

United States of America

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Service Mark

Trademark

Principal Register

China International Capital Corporation Limited (CHINA CORPORATION)

27/28th Floor, China World Tower 2,
No. 1 Jianguomenwai Avenue, Chaoyang District
Beijing, CHINA 100004

CLASS 9: [Data processing apparatus; computers; computer peripheral devices, namely, keyboards, mice, cameras, scanners, displays, printers, memory devices and couplers;] computer software for electronic check conversion and remote deposit services, [electronic transfer of money, electronic bill payment, viewing bank and investment account information, investment portfolio management, electronic trading of securities, performing financial and investment research and analysis, and obtaining financial and investment information and news;] computer software for viewing and sharing fixed content, interactive content, and articles from periodical publications, all of the foregoing pertaining to news, research, investments, finance, financial planning, and investment strategy; computer software for delivering streaming financial and investment information and news; computer software which enables users to locate, and receive navigational directions to locations at which financial services are provided; computer software for viewing videos pertaining to news, research, investments, finance, financial planning and investment strategy; electronic pocket translators; downloadable software in the nature of a mobile application for electronic check conversion and remote deposit services, electronic transfer of money, electronic bill payment, viewing bank and investment account information, investment portfolio management, electronic trading of securities, performing financial and investment research and analysis, and obtaining financial and investment information and news; downloadable software in the nature of a mobile application for viewing and sharing fixed content, interactive content, and articles from periodical publications, all of the foregoing pertaining to news, research, investments, finance, financial planning, and investment strategy; downloadable software in the nature of a mobile application for delivering streaming financial and investment information and news; downloadable software in the nature of a mobile application which enables users to locate, and receive navigational directions to locations at which financial services are provided; downloadable software in the nature of a mobile application for viewing videos pertaining to news, research, investments, finance, financial planning and investment strategy; [apparatus for the transmission of intercommunications; network communication equipment, namely, computer servers, routers, network switches, adapters, transceivers, repeaters and hubs; compact disc players; loudspeakers]

CLASS 16: Paper; printing paper, namely, offset printing paper, newsprint paper, book paper, security paper, intaglio paper and typographic paper; carbon paper; handkerchiefs of paper; printed advertisement boards of paper or cardboard; signboards of paper or

Coke Moya Smead

Acting Director of the United States Patent and Trademark Office



cardboard; stationery paper pads; cardboard tubes; posters; newspapers; architects' models

CLASS 35: Advertising; commercial information agencies including providing telephone directory information via the internet; business management and organization consultancy; marketing studies; business appraisals; business investigations; business organization consultancy; business research; public relations; advisory services for business management; marketing research; professional business consultancy; economic forecasting; business information; organization of trade fairs for commercial or advertising purposes; sales promotion for others; marketing the goods and services for others; provision of an on-line marketplace for buyers and sellers of goods and services; personnel management consultancy; relocation services for businesses; systemization of information into computer databases; accounting; business auditing; tax filing services; sponsorship search; rental of sales stands; rental of vending machines; retail and wholesale store services featuring pharmaceutical, veterinary and sanitary preparations and medical supplies; evaluation of intangible assets, namely, brand evaluation; evaluation of intangible assets, namely, business evaluations in business matters; evaluation of intangible assets, namely, evaluations relating to commercial matters; technical research relating to business, namely, conducting technical research for the purpose of providing business services

CLASS 36: Insurance consultancy; insurance information; accident insurance underwriting; insurance underwriting in all fields of insurance; fire insurance underwriting; insurance brokerage; actuarial services; health insurance underwriting; life insurance underwriting; marine insurance underwriting; installment loans; credit bureau services; debt collection agency services; banking; investment of funds; exchanging money; issuance of travellers' cheques; financial consultancy; check verification; financial analysis; stocks and bonds brokerage; financial management of reimbursement payments for others; mutual funds; capital investment; clearing and reconciling financial transactions via a global computer network; clearing-houses, financial; business liquidation services, financial; electronic funds transfer; financial sponsorship, namely, financial sponsorship of sports events, financial sponsorship of organizations and individuals for carrying out projects, namely, acquisition of medical equipment, financial sponsorship of sport, charity, social and political events, financial sponsorship in the fields of culture, sports and research, namely, financial sponsorship of sporting events, cultural exhibitions and events, festivals, art exhibitions and health research, financial sponsorship in the field of research and development, of entertainment, sports and education, of social affairs and of artistic and cultural events, financial sponsorship of programs, athletic events, fund raising benefits events, entertainment events, camps, social gatherings to promote and benefit children, education and international student exchange programs, financial sponsorship of marketing promotional events, sporting and cultural events, financial sponsorship of training courses, financial sponsorship of art exhibitions, financial sponsorship of artists, financial sponsorship of mutual funds, financial sponsorship, namely, financial sponsorship of commercial projects, financial sponsorship of environmental projects, financial sponsorship for academic education abroad, financial sponsorship of training and work shops related to financial profession, financial sponsorship of social events, and financial sponsorship of real estate projects, financial sponsorship of automotive racing events, financial sponsorship of organizations that provide loan financing in developing countries; financial sponsorship of organizations that provide and promote economic development in developing countries; retirement payment services, namely, administration of transactions involving funds drawn from securities, stocks, funds, equities, bonds, cash, or other types of financial investments in retirement plans using a credit or debit card over a global data network; issuance of credit cards; stock exchange quotations; arranging finance for construction projects; processing of debit card payments; processing of credit card payments; safe deposit box services, and accepting deposits of valuables into safe deposit boxes; online banking; debt advisory services; stock brokerage services; provident fund services; financial evaluation of wool; financial evaluation of standing timber; brokerage of carbon credits; repair costs evaluation in the nature of financial appraisal; provision of hire-purchase financing for credit sales of land vehicle; futures brokerage; securities brokerage; hire-purchase financing; savings bank services; mortgage banking; financial

management; financing services; providing rebates at participating establishments of others through use of a membership card; providing financial information via a web site; issuance of tokens of value; rent collection; financial information; financial services, namely, credit checking, credit analyzing and financial reporting services concerning credit cards; financial consultancy relating to tax; provision of hire-purchase financing for credit sales of communication equipment; safe deposit services; collection of debts; loans financing; fiscal valuation; financial evaluation for insurance, banking and real estate; numismatic appraisal; art appraisal; jewelry appraisal; jewellery appraisal; stamp appraisal; antique appraisal; leasing of real estate; sales of commercial houses, namely, real estate agency services; rental of apartments; apartment house management; real estate management; real estate appraisal; real estate brokerage; real estate agency services; rental of real estate; rental of offices real estate; rental of farms; brokerage, namely, agencies for brokerage of securities trading in overseas securities markets and of transactions on commission of overseas market securities futures, agencies or brokerage for leasing or renting of land, agencies or brokerage for renting land, agencies or brokerage for renting of buildings, agencies or brokerage for trading of securities, securities index futures, securities options, and overseas market securities futures, aircraft brokerage, art brokerage, automated securities brokerage, brokerage for hire-purchase, brokerage houses in the field of stocks, commodities, and futures, brokerage of carbon dioxide and other greenhouse gas emission credits, allowances or offsets on behalf of offset producers, brokerage of energy, namely, gas and electricity, brokerage of shares or stocks and other securities, brokerage services for stocks and bonds, brokerage services for capital investments, business brokerage, business brokerage services and related consulting pertaining to procurement, buying, selling and tendering information and opportunities, commodity brokerage, financial customs brokerage services, discount securities brokerage services, on-line discount securities brokerage services, energy brokerage services, financial investment brokerage, financial services, namely, real estate note brokerage, insurance agency and brokerage, insurance brokerage, investment brokerage, life insurance brokerage, mortgage brokerage, mutual fund brokerage, pawn brokerage, real estate brokerage, securities brokerage, stock brokerage, telecommunications air time brokerage services, yacht brokerage; financial customs brokerage services; bail-bonding; surety services; charitable fund raising; factoring agencies; trusteeship representatives; fiduciary representatives; pawn brokerage; pawn shop; evaluation of intangible assets, namely, valuation of intellectual property; evaluation of intangible assets, namely, financial evaluations; technical research relating to finance, namely, conducting technical research for the purpose of providing financial service"

CLASS 38: [Wireless broadcasting; radio broadcasting; television broadcasting; cable television broadcasting; news agency services for electronic transmission;] transmission of digital files; [videoconferencing services;] providing online forums for investors; [transmission of telegrams; communications by telegrams; communications by telephone; paging services by radio, telephone or other means of electronic communication; information about telecommunication;] transmission of electronic mail; [facsimile transmission;] computer aided transmission of messages and images; communications by computer terminals; [communications by cellular phones; communications by teletext machines; telecommunications routing and junction services;] providing telecommunications connections to a global computer network; [rental of access time to global computer networks; voice mail services;] providing access to databases; providing internet chatrooms [; providing telecommunication channels for teleshopping services; providing user access to global computer networks; teleconferencing services; computer-operated telephone number inquiry services; telecommunications services, namely, electronic bulletin board services; satellite transmission; rental of telephones; rental of telecommunication equipment; rental of modems; rental of facsimile apparatus; communications by fibre optic networks; local, long-distance, and mobile telephone services; telegraph services; transmission of greeting cards online; electronic message sending; streaming of data; radio communications; video-on-demand transmission; rental of electronic message sending apparatus]

CLASS 41: Academic enrichment programs in the field of business and finance; education services, namely, providing seminars and workshops in the field of business

and finance; instruction in the field of business and finance; information on education; organization of academic competitions; arranging and conducting of educational conferences in the field of business and finance; arranging and conducting of seminars in the field of business and finance; arranging and conducting of workshops in the field of business and finance; lending library services; publication of books; production and distribution of videos in the field of business and finance; production of radio and television programmes; [translation; entertainment services, namely, live, televised and movie appearances by a professional entertainer; providing sports facilities; toy rental; games equipment rental; zoological garden services; modelling for artists; organization of lotteries]

CLASS 42: Technology consultation and research in the field of investment and financing; technical project studies in the field of investment and financing; technological consultancy and technical research relating to business, namely conducting technical research for the purpose of providing business services; technical research relating to finance namely conducting technical research for the purpose of providing financial services; engineering; technical project planning in the field of investment and financing; research and development of new products for others; research in the field of environmental protection; urban planning; quality control for others; geological surveys; chemical analysis; biological research; weather forecasting; material testing; packaging design; architectural services; dress designing; computer software design; authenticating works of art; handwriting analysis; assessment of intangible assets, namely, valuation of intellectual property, financial evaluations, brand evaluations, business evaluations in business matters, evaluations relating to commercial matters; graphic arts design; cloud seeding; handwriting analysis graphology; cartography services; calibration services, namely, weighing goods for others; software as a service (SAAS) services featuring software for brokerage and trading of investments, securities, stocks, bonds, capital investments, commodities and equities, namely, software for the management of financial trading activities, management and processing of investments, securities, stocks, bonds, capital investments, commodities and equities activities; software as a service (SAAS) services featuring software for providing information in the field of finance, securities trading, investments, and securities brokerage

The color(s) red and gold is/are claimed as a feature of the mark.

The mark consists of a red and gold shaded circular swirl device appearing to the left of the letters "CICC" appearing in red above Chinese characters in red. The color white as it appears in the mark represents background and is not claimed as a feature of the mark.

OWNER OF INTERNATIONAL REGISTRATION 1372871 DATED 04-10-2017, EXPIRES 04-10-2027

No claim is made to the exclusive right to use the following apart from the mark as shown: THE NON-LATIN CHARACTERS THAT TRANSLITERATE TO "GONG SI"

The non-Latin characters in the mark transliterate to "ZHONG JIN GONG SI" and the wording "ZHONG" means "MIDDLE" or "CENTRAL", "JIN" means "GOLD", and "GONG SI" means "CORPORATION" in English.

SER. NO. 79-219,871, FILED 04-10-2017

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.