

UNITED STATES  
PATENT AND TRADEMARK OFFICE



A faint technical drawing of a mechanical assembly is visible in the background, featuring various callout numbers such as 80, 86, 84, 82, 56, 62, 58, 28, 38, 42, 54, 46, 64, 340, and 66.

# Patent Trial and Appeal Board (PTAB) Boardside Chat: Interim processes relating to institution in AIA proceedings

**Janet Gongola**, Chief Communications Officer (Acting)

**Michelle Ankenbrand**, Senior Legal Advisor (Acting)

**Kalyan Deshpande**, Vice Chief Administrative Patent Judge

April 17, 2025



UNITED STATES  
PATENT AND TRADEMARK OFFICE ®

# Question/comment submission

To send in questions or comments during the webinar, please email:

- [PTABBoardsideChat@uspto.gov](mailto:PTABBoardsideChat@uspto.gov)

# Agenda

1. New interim processes for PTAB workload management overview (“Interim processes”)
2. Interim processes operations and filing director discretionary denial briefing
3. Q&A

# **Interim processes for PTAB workload management**

# Interim processes

- Acting Director Stewart issued interim processes memorandum on March 26, 2025.
  - [www.uspto.gov/sites/default/files/documents/InterimProcesses-PTABWorkloadMgmt-20250326.pdf](http://www.uspto.gov/sites/default/files/documents/InterimProcesses-PTABWorkloadMgmt-20250326.pdf)
- The USPTO is planning to begin notice and comment rulemaking after some experience with the interim processes.

# Interim processes (cont'd)

- Decisions on whether to institute an AIA trial will be bifurcated between:
  - discretionary considerations and
  - merits and other non-discretionary considerations

# Interim processes (cont'd)

- The Director (in consultation with at least three senior PTAB judges) will determine whether discretionary denial of institution is appropriate.
- If not appropriate, a Board panel will address the merits and other non-discretionary considerations and determine whether to institute.



# Interim processes (cont'd)

- Processes go into effect in cases where the deadline to file a patent owner preliminary response (POPR) had not yet passed—i.e., it does not apply in cases where a patent owner filed a POPR (or date for filing one has passed)—as of March 26, 2025.
- Processes aim to improve PTAB efficiency, maintain PTAB capacity to conduct AIA proceedings, reduce pendency in *ex parte* appeals, and promote consistent application of discretionary considerations in the institution of AIA proceedings.

# Interim processes (cont'd)

- To facilitate, the USPTO will permit both parties to file a separate briefing on requests for discretionary denial of institution.
  - A patent owner may file a discretionary denial brief after the PTAB issues a Notice of Filing Date Accorded (or a Notice of Defective Petition) and the PTAB accepts patent owner's Mandatory Notice.
  - A petitioner may file an opposition brief in P-TACTS after the patent owner files its discretionary denial brief.



# Interim processes (cont'd)

- Consistent with existing precedent and guidance, parties are permitted to address all relevant discretionary considerations, including:
  - Whether PTAB or another forum has already adjudicated validity or patentability of the challenged claims;
  - Whether there have been changes in the law or new judicial precedent issued since issuance of the claims that may affect patentability;
  - The strength of the unpatentability challenge;
  - The extent of the petition's reliance on expert testimony;
  - Settled expectations of parties, such as the length of time the claims have been in force;
  - Compelling economic, public health, or national security interests; and
  - Any other considerations bearing on the Director's discretion.

# Question/comment submission

To send in questions or comments during the webinar, please email:

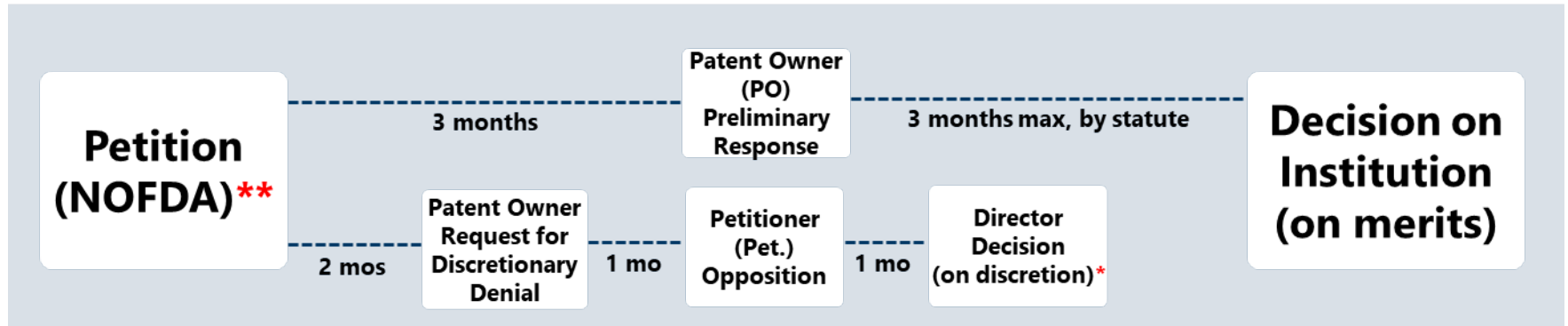
- [PTABBoardsideChat@uspto.gov](mailto:PTABBoardsideChat@uspto.gov)

# Interim Processes Operations

# Timeline: AIA proceedings

Interim processes (March 26, 2025 memorandum)

## Preliminary Phase

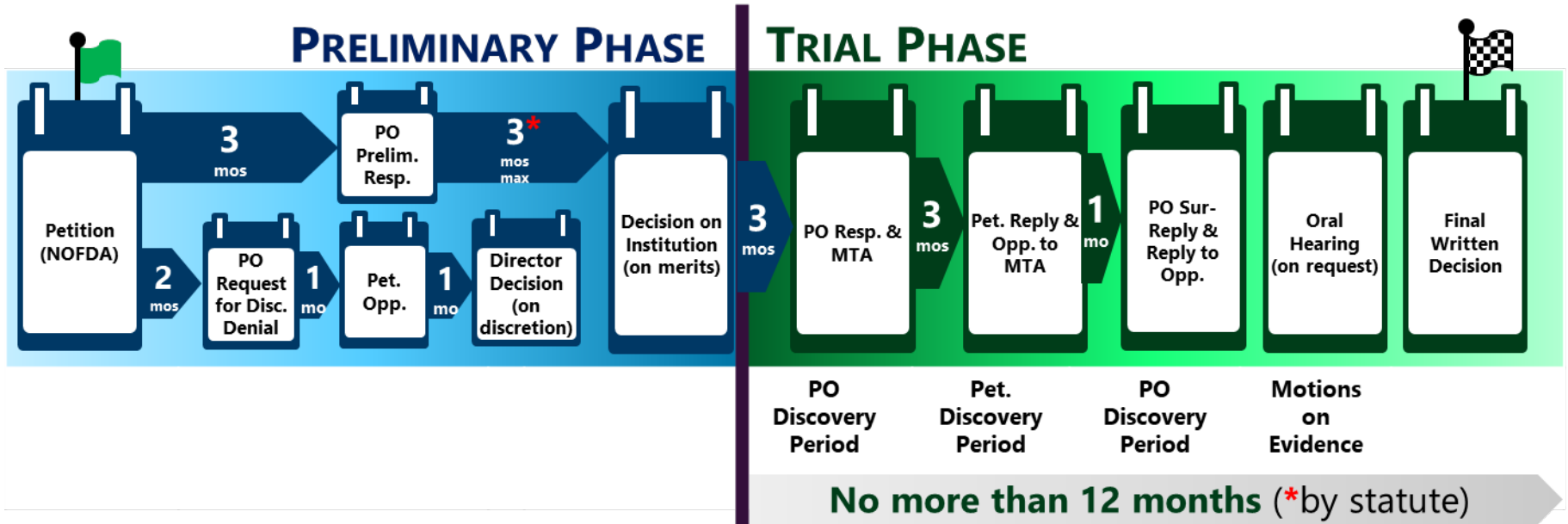


\*\* Notice of filing date accorded

\* If the Director does not exercise discretion to deny institution, a Board panel determines whether to institute based on merits

# Timeline: AIA proceedings

Interim processes (March 26, 2025 memorandum)



# Interim processes

- The bifurcated process is available in cases in which the patent owner files a preliminary response, or the deadline for the patent owner to file a preliminary response occurs, after March 26, 2025.
- In cases where the time for filing a discretionary denial brief has not yet elapsed but will elapse in 1 month or less after March 26, 2025, the Board has or will issue a notice extending the deadline.
  - The patent owner’s brief will be due no later than 1 month of the date of the notice.
  - The petitioner’s brief will be due no later than 1 month after the patent owner files its brief.



# Interim processes (cont'd)

- In cases where the time for filing a discretionary denial brief has elapsed, but the deadline for filing a patent owner preliminary response had not yet passed as of March 26, 2025.
  - As the March 26 memorandum states, the patent owner's brief will be due within one month of the date of the memorandum.
  - The petitioner's brief will be due no later than one month after the patent owner files its brief.

# Filing discretionary considerations briefs in P-TACTS

- On April 11, the PTAB introduced two new paper types in P-TACTS:
  - PO Discretionary Denial Brief
  - PET Opposition to Discretionary Denial Brief
- For timely consideration, parties should use these new paper types to file discretionary considerations briefs.
- If you filed a discretionary considerations brief before April 14, please email [Director\\_Discretionary\\_Decision@USPTO.gov](mailto:Director_Discretionary_Decision@USPTO.gov):
  - The email should identify the IPR or PGR number and the date the brief was filed
  - The email should copy counsel for all parties



# Filing discretionary considerations briefs in P-TACTS (cont'd)

- To file a patent owner discretionary denial brief:
  - Log into P-TACTS.
  - Go to My Docket and select the AIA Review case number to open Case Viewer.
  - Select the "+Add Document" button and File Other Document modal from the menu option.
  - Select "PO Discretionary Denial Brief" from the list of paper types.
  - Enter information into all required fields marked by a red asterisk beginning with paper type.
  - Select "PO Discretionary Denial Brief"; and
  - Click on "Add to List" and then "Submit."



# Filing discretionary considerations briefs in P-TACTS (cont'd)

- To file a petitioner opposition to a discretionary denial brief:
  - Log into P-TACTS.
  - Go to My Docket and select the AIA Review case number to open Case Viewer.
  - Select the "+Add Document" button and File Other Document modal from the menu option.
  - Select "PET Opposition to Discretionary Denial Brief" from the list of paper types.
  - Enter information into all required fields marked by a red asterisk beginning with paper type.
  - Select "PET Opposition to Discretionary Denial Brief"; and
  - Click on "Add to List" and then "Submit."



# Questions

# Questions

For any questions, please email:

**[Director\\_Discretionary\\_Decision@uspto.gov](mailto:Director_Discretionary_Decision@uspto.gov)** or

call 571-272-7822.



