

**UNITED STATES
PATENT AND TRADEMARK OFFICE**





EFS-Web: Patent electronic application filing

UNITED STATES
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Agenda

- **EFS-Web:** Electronic **filing** system for patents
- **PAIR:** Patent Application Information **Retrieval** system
- Getting started
- Authentication method
- Additional guidance on the EFS-Web & PAIR systems can be found in the following short video:
www.youtube.com/watch?v=JAaS6Dptx2Y

EFS-Web overview

- The USPTO's web-based electronic filing system (EFS-Web) for patent application submissions
- Immediately routes documents to USPTO internal systems
- Generates acknowledgement receipt to show that the USPTO has received the submission
- Reduces manual processing and minimizes paper waste
- Processes faster by avoiding wait times associated with conventional mailing
- Allows for saving submission package to complete, review, or submit at a later time

PAIR overview

- **Public PAIR:** Public applications, published applications, and issued patents
- **Private PAIR:** Applications (published and unpublished) and issued patents, which are associated with the Customer Number, PAIR Administration

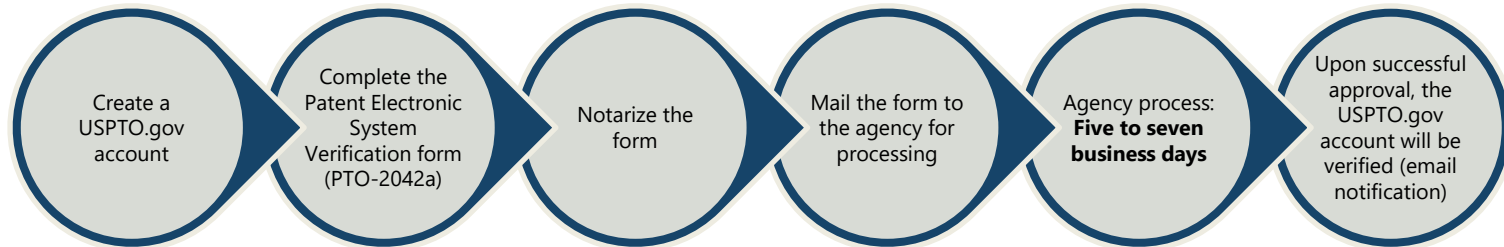
Getting started – Obtain a Customer Number (Step 1)

- To get your unique Customer Number:
 - Complete the Request for Customer Number Form PTO/SB/125A.
 - The form is available at:
www.uspto.gov/sites/default/files/documents/sb0125.pdf
 - Fax it to the completed form to: EBC at 571-273-0177 *or*
 - Mail the completed form to:

Mail Stop CN
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Getting started – USPTO.gov accounts (step 2)

Verified USPTO.gov accounts uniquely identify you and allows secure access to your patent data. Follow the new verification process.



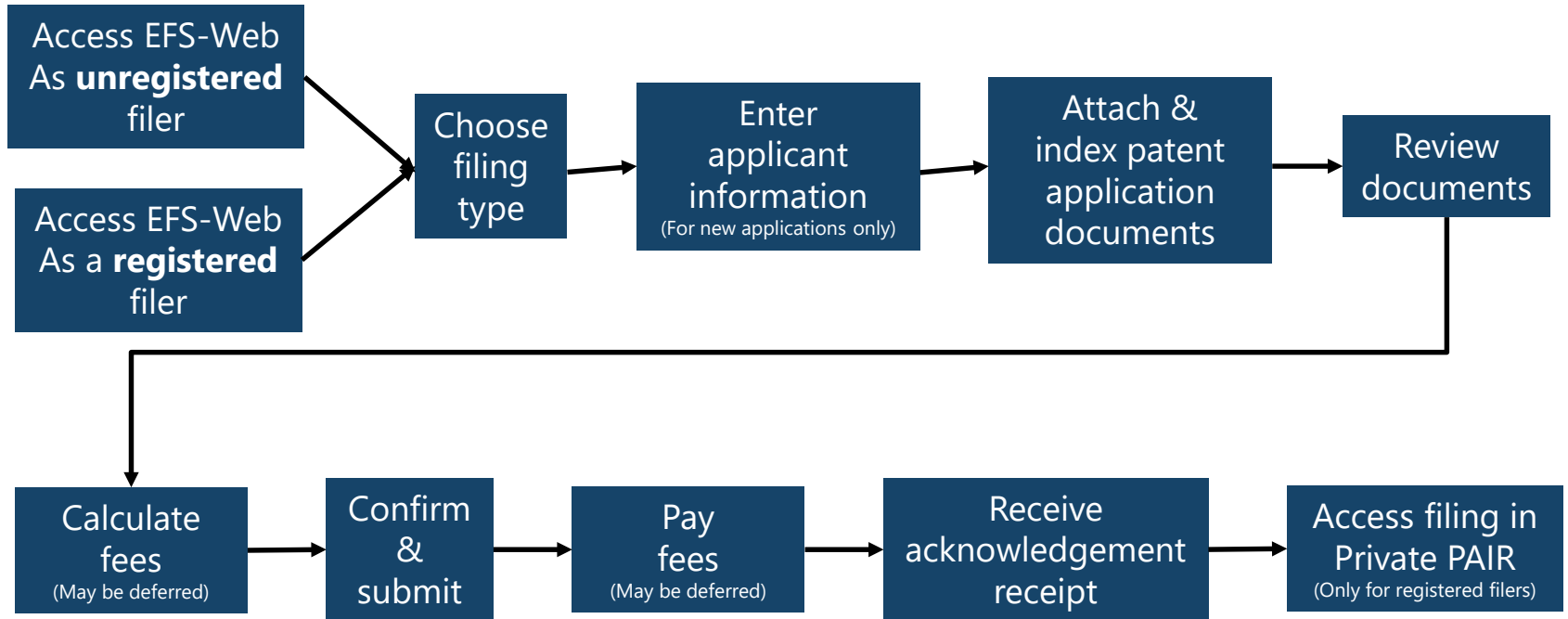
The patent electronic verification can be downloaded from:

www.uspto.gov/sites/default/files/documents/Patent%20Electronic%20System%20Form.pdf

Mail the Notarized form to:

Mail Stop EBC
Commissioner for Patents
P.O. Box 1450
Alexandria, Va. 22313-1450

Electronic filing process



Sign-in with USPTO.gov account

To access the EFS-Web system or the private PAIR system, sign in with your USPTO.gov account.

For EFS-Web: <https://efs-my.uspto.gov/EFWebUIRegistered/EFWebRegistered>

For Private PAIR: <https://ppair-my.uspto.gov/pair/PrivatePair>

Your new uspto.gov account

The USPTO is working to gradually replace many of the credentials you use to sign in to our systems with one secure, consolidated sign-in: your new **uspto.gov account**. The uspto.gov account has already combined sign-in credentials for several fee payment tools and we're working on consolidating even more. Get started today. Create your uspto.gov account and start customizing your **MyUSPTO** page.

The new uspto.gov accounts are designed for individuals, not groups or organizations. Future updates will add the ability for organizations to share information between colleagues.

Sign in * indicates required

Email address * [USPTO employee?](#)

Password *

Remember my email address

Sign in

By signing in, I certify to the [USPTO's Terms of Use](#) and [USPTO Privacy Policy](#).

[Forgot password?](#) [Create an account](#)

Select second step authentication

Two-step authentication ×

Step 1 of 2

Two-step authentication provides an extra layer of security to your account by requiring you to enter a authentication code.

Note: Two-step authentication may be required in order to access certain areas within the site.

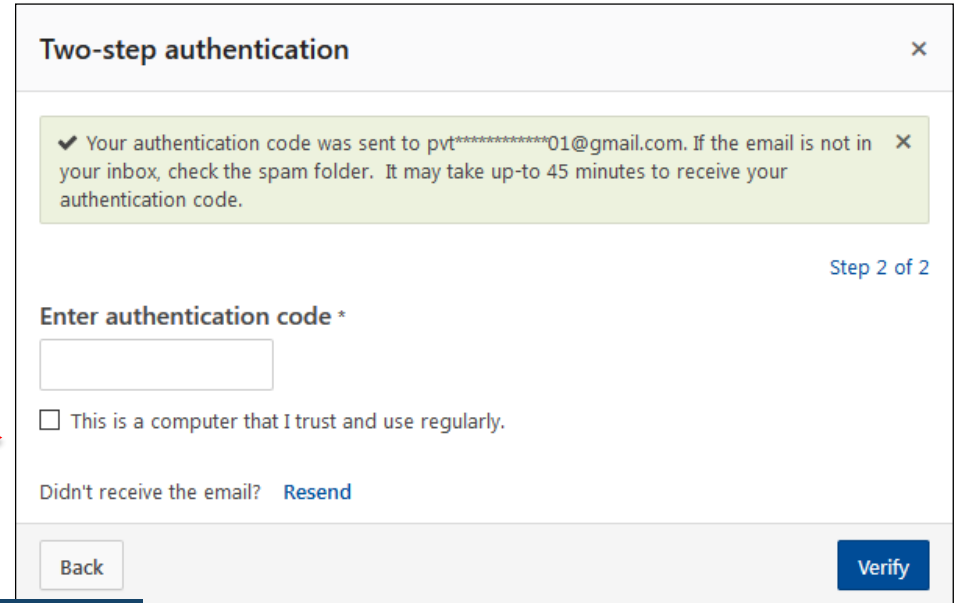
Receive code via:

Email (pcp*****02@gmail.com)

Code generator (Authenticator app)

Next

Enter authentication code



Two-step authentication ×

✓ Your authentication code was sent to pvt*****01@gmail.com. If the email is not in your inbox, check the spam folder. It may take up-to 45 minutes to receive your authentication code. ×

Step 2 of 2

Enter authentication code *

This is a computer that I trust and use regularly.

Didn't receive the email? [Resend](#)

Note: By selecting the checkbox that "this is a computer that I trust and use regularly," the second step authentication will be valid for 24 hours after the initial sign-in. If you are logged out after 30 minutes of inactivity, you will only need to use your first factor, email and password, to authenticate back into EFS-Web and PAIR.

EFS-Web registered

EFS Registered

Registered eFilers Please Read Announcements

Certification

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.
All items denoted by * are required.

New Feature (28SEPT2015): Web 85b option now available for users with POA to submit Issue Fee. [Learn more](#)

Effective **September 2015**, due to Chrome discontinuing their support for Java, Chrome customers using version 45 and above will no longer be able to log into EFS-Web or Private PAIR. For USPTO updates regarding this issue please visit the [EFS-Web Announcements](#) page.

IMPORTANT: Please read the [Legal Framework for using EFS-Web](#). For information on the Paperwork Reduction Act as it pertains to: ePetitions, third party submissions under 37 CFR 1.290, Web-based application data sheets, and citations of prior art and written statements under 37 CFR 1.501, and issue fee transmittals (85b), please see the [OMB Clearance and PRA Burden Statement](#) page.

Your Digital Certificate has been authenticated - please certify your identity:

I certify that I am the certificate holder **Amy Kathleen Kelly Tierney**

I certify that I am working under the authority of the certificate holder: **Amy Kathleen Kelly Tierney**

Main Functions

New application/proceeding

(This includes new filings of continuation, divisional, continuation-in-part and re-issue applications, as well as reexamination and supplemental examination proceedings. A request for continued examination (RCE) and continued prosecution are considered existing documents and must be filed as a registered eFiler.)

Existing application/patent/proceeding

My Workplace

Privacy Policy

Certify your identity

Select application type

EFS-Web application data

EFS Registered

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Application Data

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received in Eastern Time. The Acknowledgement Receipt is evidence of this submission.
All items denoted by * are required.

Application Data - An ADS may be attached as a PDF
 Web-based ADS - An ADS form is auto-generated based on data entered on a web screen. A Web-based ADS can only be submitted for a new application on or after September 16, 2012, or with a U.S. national stage entry under 35 USC 371 where the Patent Cooperation Treaty date (international filing date) is on or after September 16, 2012. Do not attach an ADS as a PDF if submitting a Web-based ADS.
Based on the above selection of the radio button, the data will be selected from either the Application Data fields or Web-Based Application Data fields. The data will be selected from either the Application Data fields or Web-Based Application Data fields when the continue button is clicked. Any data entered in the non-selected form would not be retained.

* Title of Invention

Attorney Docket Number

First Named Inventor:

* First Name

Middle Name

* Last Name

Correspondence Address:

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.

Customer Number *Please select a valid Customer Number*

OR

Correspondence Address

[Privacy Policy](#)

Select ADS submission type

Enter title of invention

Enter inventor name

Enter Customer Number or correspondence address

EFS-Web attach documents

The screenshot shows the 'Attach Documents' page in EFS-Web. The 'Attach Documents' menu item is circled in red. The page contains application data, a table for files to be submitted, and a footer with a disclaimer.

Attach Documents

This is the application data associated with your filing. If any information is incorrect, please edit the Application Data

Title of Invention	Test Application
First Named Inventor	Test Test
Customer Number or Correspondence Address	59
Filed By	EBC Tester
Attorney Docket Number	
Application Type	Utility under 35 USC 111(a)

Files to be Submitted | **Category** | **Document Description**

Files to be Submitted	Category	Document Description	
1 <input type="button" value="Browse..."/> <input type="text" value="No file selected."/> Does your PDF file contain multiple documents?	View All Categories <input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="button" value="Delete"/>

Users are advised not to submit **credit card** payment form **PTO-2038** via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included in the patent or trademark records open for public inspection. Users choosing to pay via credit card should instead utilize the on-line payment method available through EFS-Web.

Bibliographic data is echoed back to applicant

Filter by category

Delete files

Attach files

Select document description

EFS-Web acknowledgment receipt

Registered eFilers Please Read Announcements Application Data Attach Documents Review Documents Calculate Fees Confirm & Submit Pay Fees Receipt

Date and time stamp








Acknowledgement Receipt

The USPTO has received your submission at **13:15:06 Eastern Time on 29-SEP-2015.**

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information

EFS ID	23633588
Application Number	59957356
Confirmation Number	1002
Title of Invention	Test Application
First Named Inventor	Test Test
Customer Number or Correspondence Address	59
Attorney Docket Number	
Filing Date	
Receipt Date	29-SEP-2015
Application Type	Utility under 35 USC 111(a)

- You may take the following actions:
-  [E-mail Receipt Info](#)
 -  [Print Receipt](#)
 -  [Save Receipt](#)
 -  [File Another Application](#)
 -  [File an Assignment of Ownership](#)
 -  [Pay Maintenance Fees](#)
 -  [Access Private PAIR](#)


Post-submission options

Application Details

Submitted Files	Page Count	Document Description	Status
Specifications1.pdf	14	Specification	PASS
sb0001_fill.pdf	3	Abstract	PASS
fee-info.pdf	2	Fee Worksheet (SB06)	35665 bytes  PASS

Important application information


Private PAIR access


[-] Search for Application: 

Choose type of number:

- Application Number (EXAMPLE: 99999999 or 99/999999)
- Control Number
- Patent Number
- PCT Number (EXAMPLE: PCT/CCYY/99999 or PCT/CCYYYY/999999)
- Publication Number
- International Design Registration Number (EXAMPLE: DM/999999)
- Search by Attorney Docket Number


Enter Number:

[+] Search by Customer Number: 

[+] PAIR Administration: 

Accessing information within PAIR

- Application data is the default data display.
- Select a "tab" to access other data.
- Private PAIR will display same tabs in addition to others.

59/999,797		test		2					
Select New Case	Applications by Customer	Application Data	Transaction History	Image File Wrapper	Continuity Data	Fees	Address & Attorney/Agent	Assignments	Publication Review
Bibliographic Data									
Application Number:	59/999,797	Correspondence Address Customer Number:	59	Private View					
Filing or 371 (c) Date:	11-09-2001	Status:	Abandoned -- Incomplete (Filing Date Under Rule 53 (b) - PreExam)						
Application Type:	Utility	Status Date:	11-13-2001						
Examiner Name:	BRENEMAN, ROBERT B	Location:		OPAP- CONTRACTOR FORMALITIES, ST - 7A CORRIDOR					
Group Art Unit:	SIRA	Location Date:	11-10-2001						
Confirmation Number:	5349	Earliest Publication No:	-						
Attorney Docket Number:	2 Update	Earliest Publication Date:	-						
Class / Subclass:	118/715	Patent Number:	-						
First Named Inventor:	Richard Cliff all inventors	Issue Date of Patent:	-						
First Named Applicant:	-	International Registration Number (Hague):	-						
Entity Status:	Undiscounted Update	International Registration Publication Date:	-						
AIA (First Inventor to File):	No								
Title of Invention:	test								

Additional information

- Filing online:
 - www.uspto.gov/patents-application-process/file-online
- Application status:
 - www.uspto.gov/patents-application-process/check-filing-status-your-patent-application
- Quick Clinic video:
 - www.youtube.com/watch?v=JAaS6Dptx2Y

Electronic Business Center (EBC)

Electronic Business Center (EBC) offers in-depth technical support to external users of the USPTO's Patent e-Commerce systems.

The EBC can assist with:

- Submitting your patent application via EFS-Web
- Viewing application information in Public and Private PAIR
- Searching for patents in AppFT and PatFT
- Digital certificate, customer number issues and assistance
- Java and web browser problems
- Technical problems or errors with your patent application
- PDX/DAS registration inquiries and issues
- Technical problems with biotech tools such as PatentIn and Checker

Hours

Monday – Friday,
6 a.m. to midnight ET,
except federal holidays

Contact info

- Toll-Free: 866-217-9197
- Local: 571-272-4100
- ebc@uspto.gov



For more information

- Contact the Pro Se Assistance Center:
 - 866-767-3848
 - innovationdevelopment@uspto.gov
- Visit our Website:
 - <https://www.uspto.gov/patents-getting-started/using-legal-services/pro-se-assistance-program>

