for ordinary people to accidentally or
5 incidentally sign away the rights to their name,
6 image, and likeness. And most importantly, it's
7 clear that something like No Fakes was highly
8 engineered around the complexities of commercial
9 use. And it's thinking mostly about sophisticated
10 actors or people who are well represented in the
11 industry, not really considering how something
12 like this is going to affect ordinary people. And
13 it's not particularly focused on those kinds of
14 dignitary harms that we know are going to be the
15 most important things for regular people.

16 So in closing, I just kind of want to
17 return to my first point, which is that AI is
18 accelerating and exacerbating some of these
19 challenges, but these challenges aren't new.
20 There's always been rights of publicity
21 violations. We've always struggled with
22 misinformation, with abusive conduct online.

1 These things are not new. We have some existing
2 tools and protections, and we have the ability to
3 close gaps in some of them to apply to AI
4 specifically.

5 And we also know that there's long
6 overdue policy interventions that can help in some
7 of these areas. We need comprehensive privacy
8 laws. We need other kinds of information
9 environment intervention in order to help address
10 misinformation, like better support for local
11 news.

12 And we should also be at the same time
13 imagining how this new technology requires new
14 solutions. So we should be thinking about how to
15 create long-term structures, expert AI regulation
16 and governance that's going to be flexible and
17 adaptable over time without limiting ourselves to
18 some laws that we're going to be stuck with for
19 many years and that may not strike the right
20 balance. I think if we do that, if we have some
21 humility about how this technology may change and
22 adapt and if we take a real focus on how ordinary

1 people and users are going to be affected by this
2 technology and what we need to do to protect them,
3 and that leads us to a more creative and connected
4 future for all of us.

5 MR. MARTIN: Great. Nick, thank you so
6 much for those comments. Appreciate that. One
7 quick question. I know that you focused quite a
8 bit on sort of the two types of harms that you
9 perceive as coming out from these developments in
10 AI generative technology at the current time and
11 ways to address those. Legislatively speaking,
12 would it seem that you are promoting maybe two
13 different types of legislation that are needed to
14 address those two types of harms or were you maybe
15 perceiving one type or piece of legislation that
16 might be able to address both? Just curious about
17 that.

18 MR. GARCIA: Yeah. I think it's
19 possible, of course, that you could get exactly
20 the right piece of legislation that is tuned in
21 the right way that could handle both of these
22 challenges and do that in a comprehensive way. I

1 will say that I do think that things like notice
2 and take down regimes can be really important for
3 making enforcement accessible to ordinary people.
4 Ordinary people aren't going to have the ability
5 to, like, for example, go into court and do
6 commercial litigation to protect their dignitary
7 rights. Something like a notice and take down
8 regime would be very helpful there. But we want
9 to make sure that that notice and take down regime
10 is one that is carefully tuned to avoid harms to
11 free expression, that it's not going to exacerbate
12 something like misinformation by letting people
13 take down things that aren't really digital
14 replicas.

15 We want to make sure that there are
16 pathways to make sure that content is reposted
17 back if it was taken down mistakenly, and that
18 there are ways to make sure that we hold people
19 accountable for filing false notices. So all of
20 those kinds of things would be important things.
21 But notice and take down regimes are like a good
22 example of something from No Fakes that could be

1 quite important for helping to address some of
2 those dignitary harms. And we know that there are
3 other kinds of bills and legislative solutions out
4 there that might be super laser focused on some of
5 those dignitary harms.

6 So it is possible that you can have kind
7 of pretty comprehensive legislation that addresses
8 things all at once if it gets everything really
9 right. Or maybe we want to think about how to
10 have maybe a federal right of publicity law that
11 is different, that doesn't create new intellectual
12 property rights, and combine that with some
13 targeted legislation, including things like the
14 Defiance Act or some of the other bills that have
15 been proposed specifically focused around
16 non-consensual, intimate imagery to address some
17 of those dignitary harms that we want to address.

18 MR. MARTIN: Thank you so much, Nick.
19 Appreciate that. With that, then, we will move on
20 to our next speaker. We have Sivonnia DeBarros
21 from the SL DeBarros Law Firm. Sivonnia, are you
22 ready to go?

1 MS. DeBARROS: I am.

2 MR. MARTIN: Great. So we'll turn it
3 over to you then. Thank you so much.

4 MS. DeBARROS: You're welcome. Hello,
5 everyone. As you said, I'm Sivonnia DeBarros,
6 also the owner of the SL DeBarros Law Firm, and I
7 help first generation, million dollar creative
8 enterprises and athletes protect, leverage, and
9 monetize their NIL rights. So today I will
10 discuss issues of unauthorized NIL, the harm it
11 can cause, whether the law is sufficient to
12 protect such rights, and how should reputations be
13 considered with the new rules around name, image
14 and likeness in the collegiate space, athletes are
15 not being properly educated on their NIL rights,
16 which is also a huge problem for many creators,
17 which is one of the reasons why I believe we are
18 all here today.

19 And so I will come straight out with a
20 question of how does the use of unauthorized NIL
21 harm individuals and whether AI technology
22 exacerbates these issues? So, as we know for

1 years, and some of my panelists have also
2 discussed that the law that exists now, there's
3 just so many gaping holes, right? So, for a long
4 time, we've had the opportunity to file suit for
5 infringement of our brand and or the services that
6 are being proffered to the community based on a
7 particular name. Right? And we've been able to
8 sue for certain commercial unauthorized use of
9 one's right of publicity. But here's the problem.
10 Not all states one, have a right of publicity
11 statute, which also leaves individuals hoping to
12 fall back on certain IP rules, trademark and
13 copyright, primarily, but also potentially, you
14 know, having to figure out where can they fall in
15 with regards to the FTC for false endorsement
16 claims. And lastly, contract rules. So if an
17 individual has not engaged in the deal making
18 process around their NIL, they're out once again
19 around the law. And so it harms the owner of NIL
20 by not having an actionable claims for use of
21 their NIL or persona, as one panelist mentioned
22 earlier today. If they have not used their name,

1 image and likeness in a way that is protected by
2 IP law or contrary law, or covered by the federal
3 rules, as it stands now, they will be out. They
4 will not have a cause of action to bring in front
5 of a judge and or a jury.

6 And AI exacerbates this issue
7 tremendously, as we've heard before, that
8 individuals are creating these fakes, people's
9 reputation are being ruined, and so we have to
10 also understand that the owner of the NIL, if
11 someone is utilizing AI to benefit from this
12 person's name, image, likeness, well, then the
13 owner is not receiving any commercial benefit,
14 typically, right? Or their voice, their image,
15 and their likeness is being used in some form or
16 fashion that they have not authorized at all. And
17 so other individuals may purchase services and or
18 products thinking that the owner of NIL has
19 actually said or did or taking us back to this
20 idea of endorsement. And so this unauthorized use
21 can deter this person's moral standing or
22 reputation, which could also ruin any type of

1 income that they may have received or could stand
2 for deceased.

3 One thing or example that I am aware of
4 is that, and I'm sure everyone here knows about
5 Taylor Swift, and there were so many different
6 photos that were created. One was pornographic
7 pictures that flooded the Internet and flooded it
8 through the platform ad formerly known as Twitter.
9 And what the media says is that there was a
10 message board called 4chan where people created
11 these types of images. And this message board is
12 known for sharing hate speech, conspiracy
13 theories, and increasingly racism and offensive
14 content created using AI. And so when we look at
15 everything as a whole, right, whether someone is
16 -- if their intention is not to use a person's
17 name, image, and likeness for commercial profit,
18 what are they using it for? Because if their use
19 is extending to a level of creating detrimental
20 harm, well, then we have a huge problem. But our
21 law does not necessarily protect the needs of
22 rights, okay?

1 And so I want people to also think about
2 unauthorized use of art by artists. It's no
3 secret that artists have struggled, many of them
4 for many years, to have their work be widely known
5 and appreciated and respected. And so, as AI
6 continues to learn how we communicate and compile
7 images that we share and pose, it's also possible
8 that an artist's true work is being used or copied
9 for the benefit of others, but at their detriment.
10 And there was a federal law dispute against an AI
11 company called Stable Diffusion, where there's an
12 issue of whether this company actually used the
13 images that particular artists had created in
14 order to support a claim for copyright. And so,
15 as it stands right now, copyright law may not even
16 be the proper avenue for artists to protect their
17 work.

18 We heard about deepfakes. There was a
19 C-suite executive whose voice was cloned, and he
20 joined the meeting, his colleagues, to wire large
21 funds to another bank account. Right? And so
22 here we have the intersection of AI, which can be

1 a great benefit in helping individuals, and
2 especially those who are just getting started, not
3 really well known, don't have a stable income at
4 their disposal to hire teams to be able to do
5 things at a faster rate. But on the other side is
6 the detrimental issue of people having that
7 opportunity to nearly clone a person to their
8 detriment.

9 And so how can AI be used as a
10 legitimate tool in circumstances where individuals
11 grant permission to a third party? Well, one of
12 the things that I think could happen is setting
13 clear parameters of specific AI tools. And so we
14 are educating the public on -- on what are some
15 tools that are already out there in the public.
16 Well, now you want to also think about what are
17 the terms of the license that you're going to
18 provide to that person, to use their name, image,
19 and likeness as they create these things through
20 this AI platform. Creating parameters around what
21 type of images or types of sounds, statements,
22 general statements, that can be created within

1 this ranking of the permission to use one's name,
2 image, and likeness.

3 But I also believe that having some
4 parameters around a code of ethics, for instance,
5 should be considered. A code of ethics is
6 essentially a bedrock for any successful business.
7 Most businesses have their vision, their mission,
8 and their goals, and thus there are parameters in
9 place on what they will have someone in house, or
10 as a third party or licensee to any product or
11 services that they have. So we should be thinking
12 about that as individuals, when we grant a certain
13 right to someone, don't just assume that if I
14 grant someone the right to use their name, image,
15 and likeness in some form or fashion, that AI
16 won't be utilized. And so we should keep that on
17 the forefront of our mind about what are the
18 parameters that we want to set around the use of
19 AI as an individual or company, and move forward
20 in leveraging those rights under that particular
21 agreement.

22 So what limits, if any, should be placed

1 on the voluntary transfer of rights concerning
2 name, image, and likeness to the third party? And
3 one of the questions that was raised, for
4 instance, should there be limits on the duration
5 of such transfers? Well, as I thought about this
6 question, I thought deeply about minors. We don't
7 necessarily think about children when we're
8 dealing with name, image, and likeness. So in
9 cases of minors, especially child actors, the
10 student athletes, and now, of course, you guys
11 know we are dealing with names in the collegiate
12 space in many states. Also at the high school
13 level. Sometimes parents are negotiating rules
14 for their child. And so the duration -- one of
15 the recommendations that I would make is that the
16 duration of negotiating an NIL bill for their
17 child should not extend beyond that child. So we
18 don't want children to suffer bad business
19 decisions of their parents.

20 Furthermore, in cases of minors, parents
21 should be required to see an attorney prior to
22 executing said bills and be supported by the

1 government in having attorneys to help them
2 negotiate and close that deal if their household
3 income falls below a particular level. We have
4 seen recently a popular football player out of
5 Florida get taken advantage by a large company.
6 And I'm not sure if he had representation, but had
7 he had some form of representation I doubt the
8 duty he would have fallen into, would have even
9 been made. All right?

10 And so another recommendation that I
11 would consider is when we're looking at the
12 general landscape of name, image, and likeness,
13 where the law does not support any protection, we
14 should treat it somewhat like property rights,
15 like a state law. Right? If an individual
16 transfers their rights during their lifetime, any
17 language around perpetuity should be considered
18 preempted by national law, meaning that once that
19 person passes away, those rights should revert
20 back to their original owner's estate. Giving
21 their heirs the ability to leverage and monetize
22 that person's NIL however they see fit. Okay?

1 And so, as I wrap up, I want people to
2 really consider the reputation of individuals and
3 creators. You know, there's an adage that says,
4 don't do in the dark what you won't do in the
5 light. And it's critical for us to think about
6 that in a digital age. Sometimes we think if we
7 sit behind a computer or keyboard that no one will
8 ever figure us out. You know, folks are using AI
9 to promote or use one's name, image, and likeness
10 to clone their image, their text, you know, the
11 way that they -- they speak inside of text or
12 statements, their voice, or just even cloning
13 videos of a person. But we have to be considerate
14 about how our creation, by utilizing AI, will
15 impact the NIL owner's reputation and that
16 character of that person. For many businesses,
17 your reputation is your currency. And so before
18 we decide to disseminate anything, we must think
19 about the other person. We must think about the
20 impact that it would have on us individually, our
21 community, and society as a whole, as the one who
22 have created something that could be considered

1 controversial and detrimental to another. At the
2 end of the day, what benefit would you receive
3 from creating something that is detrimental?
4 Likely nothing.

5 And so, in closing, federal rules should
6 definitely focus on national regulation of
7 collegiate name, image, and likeness, national NIL
8 rights of publicity, not just leaving it to the
9 state level or having people try to find a way to
10 fit inside of patent, trademark, or copyright law,
11 and not be solely based on commercial use. But
12 also provide a right of action for those who have
13 seen their name, image, and likeness being
14 improperly used through unauthorized use and
15 seeing a huge impact on their ability to
16 commercialize their name, image, and likeness, if
17 they choose.

18 MR. MARTIN: Great. Thank you,
19 Sivonnia. That was incredibly helpful. One
20 follow up question. I think you mentioned the use
21 of creating parameters. I know we had some other
22 speakers earlier in the day talk about parameters

1 for types of images or sounds that were created,
2 and you also mentioned parameters for a code of
3 ethics. And I was just wondering, for both of
4 these sets of parameters, have you considered how
5 those would be enforced? Would they be enforced?
6 Are these voluntary parameters that entities or
7 individuals would follow?

8 MS. DeBARROS: I think it could be both.
9 I know that a code of ethics could mean something
10 different to different people. But I do believe
11 that as the federal government gears up to create
12 a set of rules on how individuals utilize AI, I do
13 believe that there could be guidelines on, you
14 know, if we list out what the code would be.
15 Let's just say Section A would be, someone
16 mentioned, like, informed consent. Did you get
17 informed consent? Does it paint this person in a
18 provocative way? You know, so certain things like
19 that.

20 But I also believe that there could be
21 that individual aspect of a code of ethics, just
22 to make sure that one, as the owner of NIL, you

1 thought long and hard about what your brand is,
2 and so you know how you want your character to be
3 portrayed. Well, now that you -- you have that
4 set of ethics for yourself, then you can pass that
5 along to the other party. Not assuming that
6 they're going to do what you would do for
7 yourself. Right? But you pass that along to them
8 through now your deal, your contract to make sure
9 that you have bolstered any type of right that you
10 would have at law.

11 MR. MARTIN: Okay, thank you so much for
12 that. We will now go on to our next speaker. So
13 that is Tom -- Tom Clees, Senior Vice President of
14 Federal Public Policy for the Recording Industry
15 Association of America. So, Tom, I'll turn it
16 over to you now. Thank you.

17 MR. CLEES: Thank you, Jeffrey. And
18 thank you so much to your team and to Under
19 Secretary Vidal for holding this panel. I'm
20 really -- I really appreciate the opportunity to
21 speak here, and I'd also like to associate myself
22 with a lot of the remarks made by my fellow

1 panelists. This has been a great discussion. I
2 particularly like to thank Duncan Crabtree-Ireland
3 for his remarks. A lot of what he's going to say
4 is what I'm going to say. And so because of that,
5 I think I keep my remarks relatively mercifully
6 short.

7 So my name is Tom Clees, and I'm the
8 senior vice president of federal public policy for
9 the Recording Industry Association of America.
10 RIAA represents hundreds of music companies of all
11 sizes that together create, manufacture, and
12 distribute the majority of all recorded music
13 consumed in the United States. In addition to
14 creating the songs and shows that we all love, the
15 music industry contributes hundreds of billions of
16 dollars to our national GDP and supports millions
17 of jobs across all 50 states. Because of our
18 connection to virtually every technology for
19 consuming entertainment media, we've also been at
20 the forefront of developing policy and fighting IP
21 infringement since the creation of the World Wide
22 Web.

1 From the invention of the phonograph to
2 the streaming and social media age, the music
3 industry has quickly adapted to technological
4 disruption. In just the past decade, our
5 companies have reoriented their business models
6 around the streaming revolution. This industry,
7 which evolved from a physical distribution to a
8 digital distribution in just my lifetime, and I'm
9 not that old now, licenses content on free market
10 terms to hundreds of streaming services around the
11 world. Artificial intelligence has been
12 integrated into music production and engineering
13 for years before AI policy issues were top of mind
14 in Washington.

15 Our companies continue to embrace new
16 breakthroughs in AI every day. Engineers are
17 creating new and immersive audio experiences that
18 will change how we listen to and experience music.
19 Artists are using digital replicas to cut versions
20 of their songs in every language and enable them
21 to connect with fans around the world. And
22 performers who have lost their voices altogether,

1 like Randy Travis, can use this technology to keep
2 their sound alive. But neither these innovations
3 nor the value of a songwriter or artist's work is
4 sustainable in the absence of clear and
5 enforceable intellectual property protections
6 against unlicensed and infringing digital
7 replicas.

8 By now, it is well understood that there
9 has been an exponential rise in unethical AI voice
10 and likeness clones that steal the fundamental
11 aspects of an artist's individuality and autonomy.
12 It's difficult to overstate the harm posed to an
13 artist when anyone with a laptop can auto generate
14 an album in their voice, plug the market with
15 rip-offs, and use deepfakes to portray them in
16 deeply offensive or even sexually explicit
17 contexts. Existing law is not sufficient to
18 prevent these harms.

19 Fortunately, leaders in Congress are
20 forging bipartisan consensus around effective
21 solutions. Last week, Senators Coons, Blackburn,
22 Tillis, and Klobuchar introduced the NO FAKES Act,

1 which creates enforceable new federal intellectual
2 property rights, allowing victims of
3 non-consensual deepfakes and voice clones to have
4 them quickly taken down and recover damages. This
5 legislation's thoughtful, measured approach
6 preserves existing state causes of action,
7 including the Elvis Act in Tennessee, and outlines
8 carefully calibrated exceptions to protect the
9 public's genuine interest in free expression.

10 RIAA is proud to join the growing coalition of
11 content and tech stakeholders endorsing this bill,
12 including the Human Artistry Campaign, SAG-AFTRA,
13 the Motion Picture Association, OpenAI, and IBM.
14 We hope that Congress can act quickly to pass this
15 bill.

16 Now, some stakeholders have argued that
17 the existing state of right of publicity laws, or
18 the Lanham Act, are sufficient to address the
19 spread of unauthorized digital replicas and
20 deepfakes. Respectfully, I would suggest that a
21 simple Google search or a swipe through social
22 media should make it clear that these are not

1 entirely fit to purpose. State publicity laws are
2 wildly inconsistent across the country and were
3 not developed with AI in mind. Many of them are
4 privacy based laws that cannot be used to enforce
5 takedowns on major online platforms because of
6 Section 230 of the Communications Decency Act. In
7 California, where many major platforms reside and
8 where publicity rights are based in intellectual
9 property, the 9th Circuit has interpreted Section
10 230 to shield platforms from state IP claims.

11 Even with states like Tennessee taking major steps
12 to update their laws for AI, we need a solution
13 grounded in federal intellectual property law for
14 it to be effective.

15 Trademark law, while potentially helpful
16 in some circumstances, is also too limited in
17 scope to address the full breadth of harms posed
18 by unauthorized digital replicas. When a producer
19 creates a perfect replica of Elvis' voice and
20 releases an album of new "AI Elvis songs" that are
21 labeled as such, they can eliminate the potential
22 for consumer confusion while still diminishing the

1 market for Elvis' original works. And this does
2 not even begin to address the harms posed to
3 artists and everyday Americans by deepfakes that
4 cross the line into the offensive or into
5 exploitative material.

6 Our companies alone have sent tens of
7 thousands of notices of this kind to try to take
8 this kind of content down, and most of them have
9 been ignored. Again, the exponential
10 proliferation of unauthorized replicas and the
11 tools to create them, and the fact that major
12 platforms are choosing to leave the vast majority
13 of them online, should be proof enough that the
14 law needs to be updated for the age of AI.

15 Thank you again for this opportunity to
16 join this discussion, and I'm happy to answer any
17 questions.

18 MR. MARTIN: Thank you, Tom. I think
19 that was very clear, very precise. So, much
20 appreciated. We will move on then, to our next
21 speaker. That will be Jill Crosby, Policy Analyst
22 for Engine Advocacy and Foundation. Jill, I'll

1 let you take it away then.

2 MS. CROSBY:: Good afternoon. Thank you
3 for the opportunity to participate today. As you
4 said, my name is Jill Crosby, and I'm a policy
5 analyst at Engine, a nonprofit that works with
6 thousands of startups across the country to
7 advocate for pro-startup, pro-innovation policy.
8 I appreciate the opportunity to discuss how a
9 federal name, image, and likeness law would impact
10 the startup ecosystem and the most appropriate
11 mechanisms to address such content without showing
12 startup innovation.

13 Section 230 protects online service
14 providers from having to aggressively filter user
15 generated content and face costly litigation.
16 Considering a new federal NIL role as intellectual
17 property for the purposes of Section 230 would
18 make it risky for startups to offer services and
19 host content which undermines both speech and
20 competition. Startups do not have the resources
21 to pre-screen all user content or face costly,
22 time consuming lawsuits over their moderation

1 decisions and liability. The Copyright Office
2 advised in the first part of the recently released
3 report on copyright and artificial intelligence
4 that new federal legislation on digital replicas
5 should be excluded from Section 230, and all of
6 this further explain that OSPs are best positioned
7 to prevent the continuing harm from the
8 availability of such unauthorized content. This
9 reasoning overlooks the startup ecosystem and
10 smaller technology companies who do not possess
11 the same extensive resources as larger companies
12 when it comes to filtering and restricting
13 content. Ultimately, a federal NIL law should not
14 be considered intellectual property for the
15 purpose of exclusion under Section 230.

16 Still, if there is a Section 230 carve
17 out for NIL content, bright line rules would be
18 necessary to eliminate the steep obstacles that
19 startups often face as key innovation drivers in
20 the industry. We do agree with the Copyright
21 Office report that liability should only attach
22 where the distributor, publisher, or displayer

1 acted with actual knowledge that content was a
2 digital replica of a real person and it was
3 unauthorized, rather than a "should have known"
4 standard. Requiring actual knowledge creates
5 certainty for providers. So it would not be
6 feasible for startups to investigate or predict
7 whether users would use their platform to post
8 unauthorized NIL content.

9 With startups lacking the resources to
10 address every instance of posted NIL content, the
11 notice-and-takedown rather than a
12 notice-and-stay-down system is the preferred
13 framework. The notice-and stay-down framework
14 would lead to over filtering. As the Copyright
15 Office report suggested, Section 512 does not need
16 to be mimicked here. Although it is important to
17 still note that there are instances of improper
18 reporting under Section 512's notice-and-takedown
19 framework that protections here should address
20 under the new federal NIL law, such as requiring
21 more than just a good faith belief that there
22 being unauthorized content or financial penalties

1 for a false or deceptive notice.

2 Engine strives to bridge the gap between
3 policy makers and startups. The Copyright Office
4 report addressing digital replicas and recent
5 legislative proposals takes into account larger
6 companies regulations of digital replicas. But
7 both ends of the innovation ecosystem must be
8 considered when drafting federal NIL laws. We
9 asked for a thoughtful approach in formulating a
10 federal NIL law to avoid harming startups access
11 to the innovation ecosystem.

12 Thank you again for the opportunity.

13 SPEAKER: We can't hear you.

14 MR. MARTIN: Can you hear me now? Okay,
15 great, thanks. Sorry about that. Jill, thank you
16 so much for those comments. I appreciate the
17 diving into the different frameworks for
18 notice-and-takedown, as well as the potential that
19 has for impacts on startups. So thank you for
20 that.

21 We will now go on to our next speaker.

22 That will be Joe Whitlock, the Executive Director

1 of Policy at the Business Software Alliance. Joe,
2 are you ready to go?

3 MR. WHITLOCK: Yes. Can you hear me?

4 MR. MARTIN: Yes, we can. Thank you
5 very much. I'll give it over to you then.

6 MR. WHITLOCK: Great. Thanks so much,
7 Jeff. So thank you very much for the opportunity
8 to testify today before the U.S. Patent and
9 Trademark Office. I'd like especially to thank
10 Director Vidal for her leadership, and Jeff
11 Martin, Ann Chaitovitz, Linda Quigley, Lakeisha
12 Harley and Kia Belk, and everyone else who helped
13 organize today's event.

14 My name is Joe Whitlock. I am the
15 director of policy for the Business Software
16 Alliance. BSA represents copyright and patent
17 holders who publish enterprise software solutions.
18 This includes, for example, digital tools that are
19 used for chemical, electrical, civil, and
20 mechanical engineering. It includes industrial,
21 cloud, and computer aided manufacturing tools.
22 Tools for human resources, retail management,

1 accounting and actuarial risk assessment, and ERP
2 logistics and supply chain and database management
3 tools. For more relevant for today's discussion,
4 enterprise software also includes digital tools
5 used by creators to develop their craft, give
6 expression to their ideas, and develop new
7 artistic works and performances. Across the
8 visual and fine arts, the applied arts, the
9 performing arts, and the literary arts, generative
10 AI tools in particular are opening up new
11 approaches, in the words of Grammy award-winning
12 violinist Hilary Hahn, to "cross pollinate between
13 AI and creativity." This includes the use of AI
14 enhanced special effects and sound mixing in film
15 and music production, as well as AI enhanced
16 computer aided design tools in sculpture,
17 architecture, and applied arts, such as vehicular
18 or interior design. It also includes AI enhanced
19 drafting tools that writers use in the development
20 of new literary expressions.

21 However, as has been discussed today,
22 generative AI tools also can be misused for the

1 wrongful and intentional commercial dissemination
2 of unauthorized digital replicas of an artist's
3 name, image, likeness, or voice. This improper
4 activity is particularly detrimental to artists
5 who depend upon their reputation and public
6 recognition for their livelihood.

7 As a strong supporter of a robust U.S.
8 framework for IP protection and enforcement, BSA
9 urges Congress to take steps to protect artists
10 from this wrongful activity. I'll discuss four
11 main points: first, rights and standing; second,
12 predicate offenses; third, safeguards; and fourth,
13 legal coherence. In terms of rights and standing,
14 actors, athletes, musicians, and other performers
15 and artists should have the right to prevent the
16 unauthorized commercial dissemination of any
17 digital replicas that is so realistic that a
18 reasonable observer would believe it is the actual
19 artist's name, image, likeness, or voice.

20 Second, predicate offenses. Congress
21 should do two things in this space. First, deter
22 the intentional dissemination of unauthorized

1 replicas, and second, deter technologies that are
2 primarily designed to disseminate unauthorized
3 replicas. To elaborate, Congress should impose
4 liability on those who create and disseminate for
5 commercial purposes a digital replica, knowing
6 that the replica was not authorized by the artist
7 at issue. Second, Congress should impose
8 liability on those who commercially traffic in an
9 algorithm, software, tool, or other technology,
10 service, or device that has the primary purpose of
11 creating and disseminating digital replicas with
12 knowledge that the act was unauthorized.

13 Third, safeguards. I'll discuss three
14 safeguards: one, incentives to quickly remove
15 unauthorized replicas; second, protecting third
16 parties lacking knowledge; and third, safeguarding
17 constitutionally and statutorily protected uses.
18 So, in the first bucket, to protect artists.
19 Service providers should be encouraged to remove
20 unauthorized digital replicas expeditiously,
21 consistent with the requirements of 17 U.S.C.
22 512. The Section 512 provisions, however, should

1 apply without regard to whether the unauthorized
2 replica infringes copyright. Second, protecting
3 third parties lacking knowledge. Congress should
4 protect third parties that do not have knowledge
5 that the digital replica at issue is not
6 authorized. And third, safeguarding
7 constitutionally and protected uses, statutory
8 protected uses. Congress should shield from
9 liability the use of a digital replica for
10 statutorily permitted uses such as criticism,
11 comment, news reporting, teaching, scholarship, or
12 research. Any use that's covered by the First
13 Amendment of the U.S. Constitution, and any use
14 that is de minimis, transient, or incidental.
15 We'd note, for example, in the NO FAKES Act, which
16 contains many positive elements, that the Act does
17 omit protections for teaching, for scholarship,
18 and for research, and we think those beneficial
19 societal uses should be protected.

20 The last major topic I'll discuss is
21 coherence within the U.S. legal system. Within
22 that, I've got two issues to discuss. First,

1 avoiding overlap with other U.S. laws, and
2 preemption. In terms of avoiding overlap with
3 other laws, this is -- the issue we're discussing
4 today is a distinct and separate cause of action
5 from bills such as the DEFIANCE Act, the TAKE IT
6 DOWN Act, and the Preventing Deepfakes of Intimate
7 Images Act. These bills, some of which have
8 already passed Congress, address different
9 challenges from those being discussed today,
10 namely, non-consensual intimate imagery,
11 deepfakes, and other wrongful acts that properly
12 have distinct legal standards and remedies from
13 those that apply in this context. In the right of
14 publicity and related context. We urge Congress
15 to allow these issues to be addressed through
16 these other legislative vehicles, while ensuring
17 that legislation such as the NO FAKES Act focuses
18 on fully protecting artists and performers who
19 depend upon their name, image, likeness, or voice,
20 or their livelihood.

21 And finally, preemption. Right of
22 publicity laws and practices exist across all of

1 the states of the union. Some would argue that
2 these laws should be preempted in respect of right
3 of publicity causes of action in -- excuse me, I
4 should restate that. Some would argue that these
5 laws should not be preempted in respect of right
6 of publicity causes of action in an analog
7 context. Conversely, however, many would also
8 take the view that federal legislation should
9 preempt state law with respect -- with respect to
10 digital replications in a right of publicity
11 context. To give full force and effect in
12 interstate commerce to a federal law on digital
13 right of publicity, a robust standard of
14 preemption should exist for the dissemination of
15 unauthorized digital replicas of an artist's name,
16 image, likeness, or voice.

17 And with that, I conclude my remarks.
18 Thank you.

19 MR. MARTIN: All right. Thank you, Joe.
20 I think I do have one question for you as well.
21 If I can just get to it -- and thank you for your
22 patience. I know that you had talked a little bit

1 about imposing liability on software, rather,
2 primary purpose of possibly creating digital
3 replicas, along with the knowledge that the act
4 was unauthorized is a situation where liability
5 should be imposed. Is that correct, or am I
6 misquoting you, misreading, or mishearing what you
7 said?

8 MR. WHITLOCK: Yes, there's a section in
9 the -- in the NO FAKES Act that speaks to this
10 broadly. And while we're still looking at how
11 that is drafted, we do think that secondary
12 liability should exist, consistent with the Sony
13 v. Universal Supreme Court case, for those who
14 create tools whose primary purpose is to create or
15 to disseminate unauthorized digital replicas with
16 knowledge.

17 MR. MARTIN: Okay. I just want to be
18 clear, because it seems that maybe some software
19 packages would have huge capabilities, but sort of
20 defining that and detecting where the primary
21 purpose is for creating these unauthorized
22 replicas may be a bit tricky. But maybe this is

1 something that you're also further going into?

2 MR. WHITLOCK: Yes, this is -- this is
3 something that -- that we've given a lot of
4 thought to. We think the Supreme Court
5 jurisprudence is helpful. This is something we've
6 discussed with other -- not everyone -- but some
7 of the other stakeholders on, you know, who are
8 participating in this listening session, and we
9 think it's an important issue to consider.

10 MR. MARTIN: Okay, great. I think we
11 did have another question from one of my
12 colleagues, which I will bring up since we have a
13 minute here. As far as possible fair use
14 exceptions that you were talking about, would
15 those overlap entirely or to a large degree with
16 typical fair use exceptions for copyright, or are
17 there some stark differences there?

18 MR. WHITLOCK: Yeah, that's a great
19 question. Thank you. So looking at the
20 exceptions that have been written into, for
21 example, the NO FAKES Act, we noticed that
22 exceptions that would cover teaching, you know,

1 research and scholarship were not specifically
2 referenced. And so we do not feel that it should
3 be a predicate to find a, you know, potential
4 violation of copyright to apply those very
5 important fair use safeguards in this context. So
6 we think that the fair use safeguards, all
7 categories of fair use safeguards, should also
8 apply in the context of digital replicas of name,
9 image, likeness, voice, but they should apply
10 without regard to whether there is a copyright
11 violation or -- or not.

12 MR. MARTIN: Okay, thank you for that.
13 Okay, we will go on then to our next speaker.
14 That will be Brandon Butler. He is executive
15 director for the Re:Create Coalition. And
16 Brandon, I see you're already ready to go, so I
17 will turn it over to you now. Thanks.

18 MR. BUTLER: Good afternoon, everybody.
19 Thank you so much to the USPTO for this
20 opportunity to share my views on NIL and digital
21 replicas, and to Jeff and his colleagues for
22 facilitating this event and for their patience

1 with my email hiccups as we worked to get me on
2 the agenda.

3 So, as Jeff mentioned, I'm the executive
4 director of the Re:Create Coalition. I'm also an
5 attorney in private practice. My clients in that
6 context are primarily independent creators who
7 make documentary films, television series, web
8 video series, and podcasts, almost all of which
9 tell stories about real people and increasingly
10 many of which, as you can imagine, are bringing
11 artificial intelligence tools into their
12 workflows, if they hadn't already. So thanks for
13 this opportunity, again.

14 Let me say a little bit about Re:Create.
15 Re:Create is a coalition of nonprofit
16 organizations committed to balanced copyright,
17 including cyber civil liberties groups, library
18 groups, advocates for fan works, startups, and
19 open scholarships, as well as technology trade
20 associations with member companies, both big and
21 small. Several Re:Create member organizations
22 have taken part in today events, and of course, I

1 endorse all of their comments, and I applaud their
2 charm and wit.

3 So Re:Create recognizes that creativity
4 is cumulative and conversational, and the
5 technology makes creativity more accessible to
6 more people. Enabling more people than ever
7 before to create, comment, study and build on
8 culture, and then to share their work with friends
9 and family and with the wider world. A lot of the
10 conversation about deepfakes has been about the
11 ease of making and sharing digital media, and it's
12 worth noting that in many contexts and in many
13 ways, this is not a bug in the 21st century
14 creative ecosystem. It's a feature. We've heard
15 a lot about, sort of the dark side of digital
16 technology. But it's worth remembering that
17 people can and do use these kinds of tools to
18 express themselves, to create and share knowledge,
19 and to promote things and people and causes that
20 care about using their own sort of lawful
21 expressive work.

22 Earlier today, Ben Sheffner of the MPA

1 mentioned the 1994 film Forrest Gump to illustrate
2 how technology has long enabled major motion
3 picture studios to include realistic depictions of
4 historical figures in major films. Like so many
5 technological advances, what the wider
6 availability of AI technology can do is allow more
7 people to create more stories that are compelling
8 to watch, in the same way that Forrest Gump was,
9 without needing the money and technology and power
10 that were at the disposal of the studio that made
11 that film. Now, independent documentarians or
12 educational filmmakers can recreate or speculate
13 about history with the same production values that
14 used to be reserved for only the biggest
15 entertainment studios.

16 And in fact, when this call's over, I'm
17 going to run upstairs and ask my kids how their
18 day went. And what they did today was go to
19 special effects camp, which is a summer camp that
20 they can go to at the local art house movie
21 theater here in Charlottesville. And at that
22 camp, they have more editing firepower at their

1 fingertips on the, you know, IMAX in there -- in
2 their classroom than Francis Ford Coppola had at
3 his fingertips when he made The Godfather. Right?
4 So it's kind of amazing, and it is worth dwelling
5 for a minute on how cool and powerful that is.
6 That's a win for creativity, the access to
7 technology that we have.

8 I also wanted to mention, because I
9 haven't heard too much about it yet, digital
10 scholarship and teaching. Although I appreciated,
11 actually, I appreciated just now Joe's mentioning,
12 you know, scholarship and teaching is something
13 that was missing from NO FAKES, and I think that's
14 right. That's a major oversight in the, sort of,
15 No FAKE's system, is that scholarship and teaching
16 aren't figured into the carve outs in that bill.
17 In many ways, a digital replica is just a way to
18 talk about somebody, right? Scholarship and
19 teaching are often, especially in the humanities,
20 where I live and have lived for most of my life,
21 about people, about their ideas, about their
22 histories, and to depict them and say something

1 about them, to illustrate their life, to
2 communicate their ideas.

3 So down the street at the University of
4 Virginia, where I used to work, the English
5 department is a pioneer in what's known as the
6 digital humanities. And the scholars lab in the
7 library -- some of my all-time favorite people --
8 every year they have seminars and courses for
9 graduate and undergraduate students, where they
10 teach humanists how to use digital technology to
11 do humanities scholarship. And again, a lot of
12 that -- and pedagogy, by the way -- and a lot of
13 that scholarship and pedagogy involves processing
14 digital work, text, and so on, analyzing it with
15 digital tools, and then creating new ways of
16 presenting information about those works, about
17 novels, about films, and so on. And if digital
18 replicas are not already being used in this kind
19 of work, it is a matter of time. It is just a
20 matter of time before you know -- right now, one
21 of the most popular digital scholarship projects
22 at UVA is called Digital Yoknapatawpha. And if

1 you are a Faulkner fan, you got to Google it and
2 check it out. It's a really incredible way to
3 explore that, you know, fictional world that
4 Faulkner created in Yoknapatawpha, Mississippi.
5 And it involves representing a lot of facts and
6 ideas about Faulkner and his works. Again, in
7 ways that I think some of the folks like the
8 Authors Guild earlier this afternoon when they
9 talk about, you know, unauthorized biography, it
10 sounds like they might make the digital
11 Yoknapatawpha project illegal, which I think would
12 be a real problem.

13 Back to Re:Create. Our mission in
14 Re:Create is to ensure that policy conversations
15 about copyright and related issues like these take
16 account of essential balancing elements and
17 fundamental principles in the law that favor broad
18 access to creativity and reuse of existing
19 creative materials. And so this includes
20 protecting a rich public domain by maintaining
21 reasonable limits on the scope of rights, and
22 then, recognizing that even where rights do apply,

1 there must be breathing room for creativity,
2 critique, scholarship, and other culturally
3 beneficial practices through specific exceptions,
4 as well as broad general user rights like fair
5 use. We're here, I'm here, because concepts and
6 mechanisms from copyright are among the most
7 commonly suggested ways of addressing the
8 perceived shortcomings in existing NIL regimes.
9 And some of the bills we've seen so far are, I
10 think, partake in a kind of switcheroo where their
11 sponsors talk about privacy and fraud and
12 misinformation. But the bill then turns out to
13 just be about creating a new economic right that's
14 licensable, like property, and useful primarily to
15 folks who make their living from selling their
16 image. Which is not necessarily what all those
17 other things are about, which, as I think Ms.
18 Garcia from Public Knowledge pointed out.

19 Now, happily, I've heard several folks
20 today, and I've listened to all the sessions since
21 9:00 this morning, representing a fairly diverse
22 cross-section of stakeholders, reject this

1 approach, at least in theory. Lots of folks in
2 this conversation have rightly argued, I think,
3 that we should resist creating a sort of single
4 broad IP like right that would try to solve
5 everything in one fell sweep, when in fact there
6 is a diverse set of challenges here, most of which
7 don't necessarily have to do with commercial
8 activity or the kinds of activities that are
9 usually regulated by intellectual property rights.

10 In fact, I'm sort of excited to say
11 this. You know, the MPA, the ESA, DEMA, SIAA,
12 among others, all sort of agree with Re:Create and
13 our members that the right approach is to slow
14 down, look very carefully at the specific harms
15 associated with some uses of digital replicas,
16 examine existing laws to find what gaps exist, if
17 any, and only then to propose targeted fixes that
18 bring those laws up to speed, and only if need be,
19 only if the First Amendment in particular is
20 respected. So I really appreciated that. I think
21 that was, sort of, a three part thrust of Ben's
22 argument this morning from the MPA. You know,

1 pause and ask whether current law will suffice.

2 And that connection, I mean, I think, I
3 hope it's not obvious, but fraud with AI is fraud.
4 False endorsement with AI is false endorsement.
5 Extortion with AI is extortion. Voter suppression
6 with AI is voter suppression. You know, each of
7 these laws already exist, and each of these
8 regimes has a clear connection to a compelling
9 government interest and has been tested against
10 the First Amendment. And so we know that when
11 deepfakes are used in connection with these kinds
12 of activities, their regulation is not going to
13 pose the First Amendment problem. We also are
14 seeing some of the notorious cases, for example,
15 the sort of Biden deepfake robocalls in New
16 Hampshire. That guy has been indicted. He's
17 facing a \$6 million fine from the FCC and like 13
18 felonies for voter suppression in New Hampshire.
19 So it is worth pausing and looking and seeing what
20 plays out in these cases where AI is used to
21 commit things that are already crimes. When we
22 look at whether there's a gap in the law, it's

1 important to look at scoping any response only to
2 fill that gap.

3 I see my time is running low. So let me
4 say one more thing that I wanted to be sure to
5 say, which is that no one yet has mentioned under
6 the heading of post mortem rights, an interesting
7 thing. If an estate possesses a postmortem, a
8 valuable postmortem sort of publicity, right,
9 there will be a lot of tax pressure to monetize
10 that right. And I haven't heard that come up yet.
11 I've heard it in other conversations about this
12 topic, that is, you know, you can imagine, for
13 example, MCA from the Beastie Boys, who sort of
14 famously does not want to be commercialized, did
15 not want to be commercialized, and now his estate
16 very actively pursues people who use his art in
17 advertisements. But that estate would face a lot
18 of tax pressure, and other estates would face a
19 lot of tax pressure to commercialize that
20 likeness, lest they, you know, be taxed for its
21 value and not be able to afford it.

22 So I will leave it off there. Thanks,

1 Jeff.

2 MR. MARTIN: Thank you. Thanks,
3 Brandon. Thanks very much for those comments.
4 Then moving on then to our next presenter, just to
5 keep our flow moving here. So that will be
6 Jonathan Band with policybandwidth. Jonathan, I
7 see you're ready, so I'll turn it over to you.
8 Thank you.

9 MR. BAND: Thanks, Jeff. I just want to
10 make sure that you can hear me.

11 MR. MARTIN: Yes, we can.

12 MR. BAND: Great. Thank you. So thanks
13 to you and to the USPTO for this roundtable. It's
14 been a very long day, and I'm not going to repeat
15 what other people have said. I just wanted to add
16 a very specific point. So I represent the Library
17 Copyright Alliance, which includes the American
18 Library Association and the Association of
19 Research Libraries. And I agree with a lot of the
20 comments that people have been made, in particular
21 what Brandon just said and how it reflects in the
22 agreement of his position with what a lot of other

1 people have said earlier today.

2 But I'm going to just take a slightly
3 different direction and just emphasize that we've,
4 you know, seen the introduction of the deep -- the
5 NO FAKES Act, we've seen the Copyright Office
6 report all last week. But both of those ignore
7 the fact that Congress simply does not have the
8 authority to grant broad IP rights in name, image,
9 and likeness. And I'll just walk through some of
10 the steps. I mean, this is a little technical,
11 but hopefully it'll be clear. So, under the IP
12 clause, as interpreted by Feist versus Rural
13 Telephone, Congress cannot grant IP protection to
14 unoriginal subject matter such as facts. Now,
15 name, image, likeness, those are facts. This is
16 my face. This is my voice. Facts.

17 Now, under Railway Labor versus Gibbons,
18 Congress can't rely on the Congress to protect
19 unoriginal subject matter. In other words, you
20 can't rely on the Congress clause to protect
21 something that cannot be protected under the IP
22 clause. And Dastar --Justice Scalia's decision --

1 and Dastar confirms this by saying that Congress
2 cannot rely on the copyright law -- on the
3 Commerce clause to create a mutant copyright law.
4 That's Justice Scalia's term.

5 An individual's voice and likeness, as I
6 said, are facts. So Congress can't grant IP
7 protection under them, for them, either under the
8 IP clause or the Commerce clause. Now, even
9 though Congress can't grant, can't enact a broad
10 IP right in NIL, anything like the NO FAKES Act,
11 it still does have the ability to enact specific
12 laws preventing the use of deepfakes for specific
13 things. So, for example, to deceive consumers or
14 protect privacy. You know, so certainly they
15 could have a law that targets the specific harms
16 that people have identified in the context of this
17 roundtable, which is that Congress can't create a
18 broad property right in unprotectable subject
19 matter such as an individual's voice or visual
20 likeness.

21 Now, LCA raised this issue in the
22 context of the press publisher rights discussion a

1 couple of years ago, and the Copyright Office sort
2 of dismissed it by saying, oh, you know, there's
3 case law challenging the anti bootlegging laws.
4 And those cases said that that's okay, that's
5 constitutional. But I want to point out that
6 those cases said that Congress could enact anti
7 bootlegging laws which dealt with unfixed
8 performances because they were not giving a
9 property right in those -- on six performances,
10 but they were simply giving the government the
11 ability to bring criminal charges. And as such,
12 were not, again, it wasn't the kind of
13 circumvention relying on the Commerce clause to
14 protect something that is unprotectable under
15 copyright because they weren't granting a -- they
16 weren't granting a property right. Here, if you
17 look at the NO FAKES Act, it explicitly says this
18 is a property right. And many of the speakers
19 today who are supporting the NO FAKES Act all said
20 that they support a IP right in NIL.

21 So just as a technical matter, you know,
22 we can -- it's just worth pointing out that there

1 are serious constitutional problems with the
2 approach followed by both the Copyright Office and
3 the NO FAKES Act. And the way to approach this is
4 instead, kind of, you know, in a much more
5 targeted manner rather than creating a broad IP
6 right. Thank you very much.

7 MR. MARTIN: Jonathan, thanks very much.
8 You brought up some points and even some topics
9 that I don't think were addressed by our other
10 speakers. So we appreciate that.

11 I'm going to move on then to our next
12 and last speaker, and this speaker just came on at
13 the last minute. So please forgive me for people
14 who have the final agenda because this person has
15 just been added, but this is Aden Hizkias, Policy
16 Analyst for -- and I hope I get this right, Aden
17 -- Chamber of Progress. Is that correct?

18 MR. HIZKIAS: That's correct. Thank
19 you.

20 MR. MARTIN: Okay, great. So I'm going
21 to turn it over to you then. Thank you.

22 MR. HIZKIAS: Thank you. My name is

1 Aden Hizkias, and I'm a policy analyst at Chamber
2 of Progress. And on behalf of Chamber of
3 Progress, a tech industry association supporting
4 public policies to build a more inclusive country
5 in which all people benefit from technological
6 leaps, we appreciate the opportunity today to
7 share this response on the public roundtable on
8 protections for name, image, likeness, and other
9 indica of identity and reputation.

10 Artificial intelligence technology holds
11 potential significant benefits regarding the use
12 of individuals likeness. AI can enhance user
13 experience in various fields, including
14 entertainment, marketing, and personalized
15 services. For instance, AI-driven tools can be --
16 create realistic avatars for virtually reality
17 experiences, allowing users to engage in immersive
18 environments that are more interactive and
19 enjoyable. Additionally, and as mentioned by a
20 few folks here today, AI has the potential to
21 revolutionize education. For example, AI can
22 generate interactive historical figures or

1 cultural icons, allowing students to engage in
2 immersive educational experiences. For instance,
3 students learning about history can have virtual
4 conversations with AI-generated avatars of
5 historical figures, deepening their understanding
6 and making lessons more vivid and memorable. In
7 language learning, AI can use the likeness of
8 native speakers to provide realistic and
9 contextually relevant practice scenarios, helping
10 students improve their pronunciation and
11 conversational skills. Incorporating AI and
12 likeness and educational tools can create more
13 dynamic and compelling learning experiences
14 catering to diverse educational needs.

15 Today, I'll be focusing on questions
16 three and five that are raised to the Federal
17 Register. On three, do technological mechanisms
18 or protocols currently exist to identify
19 AI-generated NIL content, to prevent or deter
20 unauthorized AI-generated NIL content, or to
21 remove unauthorized AI-generated NIL content after
22 it has been released? And what other types of

1 mechanisms or protocols exist? To that, we would
2 point to watermarking and digital signatures,
3 which may be effective methods for identifying
4 AI-generated content by embedding identifiable
5 markers into digital media. These techniques
6 enable content to be traced back to the creator or
7 original sources, ensuring authenticity and
8 ownership. For example, Google is exploring
9 methods to embed digital signatures into media,
10 aiding in verifying and tracking AI-generated
11 content. Collectively, these approaches may help
12 manage and address the unauthorized use of digital
13 likeness content. Content watermarking is an
14 evolving space, and there's not yet a single
15 agreed industry standard for watermarking. As
16 such, policymakers should not mandate a specific
17 watermarking technology at this time.

18 On question five, there have been calls
19 for a new federal law to address unauthorized use
20 of NIL content, including content generated by AI,
21 should Congress create a new federal law to
22 protect NIL. We would suggest that Congress

1 refrain from creating a new federal law to address
2 the unauthorized use of likeness content,
3 including AI-generated content, as existing legal
4 frameworks offer sufficient protection. For
5 example, the right of publicity safeguards
6 individuals control over the commercial use of
7 their likeness. Additionally, copyright and
8 trademark laws prevent unauthorized exploitation
9 of likeness. Trademark law can prevent the
10 unauthorized use of likeness in a way that
11 suggests endorsement or affiliation, thus
12 protecting the individual's brand and reputation.
13 For example, using a celebrity's likeness to
14 promote a product without consent can constitute
15 trademark infringement if it implies an
16 endorsement. Copyright law complements this by
17 protecting original works, including photographs
18 and other visual representations, from
19 unauthorized reproduction and distribution. This
20 means that individuals can enforce their rights
21 against unauthorized uses of their likenesses
22 captured and creative works. Together, these

1 legal frameworks offer comprehensive coverage for
2 addressing unauthorized likenesses issues and
3 ensure that individuals have recourse against
4 misuse without the need for a new federal
5 legislation.

6 The tech industry is increasingly
7 adopting self-regulation and ethical guidelines to
8 manage likeness responsibly, demonstrating that
9 existing protections are adaptable and effective.
10 For example, Google's responsible AI initiatives,
11 including its AI principles and internal review
12 processes, exemplify how the tech industry is
13 proactively self-regulating to ensure ethical and
14 accountable use of artificial intelligence
15 technologies.

16 Thank you for your time.

17 MR. MARTIN: Aden, thank you very much.
18 Greatly appreciated. I was hoping to have a
19 little bit of extra time at the end, just for all
20 of our speakers. I have one final comment, but
21 unfortunately, as everyone can see, we have
22 reached our limit for the day. So just a closing

1 comment of saying thank you to all of our speakers
2 today. We greatly appreciate you taking time out
3 of your, I'm sure, very busy schedules to be here,
4 to provide comments on this timely topic, and to
5 really supplement what we heard earlier today at
6 the in person panel. Also, want to thank our Gipa
7 (phonetic) IT team. We did have some difficulties
8 here today, so we want to thank them as well. And
9 with that, we will say goodbye to all of you. I
10 appreciate you taking the time to join us today.
11 Thank you.

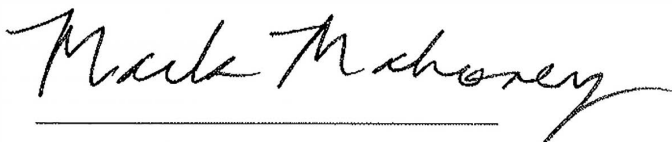
12
13 (Whereupon, at 4:04 p.m., the
14 PROCEEDINGS were adjourned.)

15 * * * * *

CERTIFICATE OF NOTARY PUBLIC

COMMONWEALTH OF VIRGINIA

I, Mark Mahoney, notary public in and for the Commonwealth of Virginia, do hereby certify that the forgoing PROCEEDING was duly recorded and thereafter reduced to print under my direction; that the witnesses were sworn to tell the truth under penalty of perjury; that said transcript is a true record of the testimony given by witnesses; that I am neither counsel for, related to, nor employed by any of the parties to the action in which this proceeding was called; and, furthermore, that I am not a relative or employee of any attorney or counsel employed by the parties hereto, nor financially or otherwise interested in the outcome of this action.



Notary Public, in and for the Commonwealth of Virginia

My Commission Expires: August 31, 2025

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