USPTO Hour: An overview and statistical update on design patents

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Today's topics

- Overview of design patents
- Benefits of a design patent
- Hague Agreement
- Design application statistics
- Recent happenings

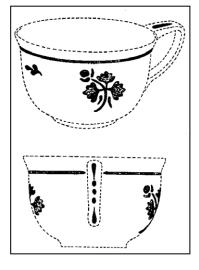


Overview of design patents

- Protects the ornamental appearance of an article of manufacture
- In the United States, ornamental appearance refers to:
 - Configuration or shape of an article;
 - Surface ornamentation applied to an article; or
 - Combination of configuration and surface ornamentation

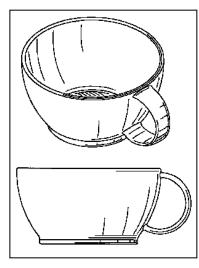


Types of designs for articles of manufacture



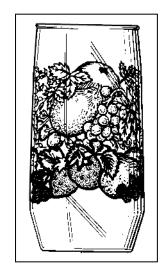
(1)

Surface ornamentation applied to an article



(2)

Configuration embodied in an article

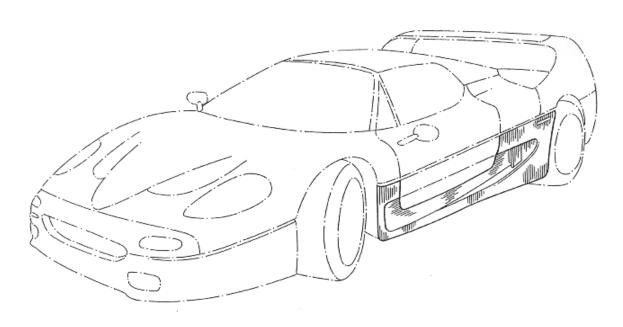


(3)

Configuration and surface ornamentation for an article

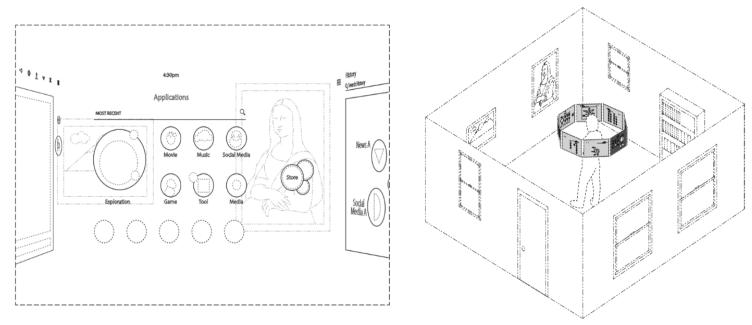


A design may be directed to less than an entire article





Graphical User Interface/Icons



<u>D883,308</u> DISPLAY PANEL OR PORTION THEREOF WITH A TRANSITIONAL MIXED REALITY GRAPHICAL USER INTERFACE

The broken lines forming part of the graphical user interface are included for illustrating environmental aspects of a portion of a display panel with a transitional mixed reality graphical user interface and form no part of the claimed design.

What's required to get a design patent?

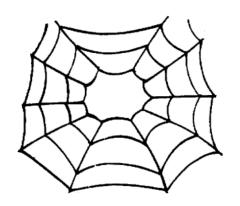
- Patentable subject matter
- Novelty
- Nonobviousness
- Sufficiency and clarity of the disclosure and claim



Patentable subject matter

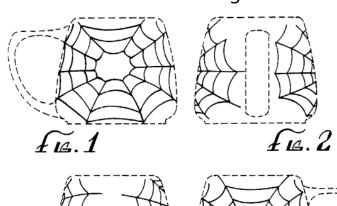
Not acceptable

Disembodied design

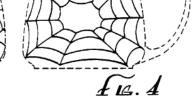


Acceptable

Embodied design



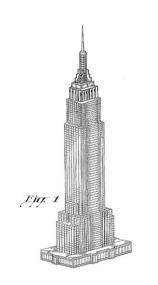
£13.3



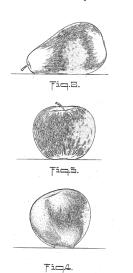


Patentable subject matter must have originality

A claim directed to a design for an article which simulates a well-known or naturally occurring object or person lacks originality



Not acceptable







Novelty

A design must be new or novel (i.e., cannot be anticipated)

- A claim for a design is anticipated if a single prior art reference is "identical in all material respects" to the claimed design
- "All material respects" are all respects that matter to an "ordinary observer"

Nonobviousness

A design must also be nonobvious

 A claim for a design is obvious if the differences between the claimed design and the prior art are such that the claimed invention as whole would have been obvious to a designer having "ordinary skill in the art"

Sufficiency and clarity of the disclosure and claim

Written description

 Specification of a design patent application must describe the claimed design in sufficient detail so that one of ordinary skill in the art can reasonably conclude that the inventor has possession of the claimed design

Enablement

 Specification must teach those of ordinary skill in the art how to make and use the full scope of the claimed design without undue experimentation

Sufficiency and clarity of the disclosure and claim

In the United States, design patents have only one claim and the form is dictated by regulation:

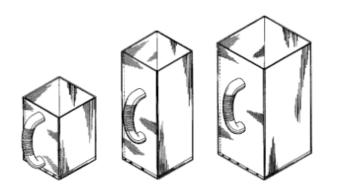
I Claim:

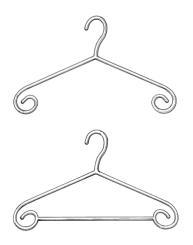
"The ornamental design for (insert title of article) as shown and described."



Multiple embodiments

However, a single claim may include more than one embodiment, provided they are patentably indistinct:







Design fees

| Patent application filing fees | | | | | | | |
|--------------------------------|--|---|-----|------------------------|---------------------|--|--|
| Fee code | 37 CFR § | Description | Fee | Small entity fee | Micro entity fee | | |
| 1012/2012/3012 | 1.16(b) | Basic filing fee - Design | 300 | 120 | 60 | | |
| 1017/2017/3017 | 1.16(b) | Basic filing fee - Design CPA | 300 | 120 | 60 | | |
| 1019/2019/3019 | 1.16(e) | Basic filing fee - Reissue (Design CPA) | 350 | 140 | 70 | | |
| 1082/2082/3082 | 1.16(s) | Design application size fee - for each additional 50 sheets that exceeds 100 sheets | 450 | 180 | 90 | | |
| Patent search | ı fees | | | | | | |
| 1112/2112/3112 | 1.16(I) | Design search fee or Design CPA search fee 300 120 | | | | | |
| 1114/2114/3114 | Reissue search fee or Reissue (Design CPA) search fee 770 308 1. | | | | | | |
| Patent exami | nation fees | | | | | | |
| 1312/2312/3312 | 1.16(p) | Design examination fee or Design CPA examination fee | 280 | 140 | | | |
| 1314/2314/3314 | 1.16(r) | Reissue examination fee or Reissue (Design CPA) examination fee | | | 510 | | |
| Patent issue a | and publicat | ion fees | | | | | |
| 1502/2502/3502 | 1.18(b)(1) | Design issue fee 1,300.00 520 | | | | | |
| 1509/2509/3509 | 1.18(b)(1) | Hague design issue fee*** 1,300.00 520 | | 520 | 260 | | |
| Hague - inter | national des | ign application fees | | | | | |
| 1781/2781/3781 | 1.1031(a) | Hague international design application - 130 52 2 | | | | | |
| 1782 | 1.1031(c) | International design application fees payable to WIPO variable variable variable | | | | | |

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Why designs matter

Designs make a product attractive and appealing to customers

Appearance of a product can be a key factor in the consumer's purchase decision

- "When two products are equal in price, function, and quality, the better-looking product will outsell the other." – Raymond Loewy, 1929
- Designs can be important for both small- and medium-sized enterprises and larger companies alike





Advantages to protecting designs

Return on investments

 Protection contributes to obtaining a return on investments made in creating and marketing attractive and innovative products

Exclusive rights

 Protection provides exclusive rights for 15 years in the U.S. so as to prevent or stop others from commercially exploiting or copying the industrial design

Strengthen brands

 Designs can be an important element of a company's brand; protecting designs contributes to protecting a company's brand



Benefits of a design patent

Opportunity to license or sell

Protection provides rights that may be sold or licensed to another enterprise,
 which will then be a source of income for the owner of the rights

Positive image

 Protection helps convey a positive image of a company, because designs are business assets that may increase the market value of a company and its products

Reward

Protection of designs rewards and encourages creativity



Today's topics

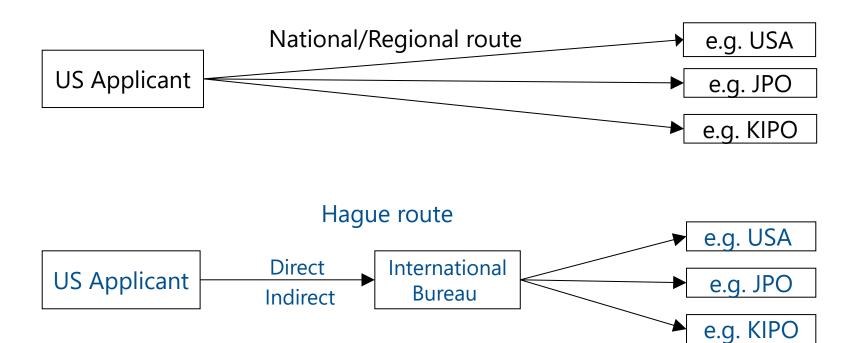
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Hague agreement

- The Hague Agreement provides a mechanism for registering up to 100 industrial designs in multiple member countries and intergovernmental organizations by means of a single application, filed in one language, with one set of fees.
- These member countries and intergovernmental organizations are referred to as the "Contracting Parties."
- The system is administered by the World Intellectual Property Organization (WIPO).

Filings: National vs. Hague





Today's topics

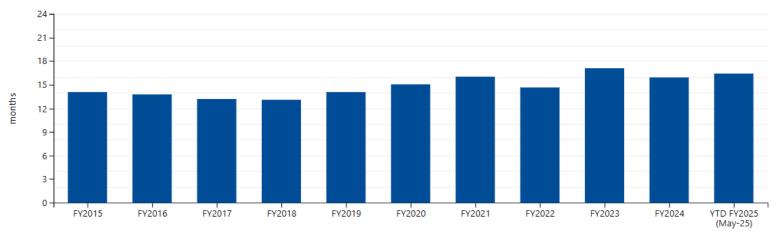
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Design First Office Action Pendency

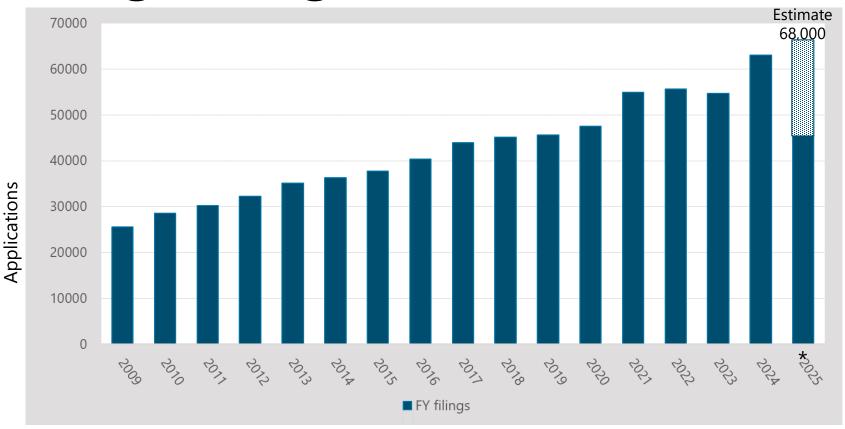
Design First Office Action pendency is the average number of months from the design application filing date to the date a First Office Action is mailed by the USPTO. The term "pendency" refers to the fact that the application is pending or awaiting a decision. This measure of Design First Office Action Pendency includes the time until a first action by the USPTO, as well as any time awaiting a reply from an applicant to submit all parts of their application. The design first action pendency number displayed, measured in months, is the average for all applications that have a First Office Action mailed over a three-month (one quarter) period.

Number of years to display 10 V Update



| | Date | Months | ^ |
|---|---------------------|--------|---|
| | FY2021 | 16.1 | |
| | FY2022 | 14.7 | |
| | FY2023 | 17.1 | ı |
| | FY2024 | 16 | ı |
| ł | YTD FY2025 (May-25) | 16.5 | • |

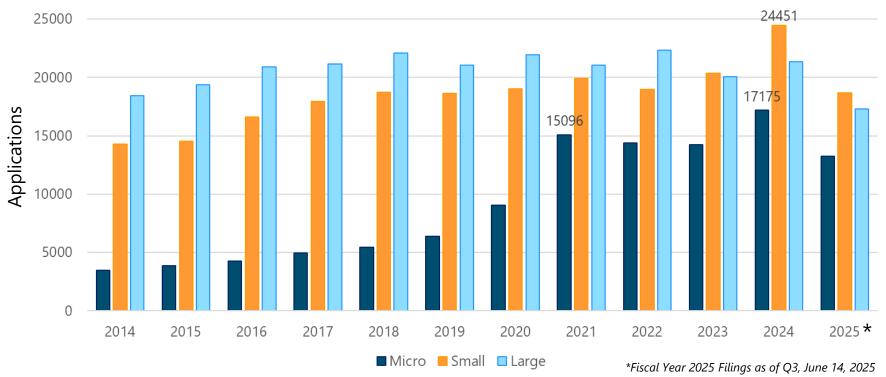
Design filings



*Estimated Fiscal Year 2025 Filings

Filings by entity status

Micro entity status filings has shown a gradual increase over time since it's inception, reaching record levels in FY 2024.

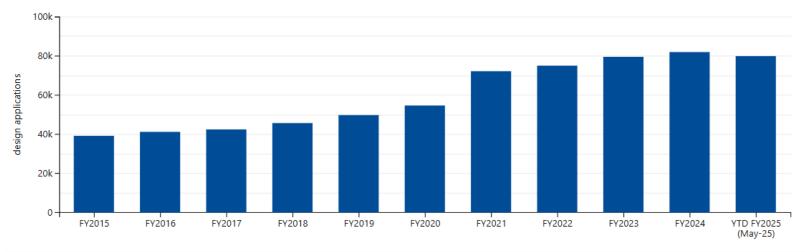


Design Unexamined Application Inventory

The unexamined patent application inventory is the number of new Design applications in the pipeline at any given time which are awaiting a First Office Action by the patent examiner. Hague, continuation, continuation-in-part, and divisional applications are included in the total.

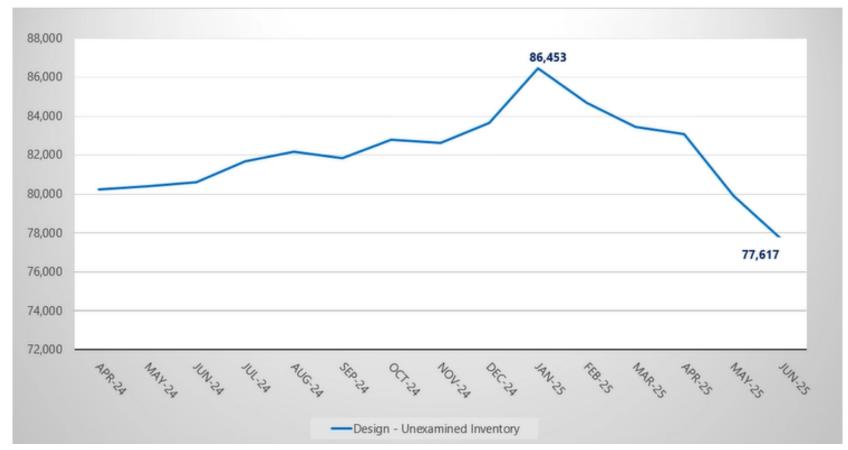
Effective October FY21, Hague applications are included in the Unexamined Inventory totals.

Number of years to display 10 V Update



| | Date | Design applications | • |
|---|---------------------|---------------------|---|
| | FY2021 | 72,313 | |
| | FY2022 | 74,955 | |
| | FY2023 | 79,696 | |
| | FY2024 | 81,839 | |
| , | YTD FY2025 (May-25) | 79,887 | |

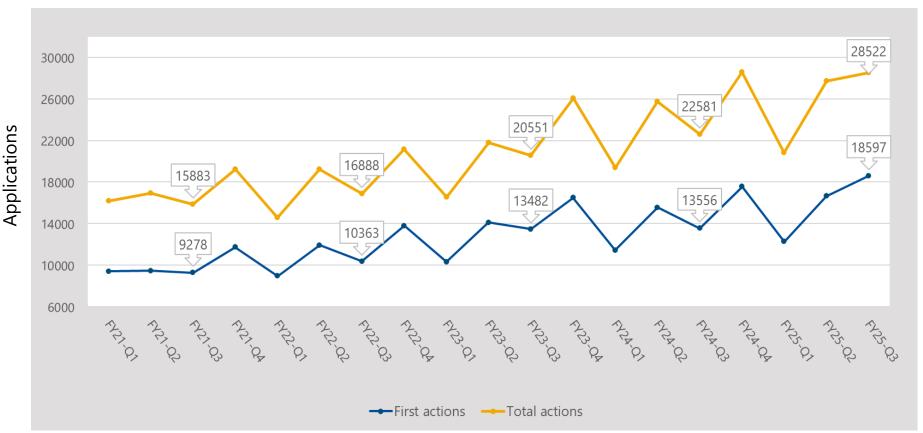
Design unexamined inventory



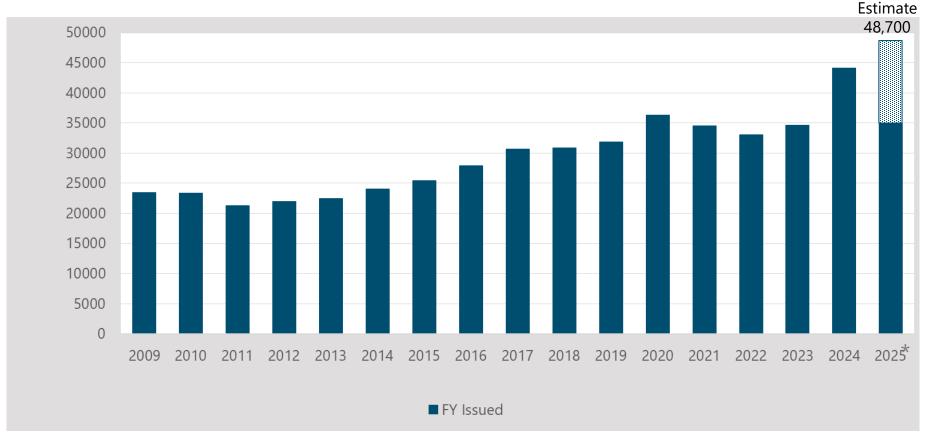
^{*}Fiscal Year 2025 unexamined inventory as of Q3, June 14, 2025

Applications

First action and total actions



Design patents issued

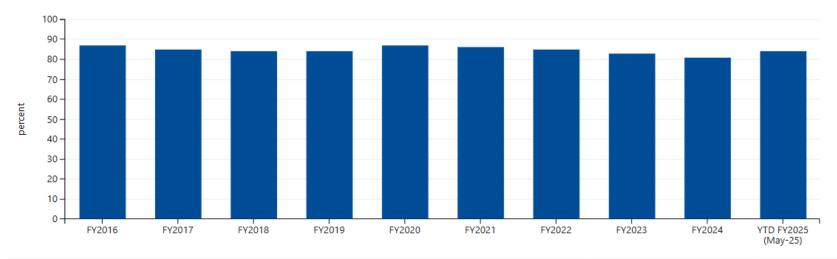


*Estimated Fiscal Year 2025 Patents Issued

Design Allowance Rate

Allowed design applications are applications that have been examined by USPTO design examiners and determined to be eligible for a design patent. The allowance rate, or percent allowed, is calculated by dividing the number of design applications allowed by the number of design applications disposed in the current fiscal year. The allowance rate includes the abandonments for requests for continued prosecution application (CPAs) in the disposals.

Number of years to display 10 V Update



| Date | Percent | ^ |
|---------------------|---------|---|
| FY2021 | 86% | |
| FY2022 | 85% | |
| FY2023 | 83% | ı |
| FY2024 | 81% | ı |
| YTD FY2025 (May-25) | 84% | |

Yearly Hague applications

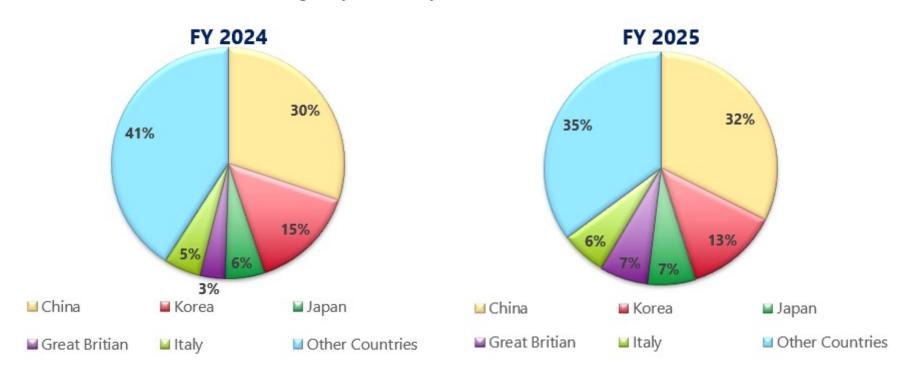
| Fiscal year | Number of applications received by USPTO |
|-------------|--|
| 2025 | 2,980* |
| 2024 | 4,032 |
| 2023 | 3,197 |
| 2022 | 2,705 |
| 2021 | 2,248 |
| 2020 | 2,988 |

^{*}Fiscal Year 2025 Hague Filings as of Q3, June 14, 2025



Design - Hague application filings

% of filings by country FY 2024 vs. FY 2025



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Recent happenings in Designs

- Rocket Docket program ending
 - Other avenues for expedited examination available (Accelerated Examination)
- Emerging technologies
- Launch of an image-based search tool for patent examiners



The path to an image search tool

- Issued Request For Information (RFI) in September 2018
- Launched pilot in February 2020
- Conducted additional market research
- Awarded Contract in February 2024

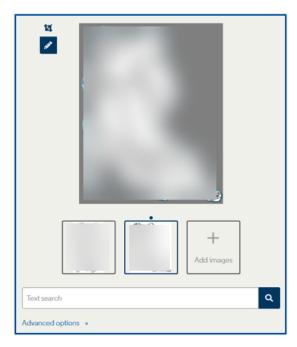


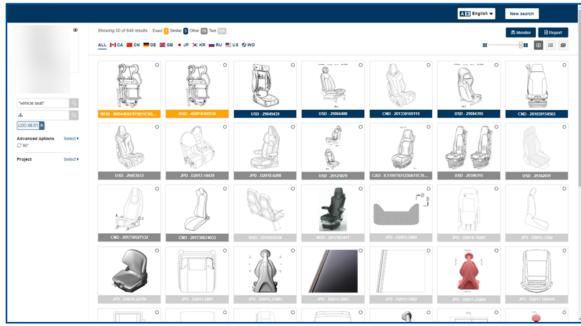
Image search tool: DesignVision

- Design Supervisory Patent Examiners pilot began in November 2024
- Training for design examiners starts mid July 2025
- Public notice forthcoming
- Launch October 1, 2025



DesignVision example







Design Patent Practitioner Bar

- Final Rule titled "Representation of Others in Design Patent Matters Before the United States Patent and Trademark Office" was published on November 16, 2023, implementing the creation of a design patent practitioner bar. (88 FR 78644).
- Final Rule became effective January 2, 2024.



Design Patent Practitioners

- Design patent practitioners may practice in design patent matters only.
- The design patent practitioner bar does not impact the ability of those already registered and does not impact the ability of applicants who meet the current (utility) criteria, to practice in any patent matters, including design patent matters.

References

Design patent examiner materials and resources | USPTO

<u>Design | Patents Dashboard | USPTO</u>

<u>Supplemental Guidance for Examination of Design Patent Applicatons Related to Computer-generated Electronic Images, Including Computer-generated Icons and Graphical User Interfaces</u> - Federal Register notice November 17, 2023

<u>USPTO fee schedule | USPTO</u>

<u>Hague System – The International Design System</u>

<u>Design Patent Practitioner Flyer</u>



Appendix



Design filings by class

| CLASS | 2021 | 2022 | 2023 | 2024 | * 2025 [*] | CLASS NAME |
|-------|------|------|------|------|---------------------|--|
| D14 | 4768 | 5725 | 4309 | 4458 | 4338 | RECORDING, COMMUNICATION, OR INFORMATION RETRIEVAL EQUIPMENT |
| D06 | 2515 | 2576 | 2567 | 2942 | 3419 | FURNISHINGS |
| D21 | 2173 | 2203 | 2168 | 2882 | 2911 | GAMES, TOYS, AND SPORTS GOODS |
| D24 | 2654 | 2403 | 2190 | 2419 | 2590 | MEDICAL AND LABORATORY EQUIPMENT |
| D12 | 2351 | 2726 | 2446 | 2644 | 2474 | TRANSPORTATION |
| D23 | 1947 | 1859 | 1874 | 2109 | 2381 | ENVIRONMENTAL HEATING AND COOLING; FLUID HANDLING AND SANITARY EQUIPMENT |
| D07 | 2067 | 2167 | 2017 | 2427 | 2346 | EQUIPMENT FOR PREPARING OR SERVING FOOD OR DRINK NOT ELSEWHERE SPECIFIED |
| D08 | 1625 | 1815 | 1714 | 1937 | 2173 | TOOLS AND HARDWARE |
| D26 | 2180 | 2077 | 1938 | 2157 | 2102 | LIGHTING |
| D02 | 1960 | 1985 | 1956 | 2215 | 2053 | APPAREL AND HABERDASHERY |
| D13 | 1693 | 1706 | 2049 | 2216 | 2047 | EQUIPMENT FOR PRODUCTION, DISTRIBUTION, OR TRANSFORMATION OF ENERGY |
| D03 | 1179 | 1255 | 1148 | 1408 | 1467 | TRAVEL GOODS AND PERSONAL BELONGINGS |
| D09 | 1310 | 1160 | 1127 | 1293 | 1164 | PACKAGES AND CONTAINERS FOR GOODS |
| D28 | 772 | 669 | 738 | 1034 | 1094 | COSMETIC PRODUCTS AND TOILET ARTICLES |
| D15 | 1007 | 1112 | 963 | 1166 | 1084 | MACHINES NOT ELSEWHERE SPECIFIED |
| D30 | 632 | 749 | 864 | 1105 | 1073 | ANIMAL HUSBANDRY |
| D16 | 876 | 731 | 769 | 918 | 1042 | PHOTOGRAPHY AND OPTICAL EQUIPMENT |
| D10 | 947 | 1017 | 906 | 1020 | 1001 | MEASURING, TESTING, OR SIGNALLING INSTRUMENTS (1) (2) |

^{*}Fiscal Year 2025 Filings as of Q3, June 14, 2025

Design filings by class continued

| CLASS | 2021 | 2022 | 2023 | 2024 | 2025 * | CLASS NAME |
|-------|------|------|------|------|--------|---|
| D11 | 855 | 795 | 820 | 966 | 885 | JEWELRY, SYMBOLIC INSIGNIA, AND ORNAMENTS |
| D32 | 449 | 580 | 587 | 756 | 884 | WASHING, CLEANING, OR DRYING MACHINE |
| D25 | 556 | 540 | 576 | 630 | 683 | BUILDING UNITS AND CONSTRUCTION ELEMENTS |
| D22 | 461 | 475 | 455 | 567 | 542 | ARMS, PYROTECHNCIS, HUNTING AND FISHING EQUIPMENT |
| D04 | 312 | 313 | 290 | 410 | 453 | BRUSHWARE |
| D34 | 260 | 256 | 304 | 380 | 379 | MATERIAL OR ARTICLE HANDLING EQUIPMENT |
| D19 | 379 | 461 | 289 | 247 | 286 | OFFICE SUPPLIES; ARTISTS` AND TEACHERS` MATERIALS |
| D27 | 300 | 376 | 377 | 377 | 284 | TOBACCO AND SMOKERS' SUPPLIES |
| D29 | 248 | 196 | 197 | 232 | 246 | EQUIPMENT FOR SAFETY, PROTECTION, AND RESCUE (1) |
| D99 | 241 | 159 | 92 | 125 | 239 | MISCELLANEOUS |
| D18 | 157 | 171 | 164 | 193 | 167 | PRINTING AND OFFICE MACHINERY |
| D01 | 321 | 102 | 105 | 100 | 124 | EDIBLE PRODUCTS |
| D20 | 198 | 138 | 118 | 131 | 122 | SALES AND ADVERTISING EQUIPMENT |
| D17 | 113 | 98 | 89 | 90 | 109 | MUSICAL INSTRUMENTS |
| D05 | 84 | 69 | 62 | 89 | 51 | TEXTILE OR PAPER YARD GOODS; SHEET MATERIAL |

^{*}Fiscal Year 2025 Filings as of Q3, June 14, 2025

