**Instructions for Information Disclosure Statement**

*Updated 1/15/2025*

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### Detailed Form Instructions by Field

**Information Disclosure Statement Header**

|  |  |
| --- | --- |
| Application Number | Enter the US Application number you wish to file the Information Disclosure Statement against, for example 99999999 or 09999999 |
| Filing Date | Enter the filing date of the US Application number with which you are filing the Information Disclosure Statement. The date format is YYYY-MM-DD. |
| First Named Inventor | Enter the Last Name of the first named inventor. If the name is more than 50 characters in length. Characters beyond the 50th will be truncated in USPTO internal systems |
| Art Unit | Enter the Art Unit location if known. |
| Examiner Name | Enter the name of the examiner assigned, if known or likely to be assigned to the corresponding patent application. |
| Attorney Docket Number | Enter the Attorney Docket Number. The text format is alpha numeric. |

**U.S. Patents**

|  |  |
| --- | --- |
| Examiner Initial | When the examiner processes the form, he or she will initial this box if they accept the citation. For USPTO action only. |
| Cite Number | This number is self-incrementing; as you add more citations, this number will increase by one to a maximum of 50. When U.S. Patent Application Publications are also listed, the maximum combined total is 50. For USPTO action only. |
| Patent Number | Enter the Patent Number to be cited, example is 9999999 or 09999999 |
| Kind | Enter the Kind code of the patent number if known. [WIPO Standard ST. 16](#_WIPO_ST.16_Kind) codes (kind codes) include a letter, and in many cases a number, used to distinguish the kind of patent document (e.g., publication of an application for a utility patent (patent application publication), patent, plant patent application publication, plant patent, or design patent) and the level of publication (e.g., first publication, second publication, or corrected publication). |
| Issue Date | Enter the date of Issue for the cited patent. The date format is YYYY-MM-DD. |
| Name of Inventor of cited Document | Enter the Last Name of the first named inventor of the cited patent. If the name is more than 50 characters in length characters beyond the 50th will be truncated in USPTO internal systems |
| Pages, Columns, Lines where relevant passages or relevant figures appear. | Enter any relevant information of the cited patent regarding Page Number, Column Location or Line Number where the Relevant Passages or Figures appear. |
| Remove | Selecting the DELETE button will delete the last U.S. Patent citation row of data. |
| Add | Selecting the ADD button will add additional U.S. Patent citation data fields. |

**U.S. Patent Application Publications**

|  |  |
| --- | --- |
| Examiner Initial | When the examiner processes the form, he or she will initial this box if they accept the citation. For USPTO action only. |
| Cite Number | This number is self-incrementing; as you add more citations, this number will increase by one to a maximum of 50. When U.S. Patents are also listed, the maximum combined total is 50. For USPTO action only. |
| Publication Number | Enter the Publication Number to be cited, example is YYYY9999999 |
| Kind | Enter the Kind code of the patent number if known. [WIPO Standard ST. 16](#_WIPO_ST.16_Kind) codes (kind codes) include a letter, and in many cases a number, used to distinguish the kind of patent document (e.g., publication of an application for a utility patent (patent application publication), patent, plant patent application publication, plant patent, or design patent) and the level of publication (e.g., first publication, second publication, or corrected publication). |
| Publication Date | Enter the date of Publication for the cited application. The date format is YYYY-MM-DD. |
| Name of Inventor or Applicant of cited Document | Enter the Last Name of the first named inventor of the cited patent. If the name is more than 50 characters in length, characters beyond the 50th will be truncated in USPTO internal systems |
| Pages, Columns, Lines where relevant passages or relevant figures appear. | Enter any relevant information of the cited patent regarding Page Number, Column Location or Line Number where the Relevant Passages or Figures appear. |
| Remove | Selecting the DELETE button will delete the selected U.S. Patent citation row of data. |
| Add | Selecting the ADD button will add additional U.S. Patent citation data fields. |

**Foreign Patent Documents**

|  |  |
| --- | --- |
| Examiner Initial | When the examiner processes the form, he or. she will initial this box if they accept the citation. For USPTO action only. |
| Cite Number | This number is self-incrementing; as you add more citations, this number will increase by one to a maximum of 50. For USPTO action only. |
| Foreign Document Number | Enter up to 17 characters alpha numeric of the Foreign Document Number. |
| Country Code | See the attached [WIPO ST.3 Table](#_WIPO_ST.3_Table) for the correct 2 digit code. |
| Kind | See the attached [WIPO ST.16 Kind Code](#_WIPO_ST.16_Kind) Table |
| Publication Date | Date of Publication of the Foreign Patent Document. The date format is YYYY-MM-DD. |
| Name of Patentee or Applicant of cited Document | If known, enter the Last Name of the Inventor of the cited Foreign Patent Document |
| Pages, Columns, Lines where relevant passages or relevant figures appear. | List the page, column or line number of the relevant text or figures of the cited Foreign Patent Document. |
| T | Check the box if an English translation of the Foreign Patent Document is attached to the IDS submission |
| Remove | Selecting the DELETE button will delete the bottom Foreign Patent Document citation row of data. |
| Add | Selecting the ADD button will Add an additional row of data for Foreign Patent Document citation. |

**Non-Patent Literature Documents**

|  |  |
| --- | --- |
| Examiner Initial | When the examiner processes the form, he or she will initial this box if they accept the citation. USPTO internal action only. |
| Cite Number | This number is self-incrementing; as you add more citations, this number will increase by one to a maximum of 50. USPTO internal action only. |
| Name of the author, title of the article, title of the item, publisher, city and or country where published. | If known, enter the Last Name of the author, the title of the article or item, the publisher, city and or country of publication. |
| T | Check the box if an English translation of the Non-Patent Literature is attached to the IDS submission |
| Remove | Selecting the DELETE button will delete the bottom citation row of Non-Patent Literature. |
| Add | Selecting the ADD button will Add an additional citation row of data for Non-Patent Literature. |

### Timing Statement

|  |  |
| --- | --- |
| That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. | Please see 37 CFR 1.97(e) to make the appropriate selection(s). |
| That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. | Please see 37 CFR 1.97(e) to make the appropriate selection(s). |
| See attached timing statement. | Select this box if the e-Filer intends to submit their own IDS Timing Statement. An example would be where the e-Filer submits one list of references and designates which timing statement pertains to which citation(s) in the reference listing. |
| A timing statement under 37 CFR 1.97(e) is not submitted herewith | Select this box if none of the timing statement selections apply. |

### Timing Fee

|  |  |
| --- | --- |
| Fee set forth in 37 CFR 1.17(p) has been submitted herewith. | Select this box when submitting the fee for an Information Disclosure Statement filed in the 37 CFR 1.97(c) time period (if no Timing Statement is selected) or in the 37 CFR 1.97(d) time period. |

### Copies

|  |  |
| --- | --- |
| An identification of an earlier application pursuant to 37 CFR 1.98(d)(1) is attached. | Select this box when utilizing the copy exception under 37 CFR 1.98(d). Submit a transmittal paper pursuant to 37 CFR 1.98(d)(1) identifying a prior application relied on for an earlier filing date under 35 U.S.C. 120 in which the previously submitted or cited information can be found. |

### Size Fee and Assertion

|  |  |
| --- | --- |
| **NOTE: select only one IDS size fee assertion** | |
| [ ] No IDS size fee is required under 37 CFR 1.17(v) at this time | Select this box when the IDS does not cause the cumulative number of applicant-provided or patent owner-provided items in this application or proceeding to:   * exceed 50, 100, or 200 items of information. |
| [ ] The IDS is accompanied by the IDS size fee under 1.17(v)(1) | Select this box when the IDS causes the cumulative number of applicant-provided or patent owner-provided items in this application or proceeding to:   * exceed 50 items of information but not exceed 100 items of information. |
| [ ] The IDS is accompanied by the IDS size fee under 1.17(v)(2) | Select this box when the IDS causes the cumulative number of applicant-provided or patent owner-provided items in this application or proceeding to:   * exceed 100 items of information but not exceed 200 items of information.   Note: If a fee under 1.17(v)(1) was previously paid, the fee currently due is the difference between the 1.17(v)(2) fee and the previously paid 1.17(v)(1) fee. |
| [ ] The IDS is accompanied by the IDS size fee under 1.17(v)(3) | Select this box when the IDS causes the cumulative number of applicant-provided or patent owner-provided items in this application or proceeding to:   * exceed 200 items of information.   Note: If a fee under 1.17(v)(1) or (v)(2) was previously paid, the fee currently due is the difference between the 1.17(v)(3) fee and the previously paid 1.17(v)(1) or (v)(2) fee. |
| Additional information: Determine the cumulative number of applicant-provided or patent owner-provided items of information.  The IDS size fee is based on the cumulative number of items of information provided by an applicant or patent owner during the pendency of the application or reexamination proceeding. It is the applicant’s and patent owner’s responsibility to track the cumulative number of items of information provided in the application or proceeding and provide a written assertion of any applicable IDS size fee due.  In determining the applicable written assertion for the cumulative number of applicant-provided or patent owner-provided items of information, “provided” refers to the items cited on an IDS under 37 CFR 1.98(a)(1) by an applicant or patent owner, whether or not an actual copy of the cited item is submitted by the applicant or patent owner to the USPTO. If a particular item provided by an applicant or patent owner on an IDS was not considered because the item was non-compliant and that particular item is provided on an IDS a second time in the same application or patent, it will not be counted again.  An item of information that an applicant cited in a parent application will not be counted in a child application (e.g., a continuation, continuation-in-part, or divisional application) for the purpose of the IDS size fees unless it is resubmitted, i.e., provided by the applicant on an IDS in the child application. Applicants who wish to avoid paying the IDS size fees in a child application for items of information considered in a parent application may do so by not resubmitting the items. An item of information must be resubmitted in the continuing application if the applicant desires the item of information to be printed on the patent. See MPEP 609.02, subsection II.A.2.  The cumulative count is determined for each application or patent separately. Continuing, CPA, and reissue applications and post-issuance proceedings will start with a count of zero. A request for continued examination (RCE) is not the filing of a new application, and thus the count will not reset when an RCE is filed.  **Note:** the cumulative count of items of information includes all items of information already of record in a pending application or proceeding that were submitted via IDS(s) including those submitted prior to January 19, 2025. | |

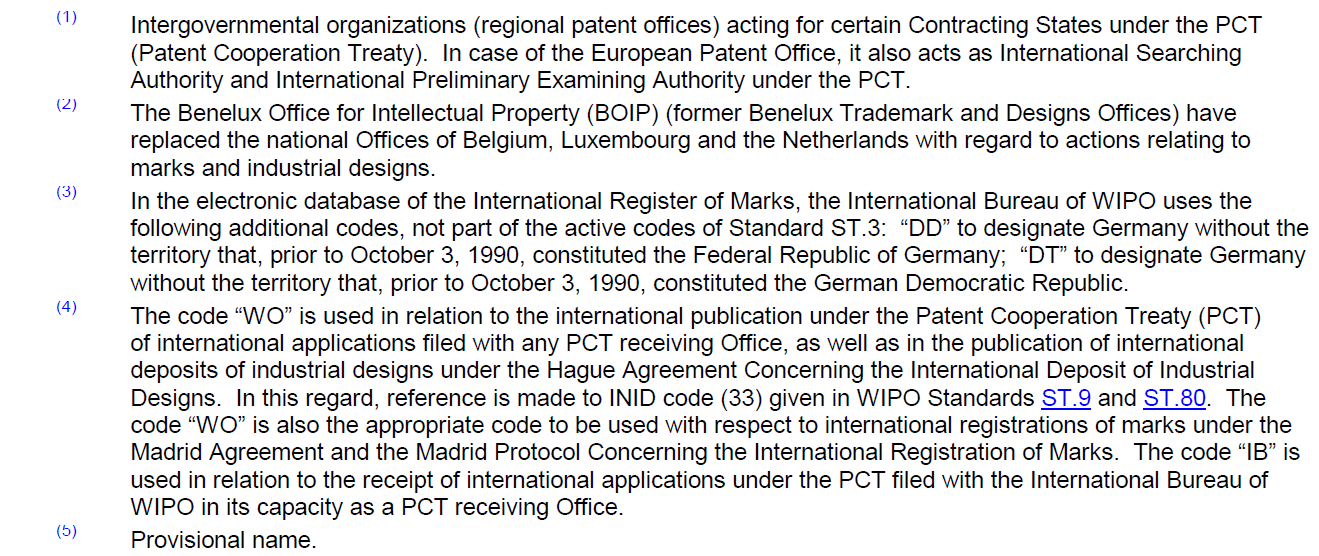
### Signature

|  |  |
| --- | --- |
| Signature | Enter the electronic signature of the individual signing the Information Disclosure Statement. An example would be /John Q Public/. See 37 CFR 1.4(d)(3). |
| Date | Enter the date of submission of the Information Disclosure Statement. The date format is YYYY-MM-DD. |
| Name/Print | Type or write the name of the individual submitting the Information Disclosure Statement. |
| Registration Number | Enter the Registration Number of the U.S. Patent Practitioner who is submitting the Information Disclosure Statement. |

### WIPO ST.3 Table

LIST OF STATES, OTHER ENTITIES AND INTERGOVERNMENTAL ORGANIZATIONS, IN ALPHABETIC SEQUENCE OF THEIR SHORT NAMES, AND THEIR CORRESPONDING CODES (as of February 2015)

|  |  |
| --- | --- |
| AFGHANISTAN | AF |
| AFRICAN INTELLECTUAL PROPERTY ORGANIZATION (OAPI)(1) | OA |
| AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)(1) | AP |
| ALBANIA | AL |
| ALGERIA | DZ |
| ANDORRA | AD |
| ANGOLA | AO |
| ANGUILLA | AI |
| ANTIGUA AND BARBUDA | AG |
| ARGENTINA | AR |
| ARMENIA | AM |
| ARUBA | AW |
| AUSTRALIA | AU |
| AUSTRIA | AT |
| AZERBAIJAN | AZ |
| BAHAMAS | BS |
| BAHRAIN | BH |
| BANGLADESH | BD |
| BARBADOS | BB |
| BELARUS | BY |
| BELGIUM | BE |
| BELIZE | BZ |
| BENELUX OFFICE FOR INTELLECTUAL PROPERTY (BOIP) (2) | BX |
| BENIN | BJ |
| BERMUDA | BM |
| BHUTAN | BT |
| BOLIVIA | BO |
| BOSNIA AND HERZEGOVINA | BA |
| BOTSWANA | BW |
| BOUVET ISLAND | BV |
| BRAZIL | BR |
| BRUNEI DARUSSALAM | BN |
| BULGARIA | BG |
| BURKINA FASO | BF |
| BURUNDI | BI |
| CAMBODIA | KH |
| CAMEROON | CM |
| CANADA | CA |
| CAPE VERDE ... | CV |
| CAYMAN ISLANDS | KY |
| CENTRAL AFRICAN REPUBLIC | CF |
| CHAD | TD |
| CHILE | CL |
| CHINA | CN |
| COMMUNITY PLANT VARIETY OFFICE (EUROPEAN COMMUNITY) (CPVO) | QZ |
| COLOMBIA | CO |
| COMOROS | KM |
| CONGO (see Congo, below; Democratic Republic of the Congo) |  |
| CONGO | CG |
| COOK ISLANDS. | CK |
| COSTA RICA | CR |
| CÔTE D’IVOIRE | CI |
| CROATIA | HR |
| CUBA | CU |
| CURACAO | CW |
| CYPRUS | CY |
| CZECH REPUBLIC | CZ |
| DEMOCRATIC PEOPLE’S REPUBLIC OF KOREA | KP |
| DEMOCRATIC REPUBLIC OF THE CONGO | CD |
| DENMARK | DK |
| DJIBOUTI | DJ |
| DOMINICA ... | DM |
| DOMINICAN REPUBLIC | DO |
| ECUADOR | EC |
| EGYPT | EG |
| EL SALVADOR | SV |
| EQUATORIAL GUINEA | GQ |
| ERITREA | ER |
| ESTONIA | EE |
| ETHIOPIA | ET |
| EURASIAN PATENT ORGANIZATION (EAPO)(1) | EA |
| EUROPEAN COMMUNITY TRADE MARK OFFICE (See Office for Harmonization in the Internal Market) |  |
| EUROPEAN PATENT OFFICE (EPO)(1) | EP |
| FALKLAND ISLANDS (MALVINAS) | FK |
| FAROE ISLANDS | FO |
| FIJI | FJ |
| FINLAND | FI |
| FRANCE | FR |
| GABON | GA |
| GAMBIA | GM |
| GEORGIA | GE |
| GERMANY(3) | DE |
| GHANA | GH |
| GIBRALTAR | GI |
| GREECE | GR |
| GREENLAND ... | GL |
| GRENADA ... | GD |
| GUATEMALA ... | GT |
| GUERNSEY. | GG |
| GUINEA | GN |
| GUINEA–BISSAU | GW |
| GULF COOPERATION COUNCIL (see Patent Office of the Cooperation Council for the Arab States of the Gulf) |  |
| GUYANA | GY |
| HAITI | HT |
| HOLY SEE ... | VA |
| HONDURAS | HN |
| HONG KONG (See The Hong Kong Special Administrative Region of the People’s Republic of China) |  |
| HUNGARY | HU |
| ICELAND | IS |
| INDIA | IN |
| INDONESIA | ID |
| INTERNATIONAL BUREAU OF THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)(4) | IB, WO |
| INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS (UPOV) | XU |
| IRAN, ISLAMIC REPUBLIC OF | IR |
| IRAQ | IQ |
| IRELAND | IE |
| ISLE OF MAN | IM |
| ISRAEL | IL |
| ITALY | IT |
| JAMAICA | JM |
| JAPAN | JP |
| JERSEY | JE |
| JORDAN | JO |
| KAZAKHSTAN | KZ |
| KENYA | KE |
| KIRIBATI | KI |
| KOREA (see Democratic People’s Republic of Korea; Republic of Korea) |  |
| KUWAIT | KW |
| KYRGYZSTAN | KG |
| LAO PEOPLE’S DEMOCRATIC REPUBLIC | LA |
| LATVIA | LV |
| LEBANON | LB |
| LESOTHO | LS |
| LIBERIA | LR |
| LIBYAN ARAB JAMAHIRIYA | LY |
| LIECHTENSTEIN | LI |
| LITHUANIA | LT |
| LUXEMBOURG | LU |
| MACAO | MO |
| MACEDONIA (see The former Yugoslav Republic of Macedonia) |  |
| MADAGASCAR | MG |
| MALAWI | MW |
| MALAYSIA ... | MY |
| MALDIVES ... | MV |
| MALI | ML |
| MALTA | MT |
| MAURITANIA | MR |
| MAURITIUS | MU |
| MEXICO | MX |
| MOLDOVA (see Republic of Moldova) |  |
| MONACO | MC |
| MONGOLIA | MN |
| MONTENEGRO. | ME |
| MONTSERRAT | MS |
| MOROCCO | MA |
| MOZAMBIQUE | MZ |
| MYANMAR | MM |
| NAMIBIA | NA |
| NAURU | NR |
| NEPAL | NP |
| NETHERLANDS | NL |
| NEW ZEALAND | NZ |
| NICARAGUA | NI |
| NIGER | NE |
| NIGERIA | NG |
| NORDIC PATENT INSTITUTE (NPI) | XN |
| NORTHERN MARIANA ISLANDS | MP |
| NORWAY | NO |
| OFFICE FOR HARMONIZATION IN THE INTERNAL MARKET (TRADE MARKS AND DESIGNS) (OHIM) | EM |
| OMAN | OM |
| PAKISTAN ... | PK |
| PALAU | PW |
| PANAMA | PA |
| PAPUA NEW GUINEA | PG |
| PARAGUAY | PY |
| PATENT OFFICE OF THE COOPERATION COUNCIL FOR THE ARAB STATES OF THE GULF (GCC) | GC |
| PERU | PE |
| PHILIPPINES ... | PH |
| POLAND | PL |
| PORTUGAL | PT |
| QATAR | QA |
| REPUBLIC OF KOREA | KR |
| REPUBLIC OF MOLDOVA | MD |
| ROMANIA | RO |
| RUSSIAN FEDERATION | RU |
| RWANDA | RW |
| SAINT HELENA | SH |
| SAINT KITTS AND NEVIS ... | KN |
| SAINT LUCIA ... | LC |
| SAINT VINCENT AND THE GRENADINES | VC |
| SAMOA | WS |
| SAN MARINO | SM |
| SAO TOME AND PRINCIPE | ST |
| SAUDI ARABIA | SA |
| SENEGAL | SN |
| SERBIA | RS |
| SEYCHELLES | SC |
| SIERRA LEONE | SL |
| SINGAPORE | SG |
| SINT MAARTEN (Dutch part) | SX |
| SLOVAKIA ... | SK |
| SLOVENIA ... | SI |
| SOLOMON ISLANDS | SB |
| SOMALIA | SO |
| SOUTH AFRICA | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS | GS |
| SOUTH SUDAN | SS |
| SPAIN | ES |
| SRI LANKA | LK |
| SUDAN | SD |
| SURINAME | SR |
| SWAZILAND | SZ |
| SWEDEN | SE |
| SWITZERLAND | CH |
| SYRIAN ARAB REPUBLIC | SY |
| TAIWAN, PROVINCE OF CHINA | TW |
| TAJIKISTAN | TJ |
| TANZANIA (see United Republic of Tanzania) |  |
| THAILAND ... | TH |
| THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA | MK |
| THE HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE’S REPUBLIC OF CHINA | HK |
| TIMOR–LESTE | TL |
| TOGO. | TG |
| TONGA | TO |
| TRINIDAD AND TOBAGO | TT |
| TUNISIA | TN |
| TURKEY | TR |
| TURKMENISTAN | TM |
| TURKS AND CAICOS ISLANDS | TC |
| TUVALU | TV |
| UGANDA | UG |
| UKRAINE | UA |
| UNITED ARAB EMIRATES | AE |
| UNITED KINGDOM | GB |
| UNITED REPUBLIC OF TANZANIA | TZ |
| UNITED STATES OF AMERICA | US |
| URUGUAY ... | UY |
| UZBEKISTAN ... | UZ |
| VANUATU ... | VU |
| VATICAN CITY STATE (See Holy See) |  |
| VENEZUELA | VE |
| VIET NAM | VN |
| VIRGIN ISLANDS, BRITISH | VG |
| WESTERN SAHARA(5) | EH |
| WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) (INTERNATIONAL BUREAU OF)(4) | WO, IB |
| YEMEN | YE |
| ZAMBIA | ZM |
| ZIMBABWE | ZW |



### WIPO ST.16 Kind Codes

|  |  |  |
| --- | --- | --- |
| **Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001** | | |
| **WIPO ST.16 Kind Codes** | **Kind of document** | **Comments** |
| A1 | Patent Application Publication | Pre-grant publication available March 2001 |
| A2 | Patent Application Publication (Republication) | Pre-grant publication available March 2001 |
| A9 | Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001 |
| B1 | Patent | No previously published pre-grant publication |
| B2 | Patent | Having a previously published pre-grant publication and available March 2001 |
| C1, C2, C3… | Reexamination Certificate | Previously used codes B1 and B2 are now used for granted Patents |
| E | Reissue Patent | No change |
| H | Statutory Invention Registration (SIR) | No change |
| P1 | Plant Patent Application Publication | Pre-grant publication available March 2001 |
| P2 | Plant Patent | No previously published pre-grant publication |
| P3 | Plant Patent | Having a previously published pre-grant publication and available March 2001 |
| P4 | Plant Patent Application Publication (Republication) | Pre-grant publication available after March 2001 |
| P9 | Plant Patent Application Publication (Corrected Publication) | Pre-grant publication available March 2001 |
| S | Design Patent | No change |