



Patent Trial and Appeal Board Statistics

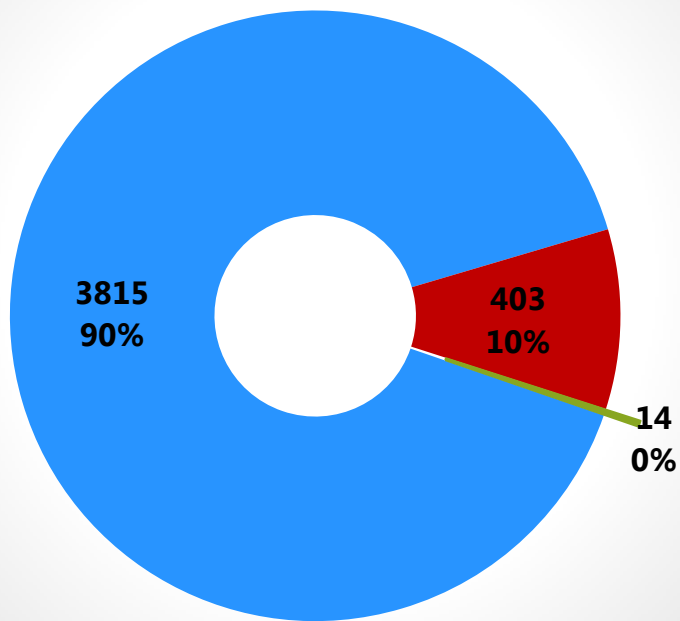
11/30/2015

UNITED STATES
PATENT AND TRADEMARK OFFICE



4232 Total AIA Petitions*

Cumulative from 09/16/2012



■ Total IPR Petitions ■ Total CBM Petitions ■ Total PGR Petitions

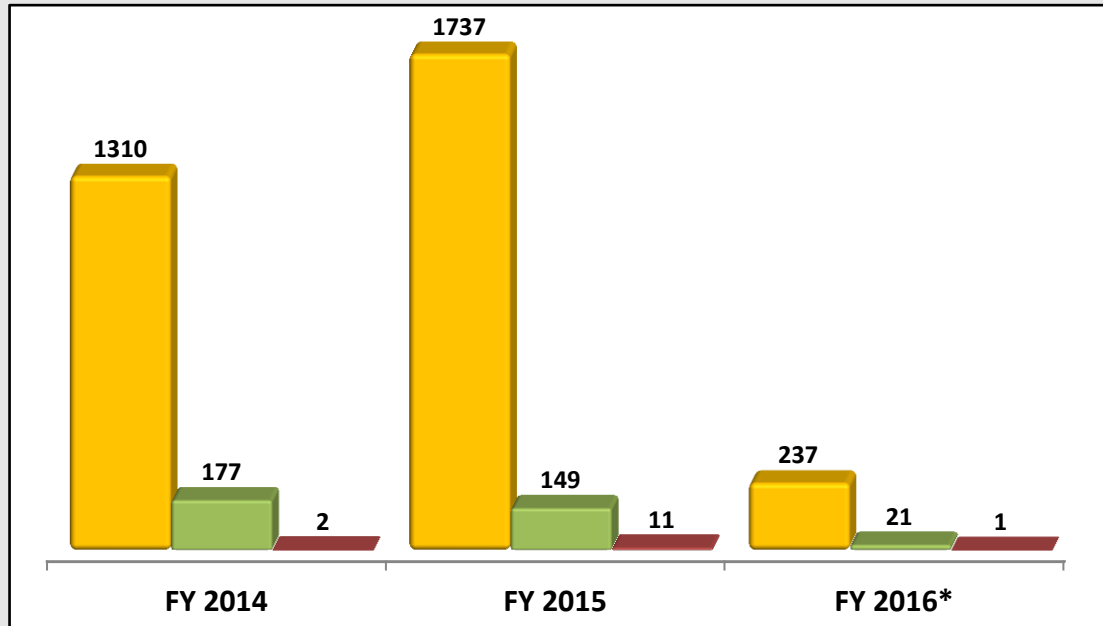
Narrative:

This pie chart shows the total number of cumulative AIA petitions filed to date broken out by trial type (i.e., IPR, CBM, and PGR).

***Data current as of: 11/30/2015**

Number of AIA Petitions Filed by Fiscal Year by Type

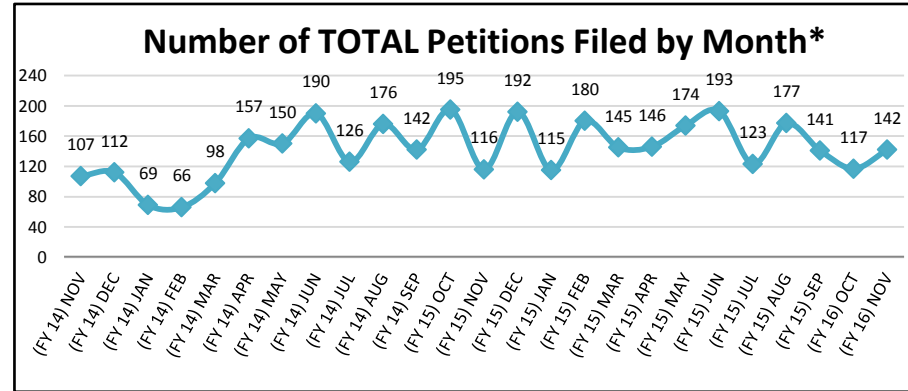
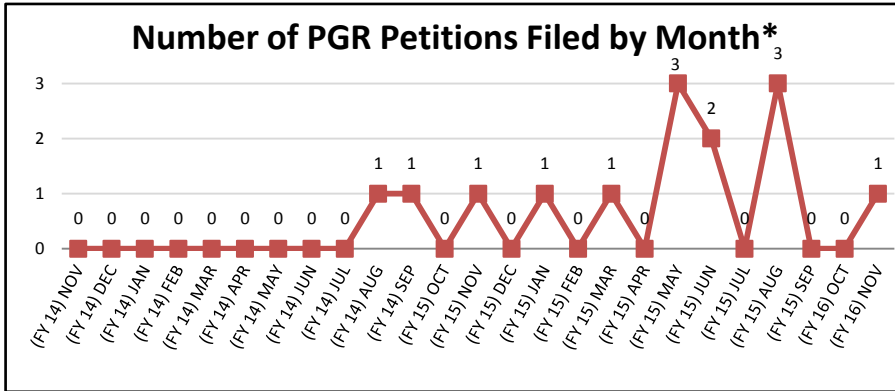
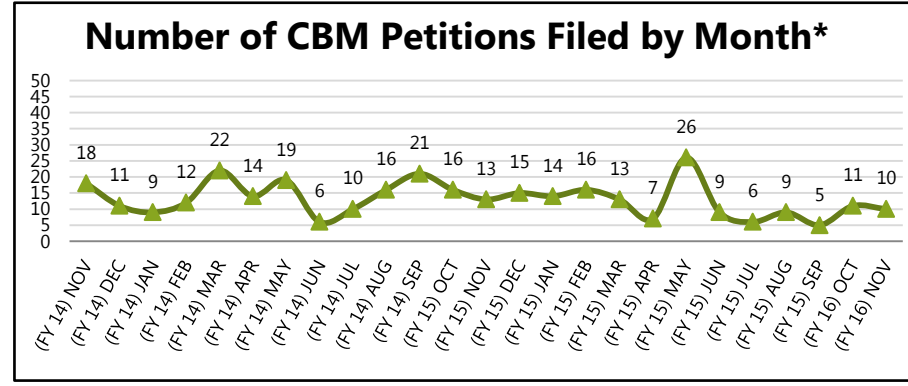
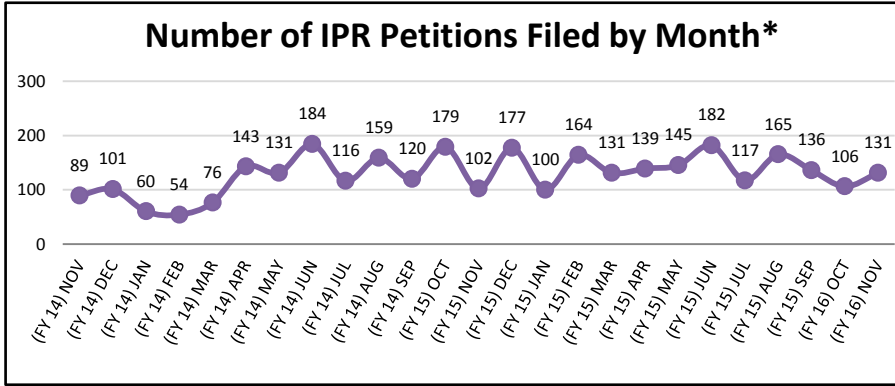
■ IPR ■ CBM ■ PGR



Narrative:

This bar graph depicts the number of AIA petitions filed each fiscal year, with each bar showing the filings for that fiscal year by trial type (i.e., IPR, CBM, and PGR).

***Data current as of: 11/30/2015**



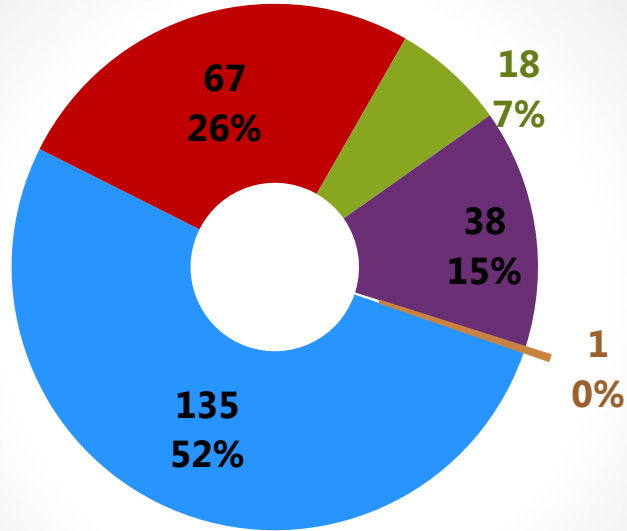
Narrative:

These line graphs display the number of IPR, CBM, and PGR petitions filed each month and the total number of all petitions filed each month from the effective date of the AIA trial provisions.

***Data current as of: 11/30/2015**

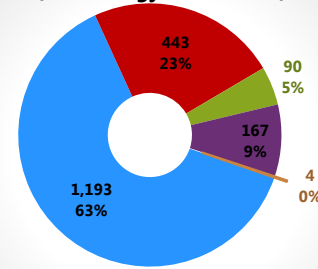


259 Total AIA Petitions in FY 16* (Technology Breakdown)

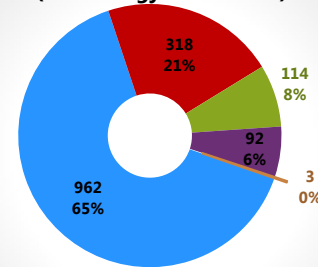


- Electrical/Computer - TCs 2100, 2400, 2600, 2800
- Mechanical/Business Method - TCs 3600, 3700
- Chemical - TC 1700
- Bio/Pharma - TC 1600
- Design - TC 2900

1897 Total AIA Petitions in FY 15* (Technology Breakdown)



1489 Total AIA Petitions in FY 14* (Technology Breakdown)



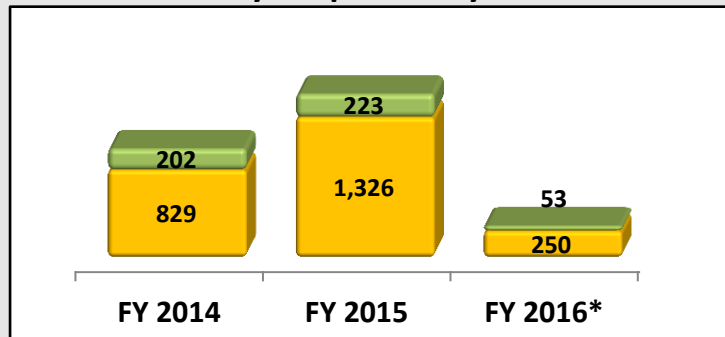
Narrative:

This pie chart shows the total number of AIA petitions filed in the current fiscal year to date as well as the number and percentage of these petitions broken down by technology.

*Data current as of: 11/30/2015

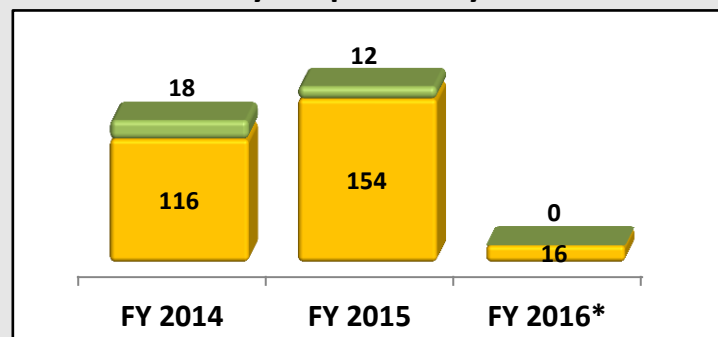


IPR - Number of Patent Owner Preliminary Responses by Fiscal Year



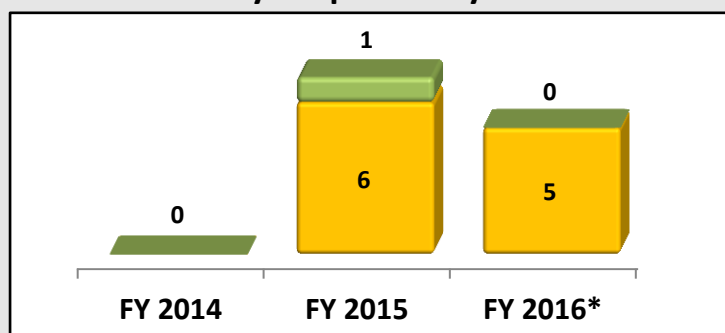
■ Filed ■ Waived/Not Filed

CBM - Number of Patent Owner Preliminary Responses by Fiscal Year



■ Filed ■ Waived/Not Filed

PGR - Number of Patent Owner Preliminary Responses by Fiscal Year



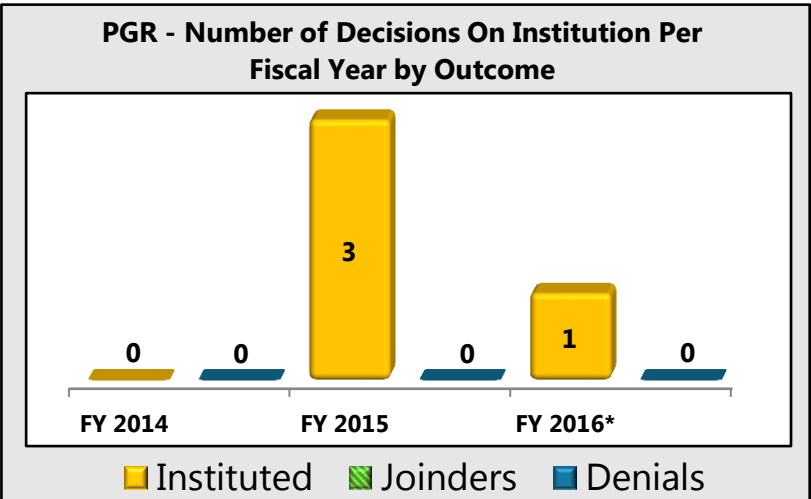
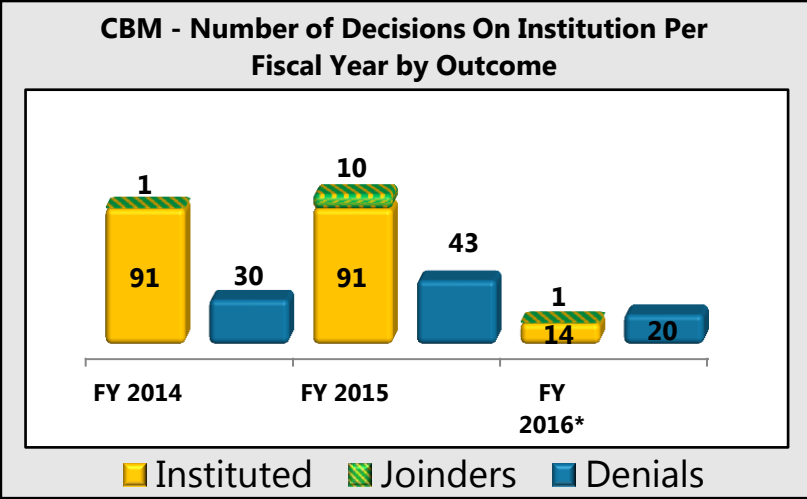
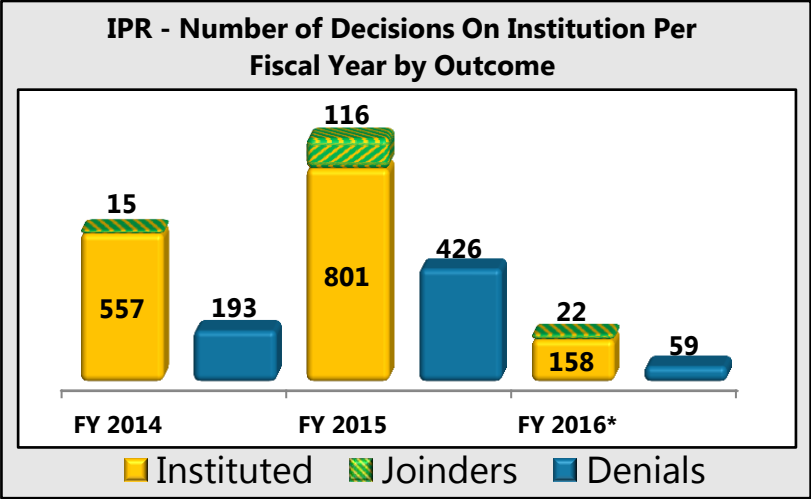
■ Filed ■ Waived/Not Filed

Narrative:

These three sets of bar graphs show the number of patent owner preliminary responses filed and waived/not filed each fiscal year in IPR, CBM, and PGR proceedings.

***Data current as of: 11/30/2015**





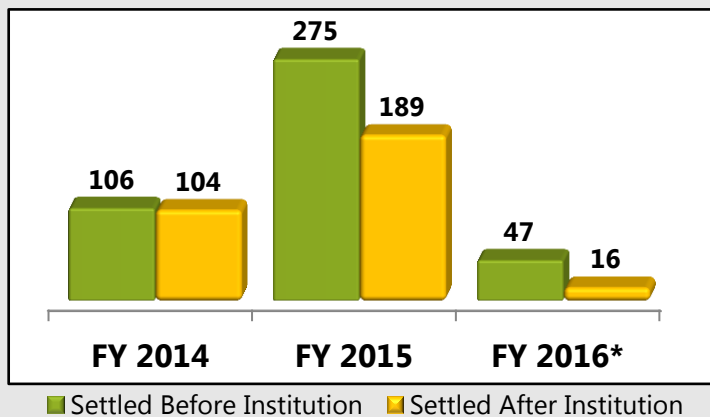
Narrative:

These three sets of bar graphs show the number of decisions on institution by fiscal year broken out by trials instituted (including joinders) and trials denied in IPR, CBM, and PGR proceedings. A trial that is instituted in part is counted as an institution in these bar graphs.

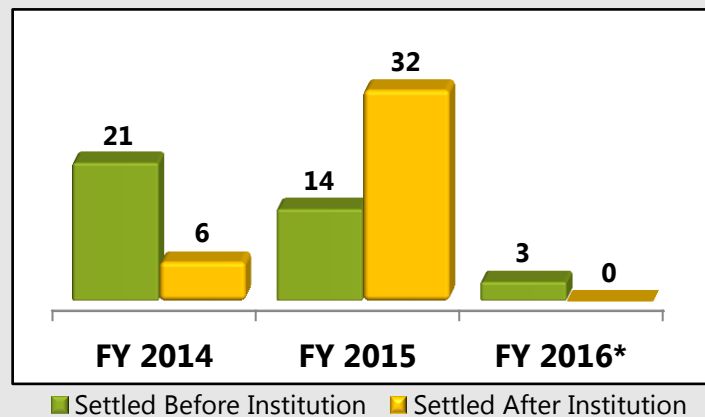
***Data current as of: 11/30/2015**



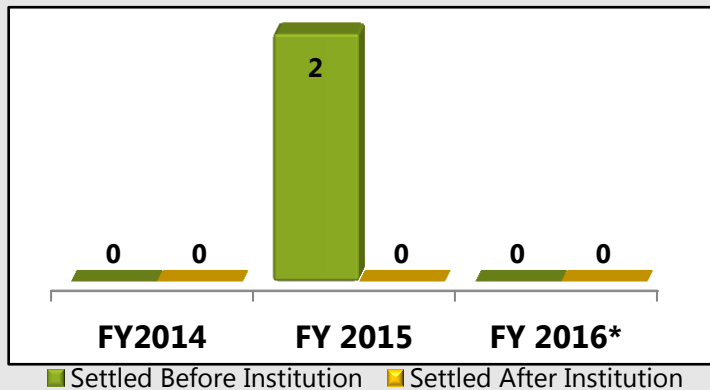
IPR - Settlements



CBM - Settlements



PGR - Settlements

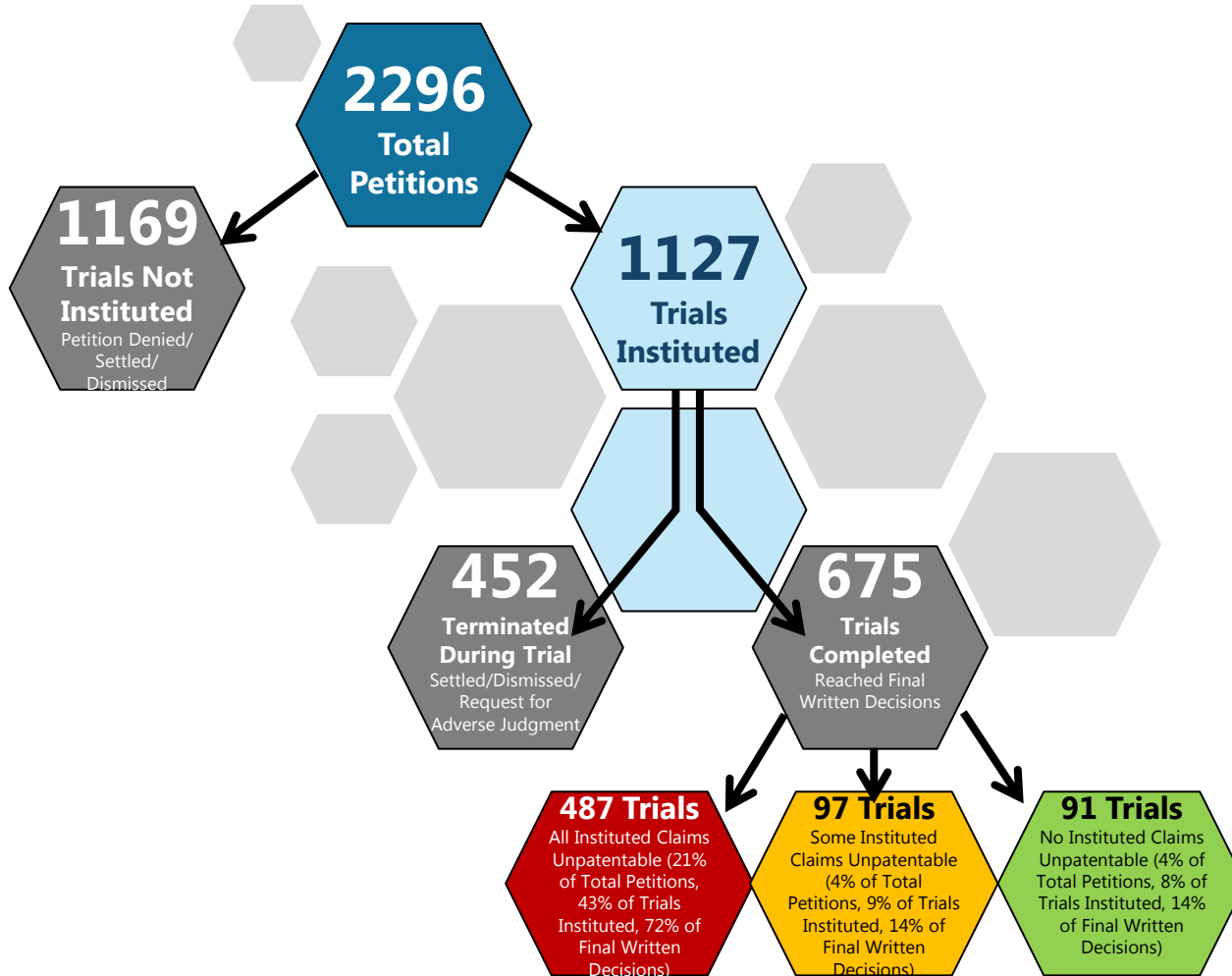


Narrative:

These three sets of bar graphs show settlements in AIA trials broken down by settlements that occurred prior to institution and settlements that occurred after institution in IPR, CBM, and PGR proceedings.

*Data current as of: 11/30/2015

Disposition of IPR Petitions Completed to Date*



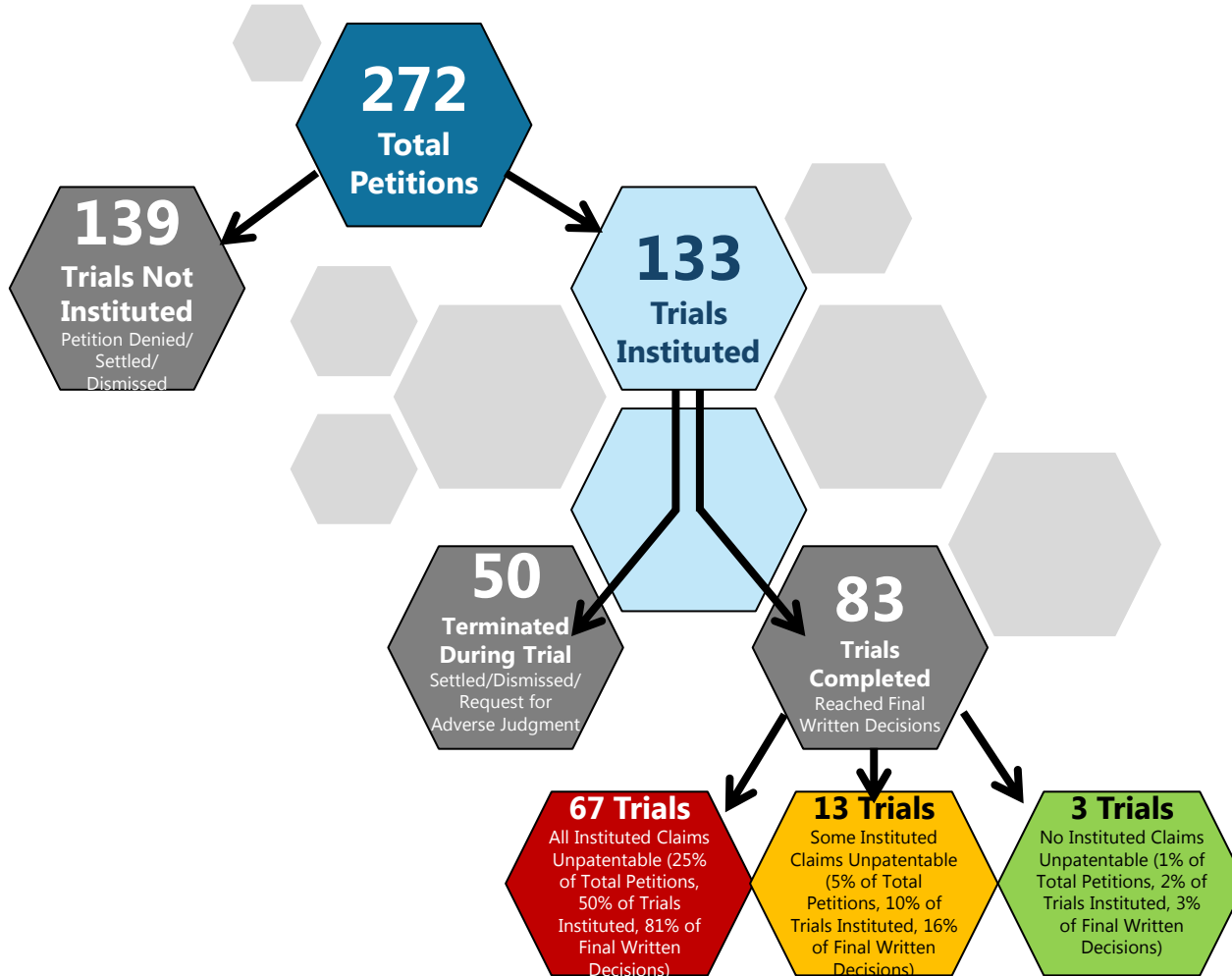
Narrative:

This graph shows a stepping stone visual depicting the outcomes for all IPR petitions filed to-date that have reached a final disposition.

*Data current as of: 11/30/2015



Disposition of CBM Petitions Completed to Date*



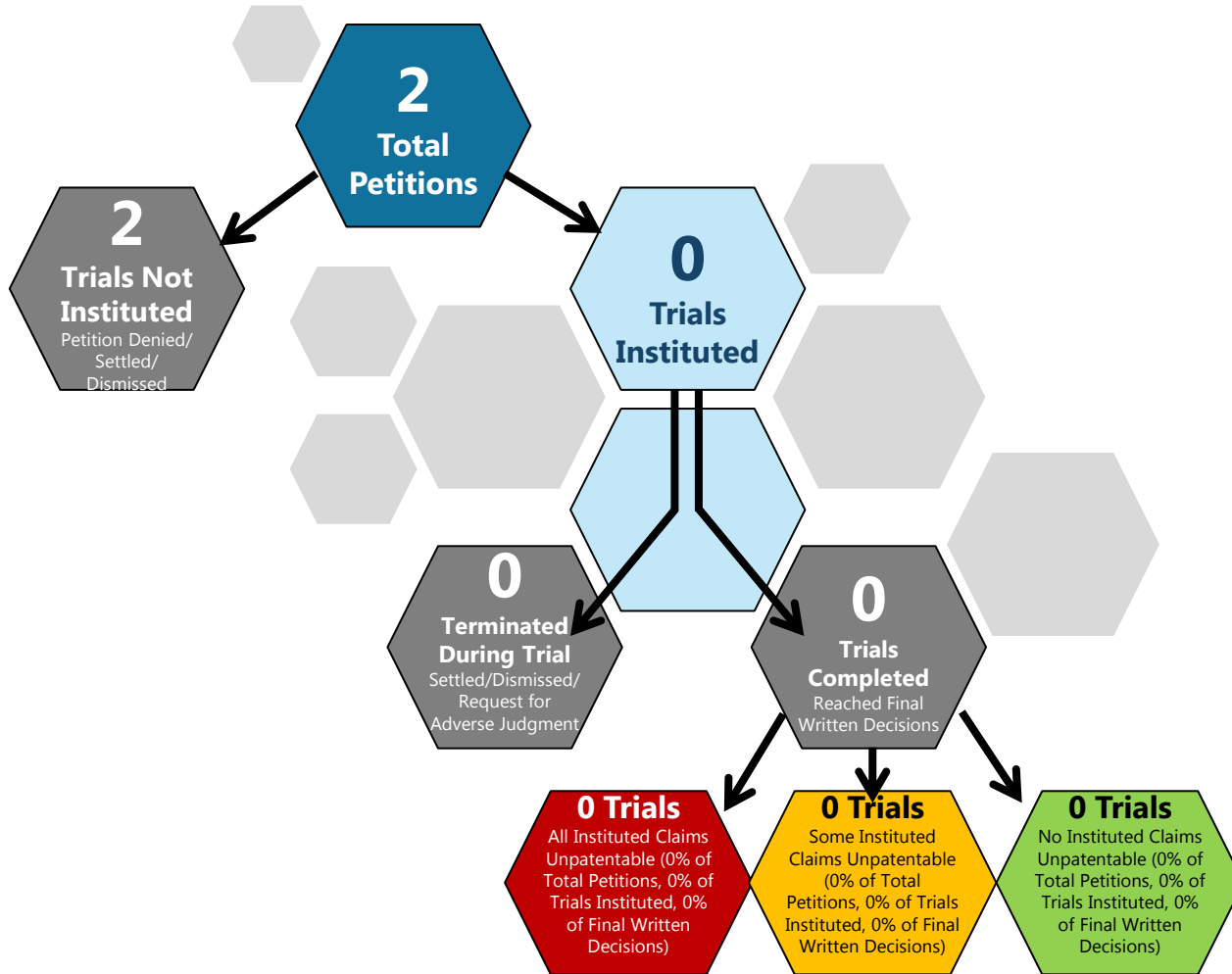
Narrative:

This graph shows a stepping stone visual depicting the outcomes for all CBM petitions filed to-date that have reached a final disposition.

*Data current as of: 11/30/2015



Disposition of PGR Petitions Completed to Date*



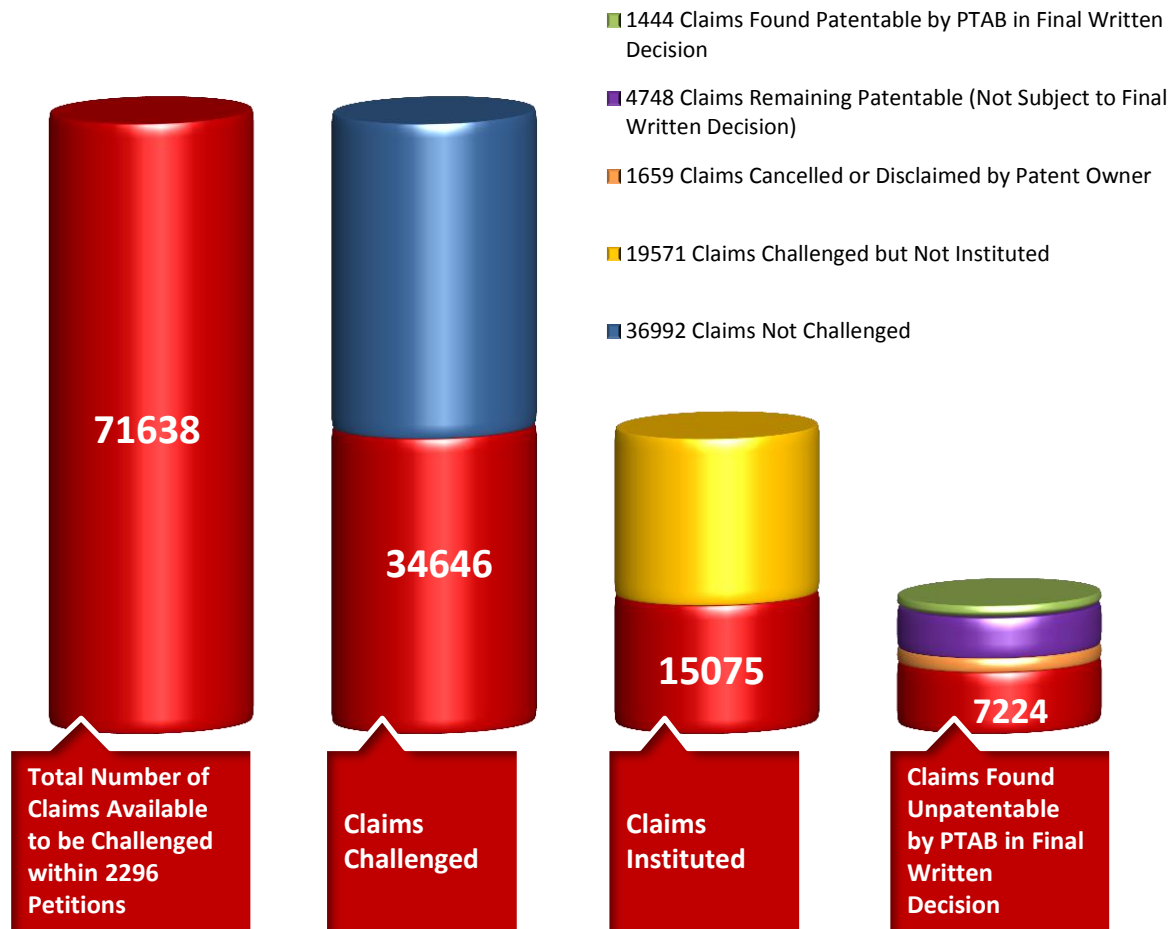
Narrative:

This graph shows a stepping stone visual depicting the outcomes for all PGR petitions filed to-date that have reached a final disposition.

*Data current as of: 11/30/2015



IPR Petitions Terminated to Date*



Narrative:

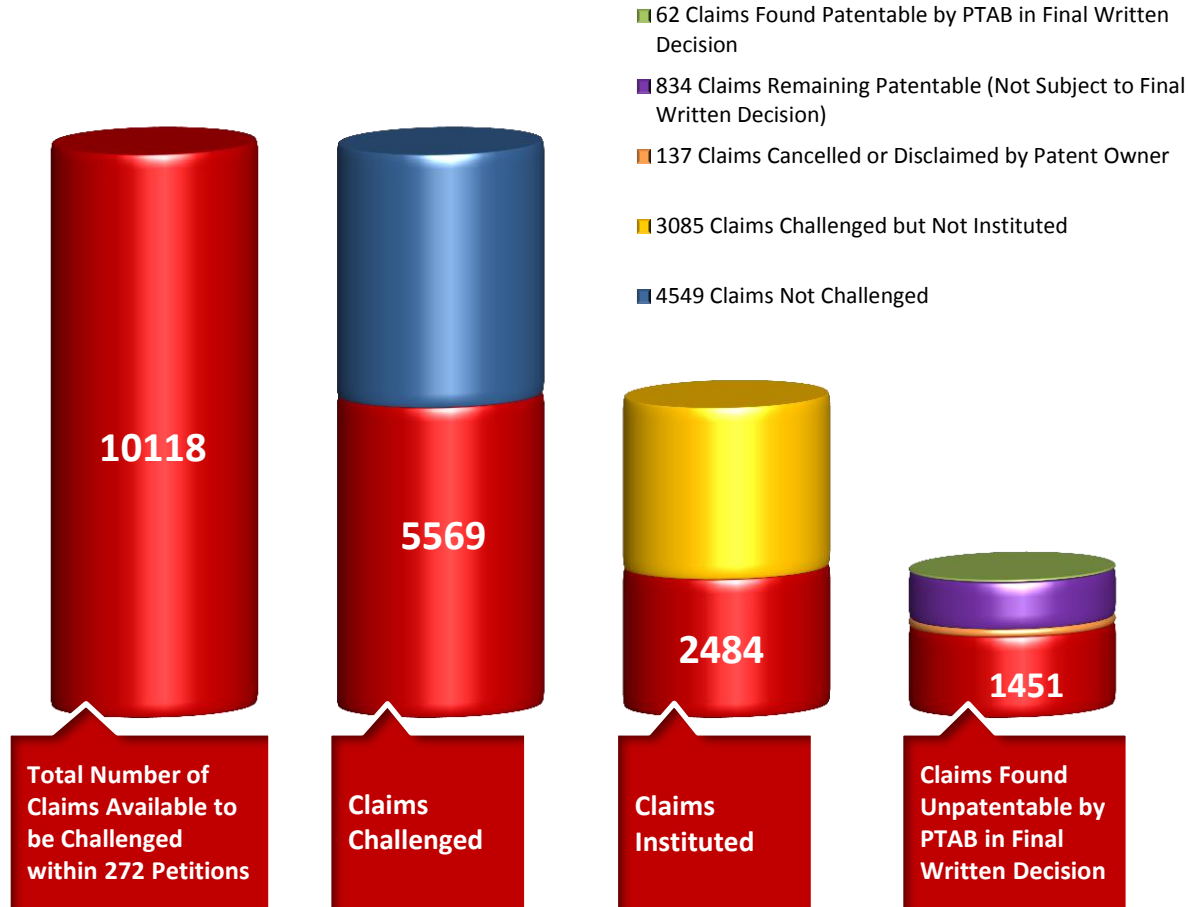
This visual contains four cylinders. The first cylinder shows the total number of claims available to be challenged in the IPR petitions filed. The second cylinder shows the number of claims actually challenged and not challenged. The third cylinder shows the number of claims on which trial was instituted and not instituted. The fourth cylinder shows the total number claims found unpatentable in a final written decision, the number of claims canceled or disclaimed by patent owner, the number of claims remaining patentable (not subject to a final written decision), and the number of claims found patentable by the PTAB.

Note: "Completed" petitions include terminations (before or after a decision on institution) due to settlement, request for adverse judgment, or dismissal; final written decisions; and decisions denying institution.

*Data current as of: 11/30/2015



CBM Petitions Terminated to Date*



Narrative:

This visual contains four cylinders. The first cylinder shows the total number of claims available to be challenged in the CBM petitions filed. The second cylinder shows the number of claims actually challenged and not challenged. The third cylinder shows the number of claims on which trial was instituted and not instituted. The fourth cylinder shows the total number claims found unpatentable in a final written decision, the number of claims canceled or disclaimed by patent owner, the number of claims remaining patentable (not subject to a final written decision), and the number of claims found patentable by the PTAB.

Note: "Completed" petitions include terminations (before or after a decision on institution) due to settlement, request for adverse judgment, or dismissal; final written decisions; and decisions denying institution.

*Data current as of: 11/30/2015



