

UNITED STATES
PATENT AND TRADEMARK OFFICE





Public Engagement Partnership Meeting Series Patent Trial and Appeal Board (PTAB) Resources

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Brandy Zukanovich, Office of the Chief Judge Patent Attorney

November 6, 2024

INFORMATION PRESENTED IS NOT LEGAL ADVICE



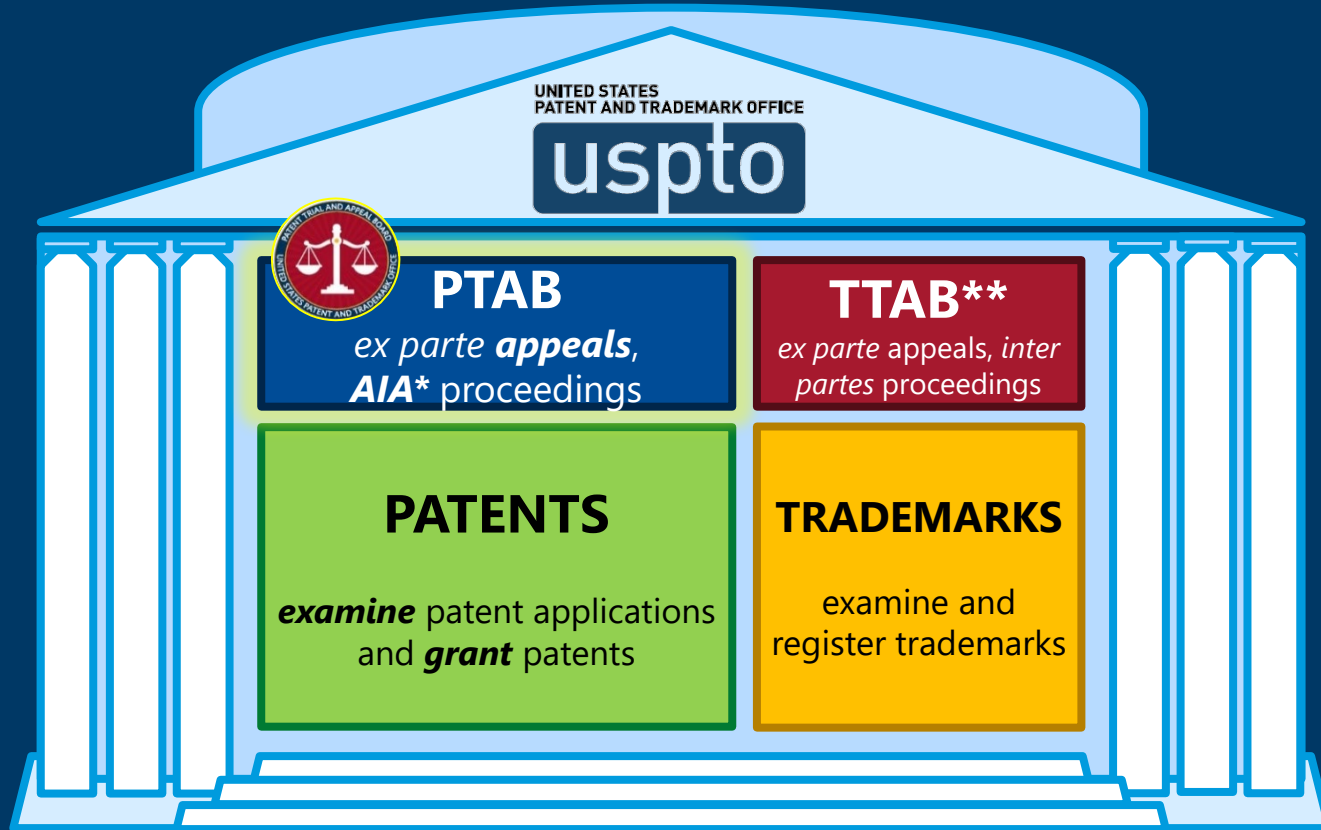
UNITED STATES
PATENT AND TRADEMARK OFFICE ®

Agenda

- Recap
- Guidance and templates
- Educational resources
- PTAB webpage

Recap

What is the Patent Trial and Appeal Board?



AIA proceeding timeline



Guidance and templates

Consolidated Trial Practice Guide

www.uspto.gov/TrialPracticeGuideConsolidated



- Guidance on **all procedure** of AIA proceedings at the Board
- Covers **everything** from the Petition to the Final Written Decision
- Board also has **formal rules** at 37 C.F.R., Part 42

Where to find the Consolidated Trial Practice Guide

www.uspto.gov/TrialPracticeGuideConsolidated

The screenshot shows the USPTO Patent Trial and Appeal Board homepage. The header includes the USPTO logo and navigation links for Patents, Trademarks, IP Policy, Learning and Resources, and Find It. The main heading is "Patent Trial and Appeal Board" with a sub-heading: "The Patent Trial and Appeal Board (PTAB) conducts trials, including inter partes, post-grant, and covered business method patent reviews and derivation proceedings, hears appeals from adverse examiner decisions in patent applications and reexamination proceedings, and renders decisions in interferences." Below this are three main sections: "Trials and appeals", "Decisions", and "Learn more". The "Trials and appeals" section is circled in red and contains a list of links: "Resources and guidance", "Trial proceedings", "Appeals proceedings", "New to PTAB?", "Preparing for hearings", "Fees", "PTAB Pro Bono", and "PTAB/TTAB Stadium Tour".

The screenshot shows the "Resources and guidance" page on the USPTO website. The header includes the USPTO logo and navigation links for Patents, Trademarks, IP Policy, Learning and Resources, and Find It Fast. The main heading is "Resources and guidance" with a sub-heading: "Policies, procedures, rules, guides, tools and manuals related to proceedings before the Patent Trial and Appeal Board. More recent information can be found on the [latest developments](#) page." Below this are two main sections: "Trials and Appeals" and "Resources and guidance". The "Resources and guidance" section is circled in red and contains a list of links: "Claim construction final rule", "AIA trial proceedings", "Rules for AIA trials", "Umbrella rules", "Inter partes review", "Post-grant review", "Covered business method review", "Derivation", "Notice regarding options for amendments through reissues or reexaminations", "Trial Practice Guide", "Consolidated trial practice guide November 2019", "Trial practice guide August 2018 Update", "Trial practice guide (August 2012)", "Precedential cases", "Reexams and interferences", "Standard operating procedures", "Internal operating procedures", "Guidance Memorandum", "Statutes, rules, and references", "Rulmaking", and "FAQs".

Parts of the guide for petitioners

Part II. B. **Petition**

- Requirements for petition

Part II. D. **Institution** of Trial

- Matters board considers when deciding to institute

Part II. I. **Reply** to Patent Owner Response

- Requirements for reply
- Generally can't submit new evidence that should have been in the petition

Parts of the guide for patent owners

Part II. C. Patent Owner Preliminary Response

- After petition is filed but before decision on institution

Part II. F. Patent Owner Response

- After institution
- Must include all arguments against the petition including those made in the preliminary response

Part II. G. Motion to Amend Patent Claims

- After institution

Parts of the guide for both parties

Part I. F. **Discovery**

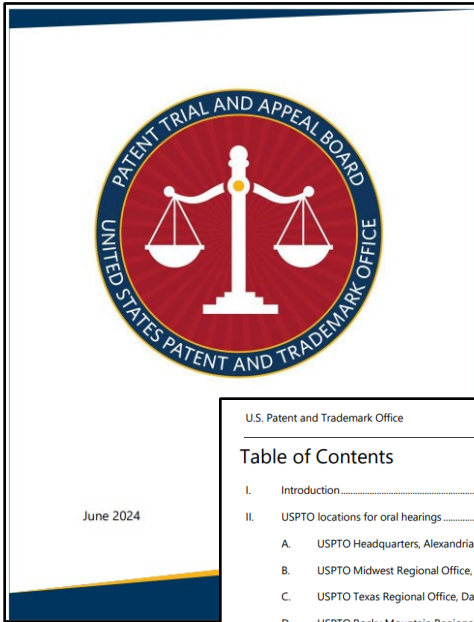
- Routine discovery
- Initial disclosures
- Testimony
- Cross-examination
- Expert testimony

Part II. K. **Evidentiary Motions**

- Motions to exclude evidence
- Must object during a deposition or within 5 business days of service of the evidence.

Oral Hearing Guide

www.uspto.gov/sites/default/files/documents/PTAB-Hearing-Guide.pdf



U.S. Patent and Trademark Office		PTAB Oral Hearing Guide	
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D.	USPTO Rocky Mountain Regional Office, Denver, Colorado.....		5
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- Contains AIA trial, *ex parte* appeal, and reexamination **hearing information** for parties and the public
- Parties to an AIA trial proceeding **must request** oral hearing if desired
 - Patent owner gets the **last say**



Where to find the Oral Hearing Guide

www.uspto.gov/sites/default/files/documents/PTAB-Hearing-Guide.pdf

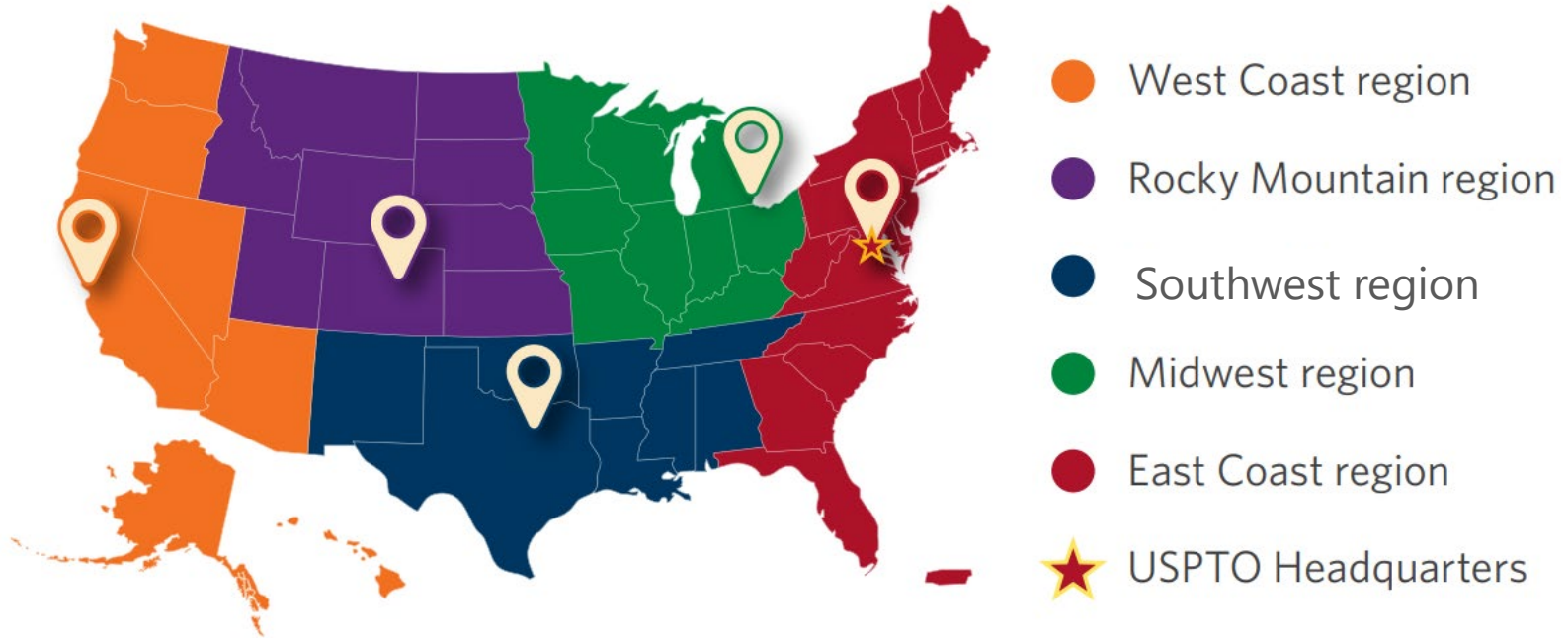
The screenshot shows the USPTO Patent and Trademark Office homepage. The navigation bar includes 'Patents', 'Trademarks', 'IP Policy', and 'Learning and Resources'. The main heading is 'Patent Trial and Appeal Board'. Below the heading, there are three columns of links: 'Trials and appeals', 'Decisions', and 'Learn more'. The 'Trials and appeals' column has a red circle around the link '> Preparing for hearings'. The 'Decisions' column lists various decision types. The 'Learn more' column lists various resources and programs. At the bottom, there are four buttons: 'P-TACTS system', 'Search decisions, documents, proceedings', 'Hearing information', and 'Latest developments'.

The screenshot shows the USPTO Patent Trial and Appeal Board (PTAB) Hearings page. The navigation bar includes 'Patents', 'Trademarks', 'IP Policy', and 'Learning and Resources'. The main heading is 'Hearings'. Below the heading, there is a list of links: 'Trials', 'Appeals', 'Decisions', 'Hearings', 'Resources and guidance', 'Statistics', 'PTAB data tools and IT systems', 'PTAB events', 'New to PTAB', 'About PTAB', and 'PTAB help'. The 'Hearings' link is selected. The content area shows a description of oral hearings and a list of links: 'Hearing schedule', 'Hearing guidance', 'Hearings guide (June 2024)', 'Hearing locations', and 'Forms and samples'. The 'Hearings guide (June 2024)' link is circled in red.

Questions?

Hearings

Hearing facilities



PTAB hearing rooms: Alexandria



PTAB hearing rooms: regional offices

San Jose, California



Denver, Colorado



PTAB hearing rooms: regional offices

Detroit, Michigan



Dallas, Texas



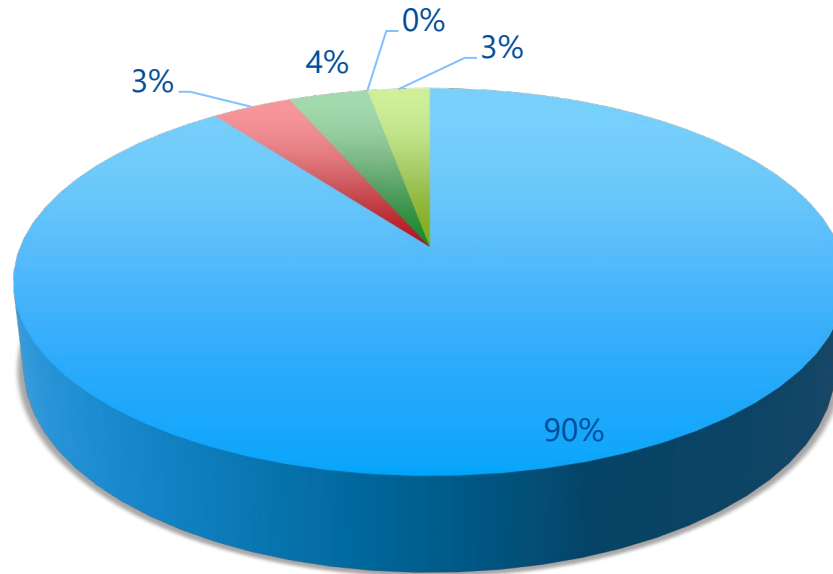
PTAB hearing rooms: Capabilities

- Monitors outside of each hearing room to identify case information
- Digital timer
- Multiple displays throughout the hearing room for demonstratives
- Document camera (ELMO)
 - Annotation touch screen at the podium



In-person hearings by location

October 2023 – September 2024



■ HQ ■ San Jose ■ Detroit ■ Dallas ■ Denver

Public viewing of hearings

- Public may attend hearings in-person and virtually, depending on the type of hearing
- Submit request to PTABHearings@uspto.gov at least 3 days before the hearing
- Request required so that PTAB can provide links for access

PTAB hearings schedule

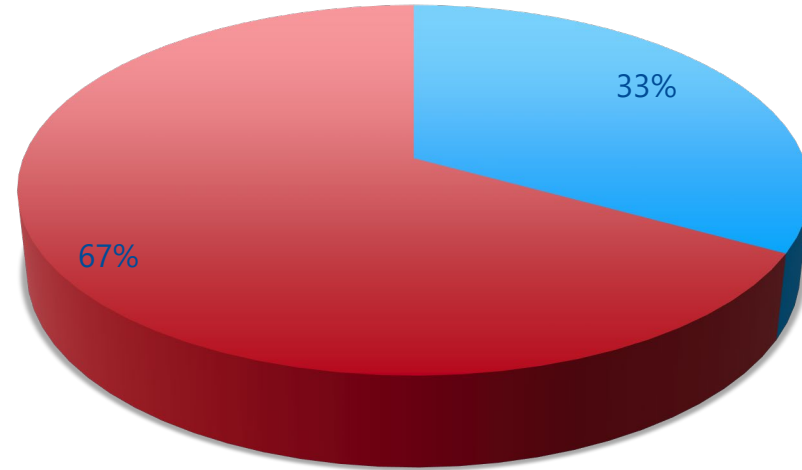
www.uspto.gov/patents/ptab/hearings

November 2024 PTAB Public Hearing Schedule						
Proceeding No.	Serial No.	PARTY	Date	Time	Location	Room
IPR 2023-01251		DEXCOM, INC. v. ABBOTT DIABETES CARE INC.	Monday, November 4, 2024	10:00 AM (EST)	ALEXANDRIA, VA	A
IPR 2023-00950		ALIVECOR, INC. v. APPLE INC.	Tuesday, November 5, 2024	1:00 PM (EST)	ALEXANDRIA, VA	D
IPR 2023-00955 IPR 2023-00958		PARSE BIOSCIENCES, INC. v. 10X GENOMICS, INC.	Wednesday, November 6, 2024	1:00 PM (EST)		VIRTUAL
IPR 2023-01240 IPR 2023-01241		PELOTON INTERACTIVE, INC. v. NEC CORPORATION	Friday, November 8, 2024	1:00 PM (EST)		VIRTUAL
IPR 2023-01256		OPTICIS CO., LTD v. INNEOS LLC,	Tuesday, November 12, 2024	1:00 PM (EST)		VIRTUAL
IPR 2023-01333 IPR 2023-01343		MERCEDES-BENZ USA, LLC v. DAEDALUS PRIME LLC	Wednesday, November 13, 2024	9:00 AM (EST)	ALEXANDRIA, VA	D
IPR 2023-01242 IPR 2023-01243 IPR 2023-01244		SEMICONDUCTOR COMPONENTS INDUSTRIES, LLC v. GREENTHREAD, LLC	Wednesday, November 13, 2024	10:00 AM (EST)	ALEXANDRIA, VA / DENVER, CO	A / 14-133
IPR 2023-00293 IPR 2023-00292		ROBERT BOSCH LLC and MERCEDES-BENZ USA, LLC v. WESTPORT FUEL SYSTEMS CANADA, INC	Thursday, November 14, 2024	1:00 PM (EST)		VIRTUAL
2024-000267	14425925	MARCUS H. CRAWFORD	Thursday, November 14, 2024	1:00 PM (EST)	ALEXANDRIA, VA	A
2024-001200	16826249	ELIAZ THERAPEUTICS, INC.	Thursday, November 14, 2024	1:00 PM (EST)	ALEXANDRIA, VA	A
2024-002425	17487575	ROLLS-ROYCE PLC	Thursday, November 14, 2024	1:00 PM (EST)	ALEXANDRIA, VA	A
2024-002496	15058202	OMNICARE, INC.	Thursday, November 14, 2024	1:00 PM (EST)	ALEXANDRIA, VA	A
2024-002481	16818195	AIR PRODUCTS AND CHEMICALS, INC.	Thursday, November 14, 2024	1:00 PM (EST)	ALEXANDRIA, VA	A
IPR 2023-01182		INDUCTEV, INC. v. WITRICITY CORPORATION	Tuesday, November 19, 2024	10:00 AM (EST)		VIRTUAL
IPR 2023-01208		INDUCTEV, INC. v. WITRICITY CORPORATION	Tuesday, November 19, 2024	1:00 PM (EST)		VIRTUAL
IPR 2023-01209 IPR 2023-01210 IPR 2023-01211 IPR 2023-01212 IPR 2023-01213		GOOGLE LLC v. GEOSCOPE TECHNOLOGIES PTE. LTD.	Wednesday, November 20, 2024	10:00 AM (EST)	DETROIT, MI	2139



In-person vs remote AIA hearings

October 2023 – September 2024



■ In-Person ■ Remote

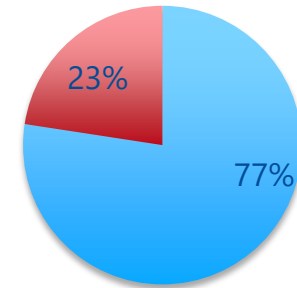


Public video access to hearings

October 2023 – September 2024

- Public access provided for 155 hearings
 - 120 AIA trials
 - 35 *ex parte* appeals
- Less than 5% of requests are denied

Public Access



■ AIA ■ Appeal

Questions?

Educational programs

Boardside Chat webinars

www.uspto.gov/patents/ptab/events/boardside-chats

- Designed for experienced practitioners
- Series addresses best practices before the Board and answers attendees questions
- Free bi-monthly programming open to all
 - www.uspto.gov/patents/ptab/events/boardside-chats

How to find Boardside Chat webinars

www.uspto.gov/patents/ptab/events/boardside-chats

The screenshot shows the USPTO Patent Trial and Appeal Board (PTAB) homepage. The navigation bar includes 'Patents', 'Trademarks', 'IP Policy', and 'Learning and Resources'. The main heading is 'Patent Trial and Appeal Board'. Below this, there are three main sections: 'Trials and appeals', 'Decisions', and 'Learn more'. The 'Learn more' section contains a list of links, with 'Boardside Chat webinar series' circled in red. At the bottom, there are four quick links: 'P-TACTS system', 'Search decisions, documents, proceedings', 'Hearing information', and 'Latest developments'.

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Patents Trademarks IP Policy Learning and Resources Find It Fast

Home > Patents > Patent Trial and Appeal Board

Patent Trial and Appeal Board

The Patent Trial and Appeal Board (PTAB) conducts trials, including inter partes, post-grant, and covered business method patent reviews and derivation proceedings, hears appeals from adverse examiner decisions in patent applications and reexamination proceedings, and renders decisions in interferences.

- Trials and appeals**
 - > Resources and guidance
 - > Trial proceedings
 - > Appeals proceedings
 - > New to PTAB?
 - > Preparing for hearings
 - > Fees
 - > PTAB Pro Bono
 - > PTAB/TTAB Stadium Tour
- Decisions**
 - > All PTAB decision data
 - > AIA daily decisions
 - > Precedential and informative decisions
 - > Revised Interim Director Review process
 - > Status of Director Review requests
 - > Delegated Rehearing Panel
 - > Appeals Review Panel
 - > Appeals Review Panel Status
- Learn more**
 - > Statistics
 - > Databases and IT tools
 - > Frequently asked questions
 - > Boardside Chat webinar series
 - > PTAB Invents Hearings
 - > PTAB suggestion box, contact info, and special request form
 - > Recent proposals, pilot programs, and final
 - > PTAB Judicial Law Clerk Program
 - > Ex Parte Appeal Roadshows

P-TACTS system Search decisions, documents, proceedings Hearing information Latest developments

The screenshot shows the USPTO PTAB Boardside Chats page. The navigation bar includes 'Patents', 'Trademarks', 'IP Policy', and 'Learning and Resources'. The main heading is 'PTAB Boardside Chats'. Below this, there is a description of the Boardside Chat webinar series, followed by a section for 'Past events' listing several dates and topics.

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Patents Trademarks IP Policy Learning and Resources Find It Fast

Home > Patents > PTAB > PTAB Boardside Chats

PTAB Boardside Chats

The PTAB Boardside Chat webinar series addresses best practices before the Board and answers questions raised by attendees. The free, bi-monthly Boardside Chats are open to all.

Register for an upcoming Boardside Chat or see presentations from past sessions below.

Past events

OCT 17, 2024 - VIRTUAL
Learn about new final rules announced for AIA proceedings
Join this Boardside Chat to learn about the final rules governing Director Review of PTAB decisions and final rules on the PTAB's Motion to Amend procedure in AIA proceedings.

SEP 19, 2024
Learn about discovery in AIA proceedings before the Patent Trial and Appeal Board
This discussion about discovery in America Invents Act proceedings will address topics such as routine and additional discovery, rules and procedures, motions that are available, and common mistakes.

JUL 18, 2024
Learn about AIA Motion Practice before the Patent Trial and Appeal Board
Join the next Boardside Chat webinar for a discussion about motions practice in AIA proceedings before the Patent Trial and Appeal Board.

MAY 16, 2024
Boardside Chat webinar – Learn about the latest notices of proposed rulemaking before the Patent Trial and Appeal Board
Learn about the latest notices of proposed rulemaking before the Patent Trial and Appeal Board.

MAR 21, 2024
Learn about hearings before the Patent Trial and Appeal Board
Join the next Boardside Chat webinar on Thursday, March 21, from noon to 1 p.m. ET, for a discussion about hearings before the Patent Trial and Appeal Board.

Show more past events

Inventor Hour webinars

www.uspto.gov/patents/ptab/events/inventor-hour

- Designed for independent inventors and those new to PTAB practice
- Series covering PTAB proceeding basics
- Free monthly programming
 - www.uspto.gov/patents/ptab/events/inventor-hour

How to find Inventor Hour webinars

www.uspto.gov/patents/ptab/events/inventor-hour

The image displays two screenshots of the USPTO website. The left screenshot shows the 'Patent Trial and Appeal Board' page. The right screenshot shows the 'PTAB Inventor Hour' page.

Left Screenshot: Patent Trial and Appeal Board

Navigation: Home > Patents > Patent Trial and Appeal Board

Patent Trial and Appeal Board

The Patent Trial and Appeal Board (PTAB) conducts trials, including inter partes, post-grant, and covered business method patent reviews and derivation proceedings. It hears appeals from adverse examiner decisions in patent applications and reexamination proceedings, and renders decisions in interferences.

- Trials and appeals**
 - > Resources and guidance
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 - > **PTAB Inventor Hour series**
 - > PTAB suggestion box, contact info, and special request form
 - > Recent proposals, pilot programs, and final decisions
 - > PTAB Judicial Law Clerk Program
 - > Ex Parte Appeal Roadshows

Footer: P-TACTS system | Search decisions, documents, proceedings | Hearing information | Latest developments

Right Screenshot: PTAB Inventor Hour

Navigation: Home > About Us > Events > PTAB Inventor Hour

PTAB Inventor Hour

Inventor Hour webinars are for independent inventors and those new to Patent Trial and Appeal Board (PTAB) practice. The sessions cover PTAB proceeding basics, i.e., ex parte appeals and America Invents Act (AIA) trials, as well as other useful information for practicing before the Board such as oral hearing protocols, statistics, and more.

For notification of upcoming Inventor Hour webinars, please visit the [USPTO Subscription Center](#), and be sure to select the "Patent Trial and Appeal Board" box when subscribing.

Upcoming events

OCT 24, 2024 - VIRTUAL
PTAB Inventor Hour - Episode 33
During this episode, we will interview one of PTAB's executive judges, Michael Kim, Vice Chief Administrative Patent Judge (and Acting Deputy Chief Judge), and learn about his background and his experiences at the Board.

Past events

SEP 26, 2024
PTAB Inventor Hour - Episode 32
This episode features the Inclusive Innovation's Community Outreach Campaign initiative, discussing how select USPTO telework employees serve as local IP champions. We'll also include tips for successful ex parte appeals.

AUG 22, 2024 - VIRTUAL
PTAB Inventor Hour - Episode 31
Learn about new PTAB educational resource & a case where one pro se inventor ultimately prevailed in an ex parte appeal at the PTAB by showing commercial acceptance.

JUL 25, 2024
PTAB Inventor Hour - Episode 30
This episode will feature a segment covering the various considerations for when to continue prosecution before a patent examiner or to file an ex parte appeal before the PTAB.

JUN 27, 2024 - VIRTUAL
PTAB Inventor Hour - Episode 29
This episode will feature special guests for our "Meet our APJs" segment and a walk-through of our "New to PTAB" webpage.

MAY 23, 2024
PTAB Inventor Hour - Episode 28
PTAB inventor hour will feature an interview with a special guest, Shubo (Joe) Zhou, Ph.D., Supervisory Patent Examiner, who will speak on plant patents and his experience as an examiner.

[Show more past events](#)

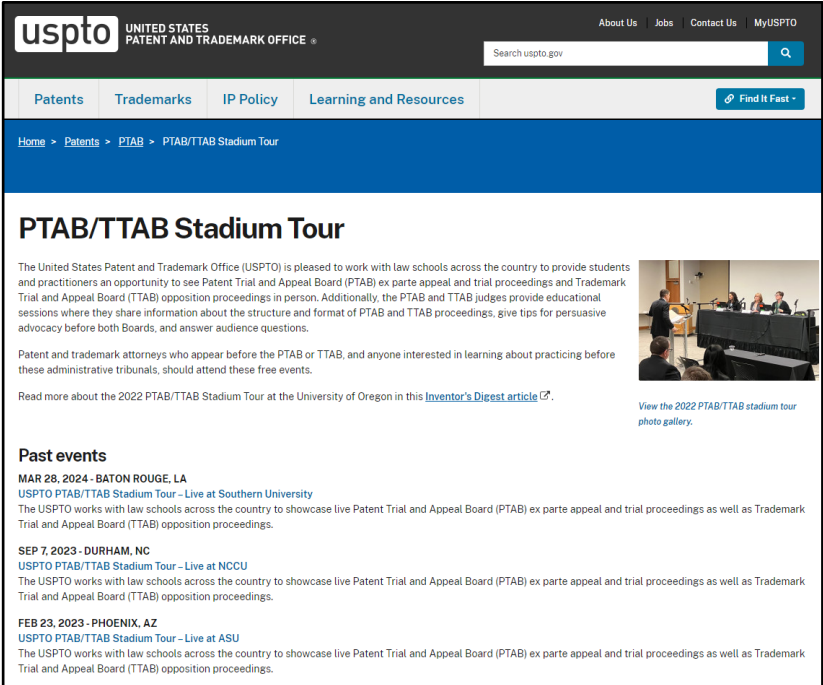
Past, AIA-related Inventor Hour episodes

Episode	Topic
1	AIA trials in general
2	AIA proceedings overview
3	Pre-institution strategies for patent owner
4	Institution decision
6	Orientation to the Trial Practice Guide
10	Patent owner response
12	Discovery
13	Motions to Amend
15	AIA proceedings review
21	Types of challenges in an AIA petition
22	Common defenses
23	Types of motions

PTAB and TTAB Stadium Tours

www.uspto.gov/patents/ptab/ptabttab-stadium-tour

- Hosted at law schools nationwide
- Educational sessions
- Live PTAB ex parte appeal and trial proceedings and TTAB opposition proceedings



The screenshot shows the USPTO website page for PTAB/TTAB Stadium Tour. The page features a navigation bar with links for Patents, Trademarks, IP Policy, and Learning and Resources. The main content area is titled "PTAB/TTAB Stadium Tour" and includes a description of the program, a list of past events, and a photo gallery. The description states that the USPTO works with law schools across the country to provide students and practitioners an opportunity to see Patent Trial and Appeal Board (PTAB) ex parte appeal and trial proceedings and Trademark Trial and Appeal Board (TTAB) opposition proceedings in person. Additionally, the PTAB and TTAB judges provide educational sessions where they share information about the structure and format of PTAB and TTAB proceedings, give tips for persuasive advocacy before both Boards, and answer audience questions. The past events section lists three events: MAR 28, 2024 - BATON ROUGE, LA (Southern University), SEP 7, 2023 - DURHAM, NC (NCCU), and FEB 23, 2023 - PHOENIX, AZ (ASU). Each event description mentions that the USPTO works with law schools across the country to showcase live Patent Trial and Appeal Board (PTAB) ex parte appeal and trial proceedings as well as Trademark Trial and Appeal Board (TTAB) opposition proceedings. A photo gallery link is also present.

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Home > Patents > PTAB > PTAB/TTAB Stadium Tour

PTAB/TTAB Stadium Tour

The United States Patent and Trademark Office (USPTO) is pleased to work with law schools across the country to provide students and practitioners an opportunity to see Patent Trial and Appeal Board (PTAB) ex parte appeal and trial proceedings and Trademark Trial and Appeal Board (TTAB) opposition proceedings in person. Additionally, the PTAB and TTAB judges provide educational sessions where they share information about the structure and format of PTAB and TTAB proceedings, give tips for persuasive advocacy before both Boards, and answer audience questions.

Patent and trademark attorneys who appear before the PTAB or TTAB, and anyone interested in learning about practicing before these administrative tribunals, should attend these free events.

Read more about the 2022 PTAB/TTAB Stadium Tour at the University of Oregon in this [Inventor's Digest article](#).

Past events

MAR 28, 2024 - BATON ROUGE, LA
[USPTO PTAB/TTAB Stadium Tour - Live at Southern University](#)
The USPTO works with law schools across the country to showcase live Patent Trial and Appeal Board (PTAB) ex parte appeal and trial proceedings as well as Trademark Trial and Appeal Board (TTAB) opposition proceedings.

SEP 7, 2023 - DURHAM, NC
[USPTO PTAB/TTAB Stadium Tour - Live at NCCU](#)
The USPTO works with law schools across the country to showcase live Patent Trial and Appeal Board (PTAB) ex parte appeal and trial proceedings as well as Trademark Trial and Appeal Board (TTAB) opposition proceedings.

FEB 23, 2023 - PHOENIX, AZ
[USPTO PTAB/TTAB Stadium Tour - Live at ASU](#)
The USPTO works with law schools across the country to showcase live Patent Trial and Appeal Board (PTAB) ex parte appeal and trial proceedings as well as Trademark Trial and Appeal Board (TTAB) opposition proceedings.

[View the 2022 PTAB/TTAB stadium tour photo gallery.](#)

PTAB Education Clinic

www.uspto.gov/patents/ptab/education-clinic

- Provides education to members of the public who have questions about PTAB, ex parte appeals, or AIA trial proceedings
 - *No legal advice*
 - *No discussion of specific cases*
- Staffed by former PTAB judges
- No-cost and virtual
- Available monthly
 - Must have an appointment



How to request an Education Clinic appointment

www.uspto.gov/patents/ptab/education-clinic

The screenshot shows the USPTO website's navigation bar with the logo and search bar. Below the navigation bar, the breadcrumb trail reads: Home > Patents > PTAB > PTAB Education Clinic. The main content area is titled "PTAB Education Clinic" and contains several paragraphs of text explaining the clinic's purpose and how to request an appointment. A green button labeled "Request an appointment" is highlighted with a red circle. Below the button, there is a section titled "How to prepare for your appointment" with a link to a "New to PTAB?" webpage.

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Patents Trademarks IP Policy Learning and Resources Find It Fast -

Home > Patents > PTAB > PTAB Education Clinic

Main menu

- Patents
- Trademarks
- IP Policy
- Learning and Resources
- About Us
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PTAB Education Clinic

Have you received a final office action from a patent examiner rejecting your patent application? Has someone challenged your patent? Are you considering challenging someone else's patent? Not sure what to do? The Patent Trial and Appeal Board (PTAB) Education Clinic may be for you.

The PTAB Education Clinic provides education to members of the public who have questions about proceedings at the PTAB, including ex parte appeals of final rejections by examiners and patent challenges by third parties in America Invents Act (AIA) proceedings.

A volunteer will meet with you one-on-one at no cost to answer questions about ex parte appeals and AIA proceedings, such as inter partes reviews (IPRs). Volunteers are experienced patent professionals. To sign up for a one-on-one meeting, see "[How to get help](#)" below.

By participating in the PTAB Education Clinic, you will learn more about your options and the type of proceeding you are, or may be, involved with. You will also be able to make more informed decisions about processes and procedures in front of the PTAB. In addition, volunteers can provide information about free services and resources, websites, informative webinars, where to find rules, laws, and online forms, and general information about how things work at the PTAB.

The discussions during these meetings are for educational purposes only. Volunteers will not give legal advice or offer subsequent representation.

How to get help

The PTAB Education Clinic is available on a monthly basis by appointment. Complete our form to [request an appointment](#). Each appointment is 30 minutes. If you have additional questions after your 30-minute appointment, you may request a subsequent appointment.

[Request an appointment](#)

We schedule appointments based on the availability of volunteers. Requesting an appointment does not guarantee that you will receive a meeting. To maximize your appointment time, see "How to prepare for your appointment" below.

How to prepare for your appointment

In advance of your appointment, you may wish to familiarize yourself with PTAB and our proceedings by reviewing the "[New to PTAB? webpage](#)". This will introduce you to basic aspects of PTAB and how its proceedings work, which can help facilitate discussion at your one-on-one appointment.

You should also review any documents relevant to your proceeding (for example, final office action, AIA petition, or other filings) and identify specific questions that you may have.

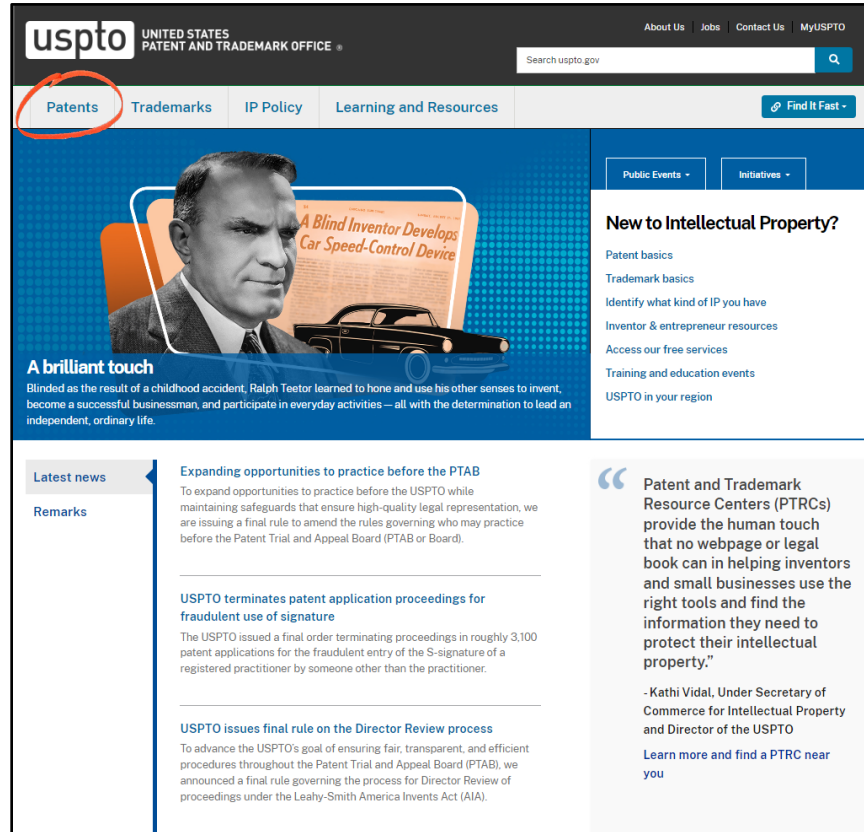


Questions?

PTAB webpage

USPTO webpage

www.uspto.gov



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Patents Trademarks IP Policy Learning and Resources Find It Fast

A brilliant touch

Blinded as the result of a childhood accident, Ralph Teator learned to hone and use his other senses to invent, become a successful businessman, and participate in everyday activities—all with the determination to lead an independent, ordinary life.

New to Intellectual Property?

- Patent basics
- Trademark basics
- Identify what kind of IP you have
- Inventor & entrepreneur resources
- Access our free services
- Training and education events
- USPTO in your region

Latest news

Remarks

Expanding opportunities to practice before the PTAB

To expand opportunities to practice before the USPTO while maintaining safeguards that ensure high-quality legal representation, we are issuing a final rule to amend the rules governing who may practice before the Patent Trial and Appeal Board (PTAB or Board).

USPTO terminates patent application proceedings for fraudulent use of signature

The USPTO issued a final order terminating proceedings in roughly 3,100 patent applications for the fraudulent entry of the S-signature of a registered practitioner by someone other than the practitioner.

USPTO issues final rule on the Director Review process

To advance the USPTO's goal of ensuring fair, transparent, and efficient procedures throughout the Patent Trial and Appeal Board (PTAB), we announced a final rule governing the process for Director Review of proceedings under the Leahy-Smith America Invents Act (AIA).

“ Patent and Trademark Resource Centers (PTRCs) provide the human touch that no webpage or legal book can in helping inventors and small businesses use the right tools and find the information they need to protect their intellectual property.”

- Kathi Vidal, Under Secretary of Commerce for Intellectual Property and Director of the USPTO

[Learn more and find a PTRC near you](#)

PTAB webpage

www.uspto.gov/patents/ptab

The screenshot shows the USPTO website's navigation menu. The 'Patents' tab is selected. Under the 'Application process' section, the link for 'Patent Trial and Appeal Board' is circled in red. Other sections visible include 'Getting started', 'Maintaining a patent', and 'Tools & links'.

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Getting started

- Patent basics
- Process overview
- Legal assistance and resources
- Inventor and entrepreneur resources
- International cooperation
- Patent FAQs

Application process

- Search for patents
- Learn about patent classification
- Filing online
- Checking application status
- Responding to Office actions
- Petitions
- Patent Trial and Appeal Board**

Maintaining a patent

- Maintenance fees
- Correcting your patent
- Transferring ownership
- Ordering certified documents

Tools & links

- Online patent tools
- Electronic Business Center
- Fees and payment
- Global Dossier
- More tools & links

Patent Center

- Single interface replacement for EFS-Web, Private PAIR and Public PAIR
- Check application status
- Check patent application status with Patent Center
- Patent Trial & Appeal Board
- Resolve disputes regarding patents with PTAB

A brilliant touch

Blinded as the result of a childhood accident, Ralph Teetor learned to hone and use his other senses to invent, become a successful businessman, and participate in everyday activities — all with the determination to lead an independent, ordinary life.

Training and education events

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Latest news

Remarks

Expanding opportunities to practice before the PTAB

To expand opportunities to practice before the USPTO while maintaining safeguards that ensure high-quality legal representation, we are issuing a final rule to amend the rules governing who may practice before the Patent Trial and Appeal Board (PTAB or Board).

USPTO terminates patent application proceedings for fraudulent use of signature

The USPTO issued a final order terminating proceedings in roughly 3,100 patent applications for the fraudulent entry of the S-signature of a registered practitioner by someone other than the practitioner.

USPTO issues final rule on the Director Review process

To advance the USPTO's goal of ensuring fair, transparent, and efficient procedures throughout the Patent Trial and Appeal Board (PTAB), we announced a final rule governing the process for Director Review of proceedings under the Leahy-Smith America Invents Act (AIA).

“ Patent and Trademark Resource Centers (PTRCs) provide the human touch that no webpage or legal book can in helping inventors and small businesses use the right tools and find the information they need to protect their intellectual property.”

- Kathi Vidal, Under Secretary of Commerce for Intellectual Property and Director of the USPTO

Learn more and find a PTRC near you

New to PTAB webpage

www.uspto.gov/NewtoPTAB

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Home > Patents > Patent Trial and Appeal Board

Patent Trial and Appeal Board

The Patent Trial and Appeal Board (PTAB) conducts trials, including inter partes, post-grant, and covered business method patent reviews and derivation proceedings, hears appeals from adverse examiner decisions in patent applications and reexamination proceedings, and renders decisions in interferences.

Trials and appeals

- > Resources and guidance
- > Trial proceedings
- > Appeals proceedings
- > **New to PTAB?**
- > Preparing for hearings
- > Fees
- > PTAB Pro Bono
- > PTAB/TTAB Stadium Tour

Decisions

- > All PTAB decision data
- > AIA daily decisions
- > Precedential and informative decisions
- > Revised Interim Director Review process
- > Status of Director Review requests
- > Delegated Rehearing Panel
- > Appeals Review Panel
- > Appeals Review Panel Status

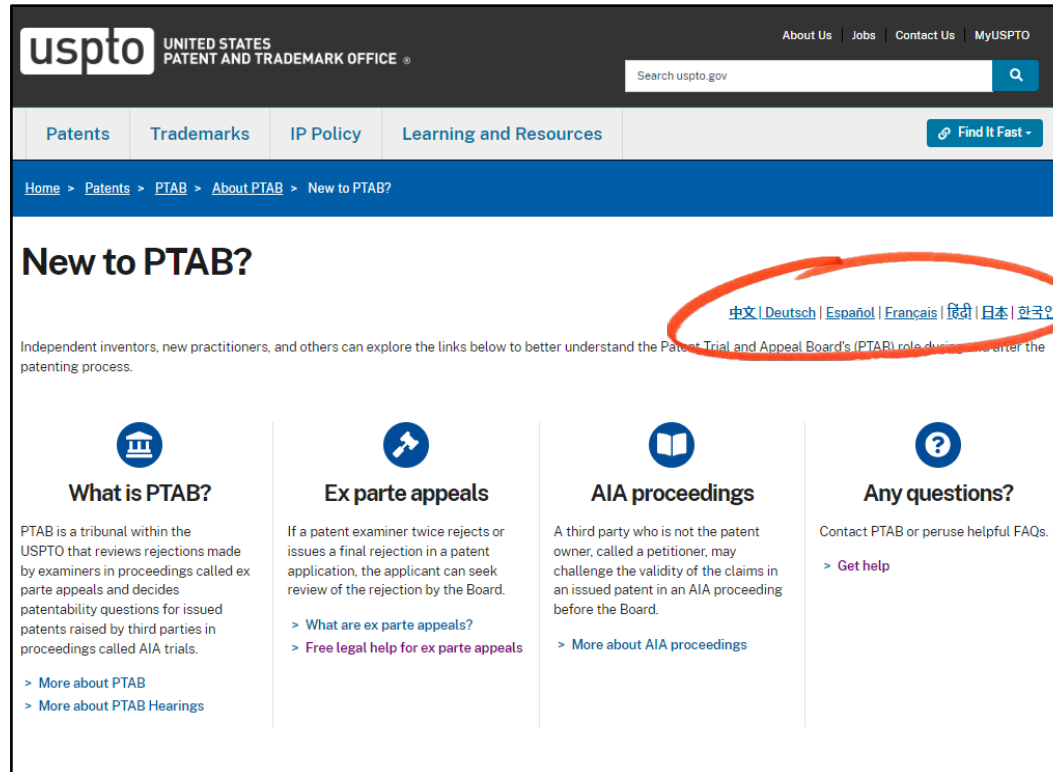
Learn more

- > Statistics
- > Databases and IT tools
- > Frequently asked questions
- > Boardside Chat webinar series
- > PTAB Inventor Hour series
- > PTAB suggestion box, contact info, and speaker request form
- > Recent proposals, pilot programs, and final rules
- > PTAB Judicial Law Clerk Program
- > Ex Parte Appeal Roadshows

P-TACTS system Search decisions, documents, proceedings Hearing information Latest developments

New to PTAB webpage translations

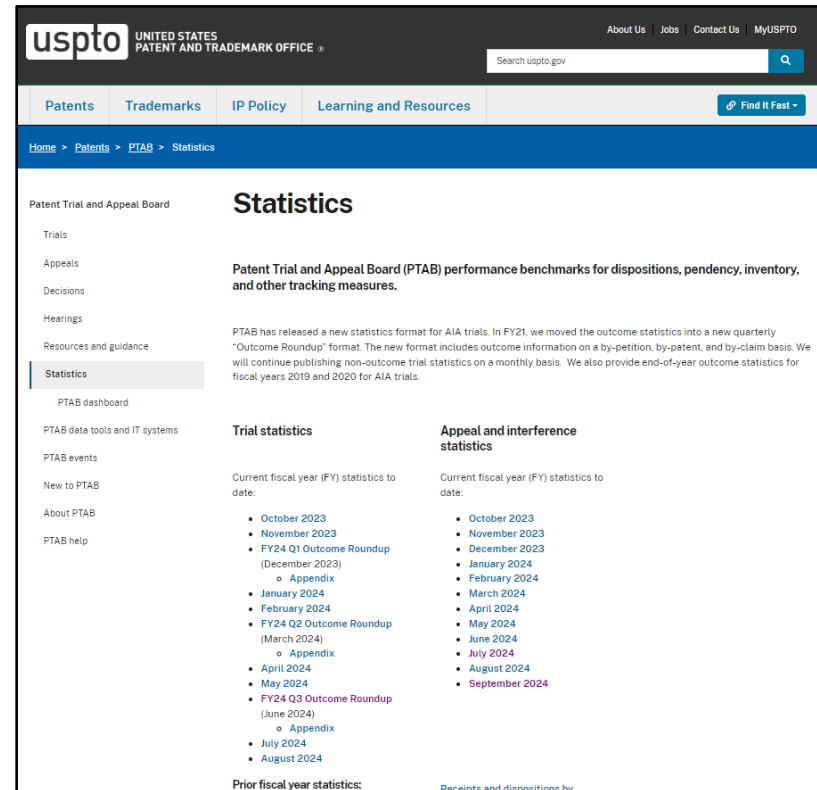
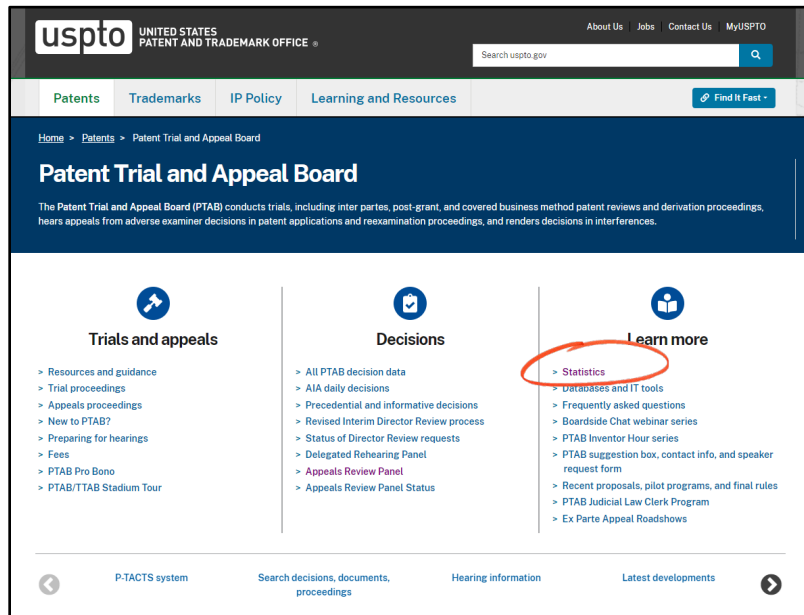
www.uspto.gov/NewtoPTAB



The screenshot shows the USPTO website's 'New to PTAB?' page. At the top, the USPTO logo and 'UNITED STATES PATENT AND TRADEMARK OFFICE' are visible. A search bar and navigation links for 'About Us', 'Jobs', 'Contact Us', and 'MyUSPTO' are present. Below the search bar, there are tabs for 'Patents', 'Trademarks', 'IP Policy', and 'Learning and Resources', along with a 'Find It Fast' button. A breadcrumb trail reads 'Home > Patents > PTAB > About PTAB > New to PTAB?'. The main heading is 'New to PTAB?'. Below this, a row of language translation links is circled in red: [中文](#) | [Deutsch](#) | [Español](#) | [Français](#) | [हिंदी](#) | [日本](#) | [한국인](#). The text below the links states: 'Independent inventors, new practitioners, and others can explore the links below to better understand the Patent Trial and Appeal Board's (PTAB) role during the patenting process.' Below this text are four columns, each with an icon and a title: 1. 'What is PTAB?' with a court icon, describing PTAB as a tribunal that reviews rejections and decides on ex parte appeals and AIA trials. 2. 'Ex parte appeals' with a gavel icon, explaining that if a patent examiner rejects an application twice, the applicant can seek review. 3. 'AIA proceedings' with a book icon, stating that a third party can challenge the validity of claims in an issued patent. 4. 'Any questions?' with a question mark icon, directing users to contact PTAB or use FAQs. Each column includes a link to 'More about' the respective topic.

PTAB statistics webpage

www.uspto.gov/patents/ptab/statistics



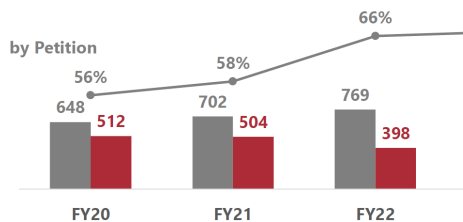
PTAB statistics

www.uspto.gov/patents/ptab/statistics

AIA proceedings statistics examples from August 2024:

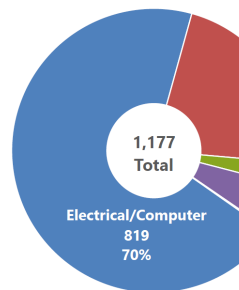
Institution rates by petition

(FY20 to FY24 through August: Oct. 1, 2019 to Aug. 31, 2024)



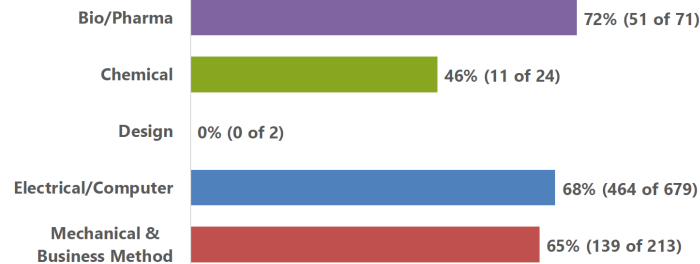
Petitions filed by technology

(FY24 through August: Oct. 1, 2023 to Aug. 31, 2024)



Institution rates by technology

(FY24 through August: Oct. 1, 2023 to Aug. 31, 2024)



Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



PTAB decisions webpage

developer.uspto.gov/ptab-web/#/search/decisions

- Find any PTAB decision from an AIA trial proceeding, ex parte appeal, and interference issued after July 1997

The screenshot shows the PTAB Decisions webpage interface. At the top, there is a search bar with the text "Search" and "Search decision text". Below the search bar, there is a notification: "This site is the electronic warehouse for PTAB trial, appeal, and interference decisions issued after July 1997." The main content area is titled "Board Decisions" and shows a table of 411 results found. The table has the following columns: Proceeding #, Application #/Reexam Control #, Appellant/PO/Respondent, Board Decision, Decision Date, Proceeding Type, Decision Type, and Tech Center. The table is filtered by "Decision Date" from 09/26/2024 to 10/26/2024. The table lists various cases, including those involving Zhiguo Zhou et al., NAVICO HOLDING AS, Murata Manufacturing Co., Ltd., FRESSENIUS MEDICAL CARE DEUTSCHLAND GMBH, Yangtze Memory Technologies Company, Ltd., G+ Communications LLC, CLO Virtual Fashion, Inc., Nokia Technology Oy, John Rudigliano, Samsung Electronics Co., Ltd., Unilco 2017 LLC, DLX Enterprises LLC, Cenopos, Inc., G+ Communications LLC, Neurostim OAB, Inc., and United Technologies Corporation.

Proceeding #	Application #/Reexam Control #	Appellant/PO/Respondent	Board Decision	Decision Date	Proceeding Type	Decision Type	Tech Center
202400023	17113079	Zhiguo Zhou et al.	Affirmed	10-28-2024	Appeal	Decision	3600
2023002573	17713418	NAVICO HOLDING AS	Reversed	10-25-2024	Appeal	Decision	3600
2023003206	16197135	Murata Manufacturing Co., Ltd.	Affirmed	10-25-2024	Appeal	Decision	2800
2024002420	15989660	FRESSENIUS MEDICAL CARE DEUTSCHLAND GMBH	Affirmed	10-25-2024	Appeal	Decision	1700
IPR2024-00789	16402202	Yangtze Memory Technologies Company, Ltd.	Institution Granted	10-25-2024	AIA Trial	Decision	2800
IPR2023-01198	15749254	G+ Communications LLC	Dismissed	10-25-2024	AIA Trial	Decision	2400
IPR2024-00970	14921269	CLO Virtual Fashion, Inc.	Institution Denied	10-25-2024	AIA Trial	Decision	2800
IPR2024-00967	16825878	CLO Virtual Fashion, Inc.	Institution Denied	10-25-2024	AIA Trial	Decision	2800
IPR2024-00980	17027426	CLO Virtual Fashion, Inc.	Institution Denied	10-25-2024	AIA Trial	Decision	2800
IPR2024-00794	16365725	Yangtze Memory Technologies Company, Ltd.	Institution Granted	10-25-2024	AIA Trial	Decision	2800
IPR2024-00272	10428926	Nokia Technology Oy	Institution Granted	10-25-2024	AIA Trial	Decision	2800
2023002606	17025937	John Rudigliano	Affirmed	10-25-2024	Appeal	Decision	3700
2024001441	16754568	Samsung Electronics Co., Ltd.	Affirmed	10-25-2024	Appeal	Decision	2400
IPR2019-01363	14178064	Unilco 2017 LLC	Affirmed	10-24-2024	AIA Trial	Decision	2400
PGR2024-00033	17886132	DLX Enterprises LLC	Settled Before Institution	10-24-2024	AIA Trial	Decision	3600
2023003450	16094902	Cenopos, Inc., d/b/a UNILEVER	Affirmed	10-24-2024	Appeal	Decision	1700
IPR2022-01198	15749254	G+ Communications LLC	Voluntarily Dismissed	10-24-2024	AIA Trial	Decision	2400
2023002308	16427720	Neurostim OAB, Inc.	Affirmed	10-24-2024	Appeal	Decision	3700
2023003275	16746432	United Technologies Corporation	Reversed	10-24-2024	Appeal	Decision	3700



PTAB subscription

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- Free delivery to your email inbox

The screenshot shows the USPTO Patent Trial and Appeal Board (PTAB) website. The page is titled "Patent Trial and Appeal Board" and features a navigation menu with "Patents", "Trademarks", "IP Policy", and "Learning and Resources". Below the navigation, there are three main sections: "Trials and appeals", "Decisions", and "Learn more". Each section contains a list of links to various resources. At the bottom of the page, there is a "Sign up for emails from PTAB" form with a text input field for an email address and a "Submit" button. The form is circled in red.

Questions?

Post-event survey

Thank you for attending today's Public Engagement Partnership meeting. Your input is important to us for planning future meetings in this series. Please complete a post-event survey by scanning this QR code or by entering the link below in the web browser.



