

United States of America

United States Patent and Trademark Office

SCHRODER ADVEQ

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Service Mark

Trademark

Principal Register

Schroders Plc (UNITED KINGDOM public limited company (plc))
1 London Wall Place
London, UNITED KINGDOM EC2Y5AU

CLASS 9: Computer software, namely, downloadable computer application software for accessing and displaying information relating to financial and investment services, asset management, financial appraisals and analysis, financial modelling and market research and analysis; downloadable electronic publications in the nature of magazines, newsletters, reports and information sheets in the field of financial management, financial analysis, financial information, investment management, investment banking and asset management

CLASS 35: Business consultancy services in the field of financial management, financial analysis, financial information, investment management and investment banking; business advice and information services in the field of financial management, financial analysis, financial information, investment management and investment banking

CLASS 36: Financial services, namely, financial advisory services, wealth management services, administering the issuance, underwriting, and distribution of securities, investment of funds for others, and trading services in the field of investing in fixed income, equities, emerging market debt, commodities, agriculture, currencies, multi-asset strategies, and alternative investment strategies; financial asset management services; investment management services; real estate investment management services; private equity funds of funds services, namely, private equity fund investment services and investment of funds in the field of funds of private equity funds; mutual fund investment, advisory, analysis, development, planning, and distribution services; investment of funds in the field of funds of hedge funds; providing investment advice; asset and investment administration services, namely, financial asset and investment management; financial management services; financial analysis services; providing financial information; trading in the money and foreign exchange markets, namely, currency trading and foreign exchange transactions; brokerage services in the field of investing in fixed income, equities, emerging market debt, commodities, agriculture, currencies, multi-asset strategies, and alternative investment strategies; financial management involving the issuing of shares and fund awards in relation to bonus and loyalty schemes; financial research; financial consulting; providing an Internet website portal offering information in the field of financial investments, wealth management and



Acting Director of the United States Patent and Trademark Office



asset management

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

PRIORITY CLAIMED UNDER SEC. 44(D) ON UNITED KINGDOM APPLICATION NO. 00003313709, FILED 05-27-2018, REG. NO. 00003313709, DATED 05-27-2018, EXPIRES 05-27-2028

SER. NO. 88-207,648, FILED 11-27-2018

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.